

Regulation (EU) n°528/2012 concerning the making available on the market and use of biocidal products

Evaluation of active substances

Assessment Report



Alpha-cypermethrin
Product-type 18
(insecticide)

DATE SCB

Belgium

Alpha-cypermethrin (PT18)

Assessment report

Finalised in the Standing Committee on Biocidal Products at its meeting on date SCB

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1 STATEMENT OF SUBJECT MATTER AND PURPOSE

1.1 Procedure Followed

This assessment report has been established as a result of the evaluation of the active substance alpha-cypermethrin as product-type 18 (insecticide), carried out in the context of the work programme for the review of existing active substances provided for in Article 89 of Regulation (EU) No 528/2012, with a view to the possible approval of this substance.

Alpha-cypermethrin (CAS no. 67375-30-8) was notified as an existing active substance, by BASF, hereafter referred to as the applicant, in product-type 18.

Commission Regulation (EC) No 1451/2007 of 4 December 2007¹ lays down the detailed rules for the evaluation of dossiers and for the decision-making process.

In accordance with the provisions of Article 7(1) of that Regulation, Belgium was designated as Rapporteur Member State to carry out the assessment on the basis of the dossier submitted by the applicant. The deadline for submission of a complete dossier for alpha-cypermethrin as an active substance in Product Type 18 was 30/04/2006, in accordance with Annex V of Regulation (EC) No 1451/2007.

On 28/04/2006, Belgian competent authorities received a dossier from the applicant. The Rapporteur Member State accepted the dossier as complete for the purpose of the evaluation on 28/07/2006.

On 17/11/2011, the Rapporteur Member State submitted to the Commission and the applicant a copy of the evaluation report, hereafter referred to as the competent authority report.

In order to review the competent authority report and the comments received on it, consultations of technical experts from all Member States (peer review) were organised by the Agency. Revisions agreed upon were presented at the Biocidal Products Committee and its Working Groups meetings and the competent authority report was amended accordingly.

1.2 Purpose of the assessment

The aim of the assessment report is to support the opinion of the Biocidal Products Committee and a decision on the approval of alpha-cypermethrin for product-type 18, and, should it be approved, to facilitate the authorisation of individual biocidal products. In the evaluation of applications for product-authorisation, the provisions of Regulation (EU) No 528/2012 shall be

¹Commission Regulation (EC) No 1451/2007 of 4 December 2007 on the second phase of the 10-year work programme referred to in Article 16(2) of Directive 98/8/EC of the European Parliament and of the Council concerning the placing of biocidal products on the market. OJ L 325, 11.12.2007, p. 3

applied, in particular the provisions of Chapter IV, as well as the common principles laid down in Annex VI.

For the implementation of the common principles of Annex VI, the content and conclusions of this assessment report, which is available from the Agency web-site shall be taken into account.

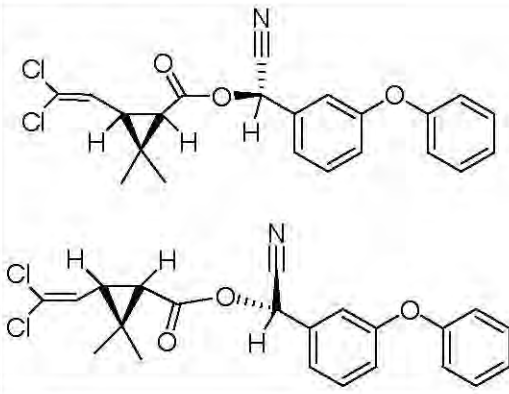
However, where conclusions of this assessment report are based on data protected under the provisions of Regulation (EU) No 528/2012, such conclusions may not be used to the benefit of another applicant, unless access to these data for that purpose has been granted to that applicant.

2 OVERALL SUMMARY AND CONCLUSIONS

2.1 Presentation of the Active Substance

2.1.1 Identity, Physico-Chemical Properties & Methods of Analysis

2.1.1.1 Identity

| | |
|---------------------------|--|
| CAS-No. | 67375-30-8 |
| EINECS-No. | Not allocated |
| Other No. (CIPAC, ELINCS) | CIPAC: 454 |
| IUPAC Name | 1:1 mixture (racemate) of the pair of enantiomers (S)- α -cyano-3-phenoxybenzyl-(1R,3R)-3-(2,2-dichlorovinyl)-2,2-dimethylcyclopropanecarboxylate and (R)- α -cyano-3-phenoxybenzyl-(1S,3S)-3-(2,2-dichlorovinyl)-2,2-dimethylcyclopropanecarboxylate |
| Common name, synonyms | Alpha-cypermethrin |
| Molecular formula | C ₂₂ H ₁₉ Cl ₂ NO ₃ |
| Structural formula |  |
| Molecular mass [g/mol] | 416.3 |

2.1.1.2 Physico-chemical properties

Purified alpha-cypermethrin (> 99 %) is a white, fine crystalline powder without detectable characteristic odour. The melting point was determined at 82.3 °C.

Alpha-cypermethrin technical is a creamy white fine powder exhibiting a slight chemical odour. Its relative density (D_4^{20}) is 1.33. Alpha-cypermethrin is not volatile and its vapour pressure is low (2.5×10^{-7} Pa at 20 °C, 5.6×10^{-7} Pa at 25 °C, extrapolated).

The substance is almost insoluble in water at 20 °C (4.59–7.87 µg/l, depending on pH). It is soluble in most organic solvents (≥ 5.3 g/L for toluene, methanol, ethyl acetate, n-hexane, and 2-propanol, acetone and dichloromethane). The $\log P_{ow}$ was determined to be 5.5, without pH dependence due to absence of dissociating groups.

Alpha-cypermethrin is hydrolytically stable at pH 4: At 50 °C hydrolytic degradation was so slow that at 25 °C a half-life above 1 year can safely be assumed. At neutral and basic pH hydrolysis rates increase with increasing pH: By extrapolation, the half-lives at 20 °C are 101 days at pH 7 and 7.3 days at pH 9. 3-phenoxybenzaldehyde was identified as the major transformation product, whereas an additional metabolite amounted to less than 10 % at any sampling time and therefore needed not be identified.

Alpha-cypermethrin undergoes photolytic degradation in water. Three major metabolites were identified and the degradation pathway established as presented in chapter 4.1.1.1.2. below. The DT_{50} under natural sunlight conditions was calculated to range between 3.4 and 6.3 days.

Alpha-cypermethrin is not highly flammable and no relative self-ignition temperature could be observed up to its melting point and the substance does not exhibit oxidising or explosive properties. Any reactivity to container materials has not been observed (polyethylene has been established as a suitable packaging material).

2.1.1.3 Identification of the products

| | | |
|--|--|---------------|
| Trade name | Tenopa | |
| Manufacturer's development code number(s) | BAS 308 04 I | |
| <i>Ingredient of preparation</i> | Function | Content [g/l] |
| Alpha-cypermethrin | Active ingredient | 30 |
| <i>The identity of all other ingredients is confidential. This information is provided separately in the confidential part of the dossier.</i> | | |
| Physical state of preparation | White, opaque, viscous, free-flowing liquid, free from foreign matter. Slight odour. | |
| Nature of preparation | SC formulation (suspension concentrate) | |

The detailed composition of the biocidal product Tenopa is confidential. This information is provided separately in the confidential part of the dossier.

2.1.1.4 Methods of analysis

2.1.1.4.1 Analysis of active substance as manufactured

Analytical methods for the detection and identification of the active substance (alpha-cypermethrin) and its impurities in technical grade material are presented and validated in references A4.1/01 to /03 and are summarised in detail in the confidential file (Appendix 1 to Document III-A). HRGC-FID and HPLC-UV methods are available for the determination of the content of active substance and impurities and the isomeric composition in technical material. The analytical techniques were validated and were generally found to be linear, with adequate repeatability and reproducibility (RSDs generally in the acceptable range).

2.1.1.4.2 Formulation analysis

Analytical methods for the formulation analysis are presented and validated in reference B4.1 and are summarised in the confidential file (Appendix 1 to Document III-B). HPLC-UV method is available for the determination of the concentration of the actives substances alpha-cypermethrine and flufenoxuron. The analytical techniques were validated and were generally found to be linear, with adequate repeatability and reproducibility (RSDs generally in the acceptable range).

2.1.1.4.3 Residue analysis

Suitable methods (GC-ECD, GC-MS, GC-NPD, and LC)MS/MS) for the detection and identification of alpha-cypermethrin in soil, air, water, human and animal body fluids and tissues are summarised in Table 1.4-1 in Document II-A.

A method for the determination of alpha-cypermethrin in air is normally not required due to low volatility and the intended uses (low-pressure spraying, not resulting in the formation of aerosols) but is nevertheless available.

Validation of the confirmatory method for residues in water are still required.

The applicant agreed to provide these methods as soon as possible and at the latest 6 months before the product authorisation stage.

2.1.1.4.4 Conclusions for analytical methods

The methods of analysis of active substance as manufactured (technical grade active ingredient) and for determination of identified impurities present in pure active ingredient at quantities ≥ 0.05 % w/w have been validated and shown to be sufficiently specific, linear, accurate and precise.

The methods of analysis of alpha-cypermethrin in environmental matrices, as appropriate, have been validated and shown to be sufficiently sensitive with respect to the levels of concern.

2.1.2 Intended Uses and Efficacy

2.1.2.1 Field of use envisaged / Function

Product Type 18: Insecticide

2.1.2.2 Organism(s) to be controlled and products, organisms or objects to be protected

Alpha-cypermethrin is an insecticide intended to be used indoor by professionals to control a broad range of insects for hard surfaces, crack and crevice treatments, areas behind furnishings and equipment by low-pressure spraying.

In the context of the dossier for Annex I inclusion, the applicant submitted efficacy tests performed on surfaces against cockroaches (*Blattella germanica* and *Periplaneta Americana*) and against cat fleas (*Ctenocephalides felis* - only for environmental control, not on animals especially cats) as examples of target organisms.

Use in industrial processes and by the general public is not envisaged.

Use of biocidal products containing alpha-cypermethrin and used in a sensitive area (hospital, kitchens, restaurants, food-processing and storage areas) may lead to residues in foods. Therefore it was agreed during TM I 2013 that a label restriction for such products used in sensitive areas is required (e.g. "Do not contaminate foodstuffs, eating utensils or food contact surfaces"; "Keep away from food, drink and animal feeding stuffs"). Additionally for such products, a dietary risk assessment (DRA) will be required at product authorisation stage.

2.1.2.3 Effects on target organisms

Alpha-cypermethrin is a synthetic pyrethroid and as such does not depend on conversion or degradation to an active form in order to exert its insecticidal activity. It acts by preventing transmission of impulses along nerves on adult insects. This effect is brought about by blocking the passage of positive sodium ions through sodium channels in nerve membranes, thus preventing action potentials passing down axons. Typically, this intoxication results in a rapid "knockdown" and resultant mortality. The affected insect shows uncoordinated movements and finally dies.

2.1.2.4 Efficacy of alpha-cypermethrin and product Tenopa.

At the time the dossier was submitted to the RMS, several laboratory and field tests were submitted with the representative product Tenopa [water-based suspension concentrate containing 30 g/L of each a.s. (alpha-cypermethrin and flufenoxuron) in combination with 7.5 mg of each a.s./m² on surfaces].

Tenopa was shown to be efficacious against cockroaches (*Blattella germanica*, *Periplaneta americana*, *Blatta orientalis*) and cat fleas (*Ctenocephalides felis*). However, as this product is

the combination of two active substances, the relative activity of alpha-cypermethrin could not be deduced.

Only two laboratory tests were performed on surfaces with a representative product (Fendona, containing 60 g/L of alpha-cypermethrin with 15 mg/m² on surfaces) containing the active ingredient alone and were submitted to support the efficacy of alpha-cypermethrin. These tests were considered acceptable and showed that at 15 mg/m², alpha-cypermethrin is efficacious against cockroaches and cat fleas. No lower application rates were tested. There was only a very small evidence of the efficacy of alpha-cypermethrin used at 7.5 mg/m² on surfaces in the Jung study (2006). To conclude on the efficacy of alpha-cypermethrin alone at 7.5 mg/m², the Applicant submitted a last efficacy study in 2012.

This new lab study investigated the residual efficacy of Fendona 6 SC (used at 7.5 and 15 mg/m²) against *Blattella germanica* (German cockroach), *Ctenocephalides felis* (cat flea) and *Cimex lectularius* (bed bugs) on porous (carpet) and non-porous (glazed tiles) surfaces. The new data demonstrated the effectiveness of the alpha-cypermethrin-based product (Fendona 6SC) used at an application rate 7.5 mg a.i./m² against the 3 target organisms (cockroaches, bed bugs and fleas) to a sufficient degree.

In conclusion, even if only one study per application was submitted, it is sufficient to demonstrate a basic efficacy against the claimed target organisms and sufficient for the Annex I inclusion. However, at the Product Authorisation Stage, new efficacy tests should be performed on cockroaches taking in account development stage, sex and species of the target organisms (due to the possible variability of susceptibility towards pyrethroids).

There is no information available on the activity or efficacy of the different individual enantiomers of alpha-cypermethrin.

2.1.2.5 Development of resistance.

Development of resistance against alpha-cypermethrin is in principle possible in a wide range of insect taxa. Due to the common mode of action of pyrethroids cross-resistance may be of importance. However, actual resistance (including cross-resistance) has to date only been observed in agricultural pest insects, which are the targets of large-scale applications of insecticides, thus increasing the likelihood of resistance development. Biocidal treatments, in contrast, are typically targeted on relatively small populations of pest insects forming more or less closed populations. Good treatment practice will most likely results in high control levels which in turn reduces the likelihood of resistance development.

In the literature search, *Blattella germanica* is identified as susceptible to alpha-cypermethrin, with no indications of resistance (p. 70 of the literature search).




Any records related to *Periplaneta americana* or to fleas (*Siphonaptera*) were not identified by the literature search. This suggests that both the American cockroach and the whole order of fleas (*Siphonaptera*) resistance has to date not been detected.

In conclusion, resistance against alpha-cypermethrin has to date not been observed in the organisms to be controlled (cockroaches, fleas).

Whilst resistance has occurred and is a real problem in agricultural use, its expression is by no means uniform. The continued threat of resistance must be managed in order to prevent its manifestation in species where it has already developed and in order to minimize the risk of resistance developing in species which have not yet developed resistance to the synthetic pyrethroids. For this reason, strategies such as alteration of insecticides with different modes of action, mixtures of insecticides with different modes of action and avoidance of frequent and repeated use are standard practice.

2.1.3 Classification and Labelling

2.1.3.1 Classification and Labelling of the active substance


| Classification | as proposed by the BE CA according to Regulation EC 1272/2008 CLP |
|--|--|
| Hazard Class and Category Codes | Acute Tox. 3 H301 Acute Tox. 4 H332 |
| Hazard statement Code(s) | STOT SE 3 H335 STOT RE 2 H373 Aquatic Acute 1 H400 Aquatic Chronic 1 H410 |
| Labelling | |
| Pictograms |    GHS06 GHS08 GHS09 |
| Signal Word | Danger |
| Hazard Statement Codes | H301: Toxic if swallowed H332: Harmful if inhaled H373: May cause damage to the Central Nervous System (CNS) through prolonged or repeated exposure H335: May cause respiratory irritation H410: Very toxic to aquatic life with long lasting effects |
| Specific Concentration Limits, M-factors | Acute 1: M = 1000, based on 48h EC ₅₀ of 0.0003 mg/L for <i>Daphnia magna</i> Chronic 1: M = 1000, based on a long term NOEC of 0.00003 mg/L for both fish and crustacean. |


Justification for the proposal

Alpha-cypermethrin is included in Annex I of Regulation 67/548/EEC, containing the list of harmonised classifications and labelling for substances which are legally binding within the EU. It is proposed to maintain the current classification, but to extend this by "R20" (harmful by inhalation), and "R38" (irritating to skin), based on recent experimental data in rats and rabbits (see chapter 3.2 and 3.3 Doc-II-A).

In addition, alpha-cypermethrin is listed in Annex VI table 3.1 of Regulation (EC) No 1272/2008, which is also understood to be legally binding. It is proposed to maintain this current classification, but to extend this by “Acute tox. 4” and “H332” (harmful if inhaled) based on the result of recent inhalation toxicity studies.

2.1.3.2 Classification and Labelling of the representative product

| | | |
|-------------------------------------|--|--|
| Classification and labelling | as proposed by the BE CA according to Directive 1999/45/EC | |
| Classification | N; R50/53 | |
| Indication of Danger |  N | |
| R-phrases: | R64: | May cause harm to breastfed babies |
| | R50/53: | Very toxic to aquatic organisms; may cause long term adverse effects in the aquatic environment. |
| S-phrases: | S2: | Keep out of the reach of children. |
| | S13: | Keep away from food, drink and animal feeding stuffs. |
| | S20/21: | When using do not eat, drink or smoke. |
| | S24: | Avoid contact with skin. |
| | S35: | This material and its container must be disposed of in a safe way. |
| | S57: | Use appropriate container to avoid environmental contamination. |

| Classification | as proposed by the BE CA according to Regulation EC 1272/2008 CLP | |
|---------------------------------|--|---|
| Hazard Class and Category Codes | Aquatic Acute 1 | H400 |
| | Aquatic Chronic 1 | H410 |
| Hazard Statement Code(s) | Lact. | H362 |
| Labelling | | |
| Pictograms |  GHS09 | |
| Signal Word | Warning | |
| Hazard Statement Codes | H362: | May cause harm to breast-fed children |
| | H410: | Very toxic to aquatic life with long lasting effects |
| Precautionary Statement Codes | P102: | Keep out of reach of children |
| | P263: | Avoid contact during pregnancy/while nursing |
| | P270: | Do not eat, drink or smoke when using this product |
| | P273: | Avoid release to the environment |
| | P280: | Wear protective gloves/protective clothing/eye protection/face protection |
| | P308+P313: | If exposed or concerned, get medical advice/attention. |

Justification for the proposal

No classification results from the physico-chemical properties.

For toxicological properties, only Lact H362/ R64 is also applied for the product Tenopa as this product contains 3% of active ingredient flufenoxuron (proposed classification R64): the limit of classification for Lact H362 is 0.3% and for R64 it is 1%.

The product contains: Alpha-cypermethrin. In common with other synthetic pyrethroids it may cause paraesthesia. Therefore the safety phrase S24 (Avoid contact with skin) is recommended.

Tenopa has not been tested regarding ecotoxicological/environmental properties. The classification proposal is derived from data collected from the active ingredients (alpha-cypermethrin and flufenoxuron) and based on Directives 1999/45/EC and 2006/8/EC:

| A.S. | P _{N, R50/53} | L _{N, R50/53} |
|--------------------|------------------------|------------------------|
| Flufenoxuron | 0.0025 | 3 |
| Alpha-cypermethrin | 0.025 | 3 |

Classification as N, R50/53 is required.

2.2 Summary of the Risk Assessment

2.2.1 Human health risk assessment

2.2.1.1 Hazard identification

2.2.1.1.1 Hazard identification of the active substance alpha-cypermethrin

Alpha-cypermethrin is related to cypermethrin in the following way: Cypermethrin has three chiral centres, one at cyclopropyl C1, a second at cyclopropyl C3, and a third at the benzylic alpha-carbon atom. This pyrethroid therefore consists of a mixture of eight isomers (four diastereoisomeric pairs). The cis- and trans-isomers of cypermethrin each consist of two diastereoisomeric pairs in which the C1 carboxyl group and the C3 dichlorovinyl group are on the same, or opposite, sides of the cyclopropane ring, respectively. The following nomenclature is commonly used: 1R,S describes the configuration of the C1 carboxyl group and alpha-R,S is used to describe the configuration at the CN-bearing alpha-carbon atom. The 1S and alpha-R-isomers are inactive; the active components of cypermethrin are 1R cis alpha-S and 1R trans alpha-S. Alpha-cypermethrin is the name given to the compound consisting of two of the four cis-isomers of cypermethrin: 1R cis alpha-S and 1S cis alpha-R, present each at 12.5 % in cypermethrin. Consequently, the acute oral and inhalation toxicity of alpha-cypermethrin is approximately 2–4 times greater than that of cypermethrin (based on acute toxicity data derived from the EC review report for cypermethrin SANCO/4333/2000final, 15.02.2005). There was little or no isomerisation of alpha-cypermethrin in the animal.

Data on distribution, metabolism and excretion showed that following a single oral application, approximately 43% of the dose is absorbed and eliminated within 24 hours via urinary excretion. Distribution and elimination from tissues is rapid, for example the half-life in liver and kidney is ca. 2 days.

Studies on the metabolism of cypermethrin in animals were conducted using ¹⁴C label in both the acid and alcohol portions of the molecule (¹⁴C-cyclopropyl and ¹⁴C-benzyl, respectively). Some studies were conducted with cypermethrin (1:1 cis/trans mixture) and others with the separate cis- and trans-isomers. The latter were carried out to assess the effect of stereochemistry on metabolism. Although cis-cypermethrin is hydrolysed more slowly than the trans-isomer, the difference in overall metabolic fate was found to be minimal. Metabolism studies in rats conducted with alpha-cypermethrin confirmed that the metabolic pathway is comparable for both compounds.

Alpha-cypermethrin is extensively metabolised by ester cleavage and subsequent elimination of the cyclopropanecarboxylic acid moiety largely as its glucuronic acid conjugate. In faeces, mainly the unchanged parent compound was found (20% of the administered dose).

Repeated dose toxicity studies showed that the main target organ of alpha-cypermethrin is the CNS (Central Nervous System). Based on this similarity to cypermethrin and the similar metabolic pathway of both compounds, it is unlikely that alpha-cypermethrin, a component of cypermethrin, would cause effects on reproduction or would elicit carcinogenic potential

in contrast to cypermethrin. This finding was supported by the lack of genotoxic potential of alpha-cypermethrin, as determined in *in-vitro* and *in-vivo* genotoxicity tests. In addition, no carcinogenic potential was found in a carcinogenicity study with alpha-cypermethrin in mice.

Overall, since alpha-cypermethrin is a component of cypermethrin, there is no indication that it would have different toxicological effects. Thus, read-across to alpha-cypermethrin from studies performed with cypermethrin is not considered to be restricted in any way.

Acute toxicity

Alpha-cypermethrin is acutely toxic via ingestion when administered in corn oil ($LD_{50} = 57$ mg/kg bw). However, when administered in more polar solvents (aqueous suspension, carboxymethylcellulose), no signs of toxicity were observed in rats up to the limit dose of 2000 mg/kg bw, indicating a strong dependence of bioavailability on the polarity of the vehicle.

The active substance was revealed as harmful by inhalation when administered with Aerosil[®]200 or Aerosil[®]R972 and therefore requires classification with the symbol "Xn" and with R20 "harmful by inhalation" according to the DSD (LC_{50} , inhalation, rat, for particles: $1 < LC_{50} \leq 5$ mg/l/4h). According to CLP, classification as Acute tox. 4 with the accompanying H332-phrase "harmful if inhaled" is required (LC_{50} inhalation rat for dusts and mists: $1 < LC_{50} < 5$).

Experimental studies on the rats showed no significant toxicity of alpha-cypermethrin when administered topically.

Irritation and sensitisation

Alpha-cypermethrin was found to be irritating to the skin in animal tests. Therefore, according to the DSD, classification with the symbol "Xi" and with R38 "irritating to skin" is required. Because the corresponding scores for skin irritation are invariably below 2.3 for any test parameter classification according to the CLP regulation is not necessary.

No significant eye irritating properties were observed.

There is no evidence of skin sensitisation of alpha-cypermethrin.

Medium-term toxicity

Medium-term toxicity was studied in dogs (most sensitive species), which were Beagles, receiving 30, 90 and 270 ppm up to 13 weeks. A dose of up to 90 ppm alpha-cypermethrin in food (equivalent to 3.5 mg/kg bw/d, based on body weights and feed consumption) for 90 days did not give rise to any clinical, haematological, biochemical or pathological findings indicative of any toxic effects. Highest dose induced body tremor, head nodding, ataxia and agitation. Thus, the no-observed-adverse-effect-level for short-term repeated-dose toxicity could be established at NOAEL = 3.5 mg/kg bw/d, which forms the basis for the occupational risk assessment.

Long-term toxicity

The dog was also the most sensitive species in chronic toxicity testing. Thus, in a 1-year study in dogs (Beagles), chronic exposure at doses of up to 60 ppm alpha-cypermethrin in food (equivalent to 2.0 mg/kg bw/d, based on body weights and feed consumption) did not give rise to any clinical, haematological, biochemical or pathological findings indicative of any toxic effects. Highest doses (120 or 240 ppm) induced skin irritation. Thus, the no-observed-adverse-effect-level for chronic toxicity by oral administration could be established at NOAEL = 2.0 mg/kg bw/d, which forms the basis for the chronic risk assessment (secondary exposure of the general public).

Carcinogenicity

Two studies were conducted, one with male and female rats exposed to 1000 ppm cypermethrin and another one with mice exposed to chronic oral administration of alpha-cypermethrin..

Tumours or other signs of carcinogenicity were not observed upon chronic oral administration of alpha-cypermethrin to mice.

Genotoxicity

Concerning genotoxicity, the results of four independent in vitro assays (gene mutation study in bacteria, gene mutation study in yeast, cytogenicity study in mammalian cells and gene mutation study in mammalian cells) were all negative. In addition, in vivo micronucleus, mammalian chromosome aberration and alkaline elution-rat hepatocyte assay were also unequivocally negative, even if some deviations were noticed comparing to guidelines (e.g. duration of exposure and number of tested cells). In consequence, it is concluded that there is no evidence for any genotoxic potential of alpha-cypermethrin.

Reproductive and developmental toxicity

Teratogenic or embryotoxic effects were not observed either in rats or in rabbits. Therefore, it was concluded that there is no evidence for a teratogenic potential of alpha-cypermethrin. Likewise, there were no adverse effects on reproductive performance or fertility of rats in a three-generation study on cypermethrin. By way of read-across, it is thus concluded that any potential for reproductive effects of alpha-cypermethrin can be excluded.

Neurotoxicity

Repeated dose toxicity studies showed that the main target organ of alpha-cypermethrin is the nervous system (CNS and peripheral motor nerves). Signs of acute toxicity in rats following oral administration included clonic convulsions, salivation, ataxia, lethargy, piloerection and diarrhoea. Alpha-cypermethrin was found to have a low magnitude of toxicity by dermal and inhalation routes of exposure. In repeated-dose studies, symptoms of toxicity were described as hypersensitivity to external stimuli, ataxia, nervousness, hyperactivity, tremors and splayed gait. Again, this was confirmed in an acute oral

neurotoxicity study in rats. A 4-week neurotoxicity study aiming at the identification of the toxic mechanism showed that the effects of alpha-cypermethrin are likely due to a pharmacological effect rather than the consequence of structural damage, despite sporadic incidences of slight degeneration of the sciatic nerve.

In view of neurotoxicity being the mechanism of toxicity, the NOAEL from an acute neurotoxicity study in rats was selected as the relevant endpoint for the acute human health risk assessment. The no-observed-adverse-effect-level for acute neurotoxic effects could be established at 4.0 mg/kg bw/d. An acute reference dose (ARfD) may be derived from this value by applying an assessment factor of 100, resulting in ARfD = 0.04 mg/kg bw.

2.2.1.1.2 Hazard identification of the formulation TENOPA

Percutaneous absorption

Dermal penetration of Flufenoxuron formulated as BAS 308 04H is low and amounted to 1.74 % for the formulation concentrate and 4.78 % for the spray dilution. Dermal absorption values for alpha-cypermethrin of 1.9 % and 14.2 % were determined for undiluted and diluted Tenopa, respectively. (doc IIIB.6.4)

Acute toxicity

The oral LD₅₀ for rats is 4478 mg/kg bw for both sexes.

The dermal LD₅₀ for Tenopa was > 2000 mg/kg bw for both sexes.

The 4-h LC₅₀ is greater than 2.37 mg/L, which was the maximum attainable concentration.

According to the criteria of Directive 67/548/EEC, Tenopa is not classified as acute toxic neither by oral and dermal ways nor by inhalation.

Irritation and corrosivity

According to the criteria of Directive 67/548/EEC, the test material is non-irritating to rabbit skin (doc IIIB.6.2.1); and the criteria of Directive 67/548/EEC for classification as an eye irritant are not fulfilled (doc IIIB.6.2.2).

Sensitisation

The test material, Tenopa, is not sensitising in the Buehler test on guinea pigs. No classification for skin sensitisation is required according to the criteria of Directive 67/548/EEC (doc IIIB.6.3).

2.2.1.2 Effects Assessment, AEL setting

2.2.1.2.1 Professional users

Repeated dose toxicity studies showed that the main target organ of alpha-cypermethrin is the nervous system (CNS and peripheral motor nerves). Signs of acute toxicity in rats

following oral administration included clonic convulsions, salivation, ataxia, lethargy, piloerection and diarrhoea. Alpha-cypermethrin was found to have a low magnitude of toxicity by dermal and inhalation routes of exposure. In repeated-dose studies, symptoms of toxicity were described as hypersensitivity to external stimuli, ataxia, nervousness, hyperactivity, tremors and splayed gait. Again, this was confirmed in an acute oral neurotoxicity study in rats. A 4-week neurotoxicity study aiming at the identification of the toxic mechanism showed that the effects of alpha-cypermethrin are rather due to a pharmacological effect than the consequence of structural damage, despite sporadic incidences of slight degeneration of the sciatic nerve.

Since its introduction into world-wide commercial use, there have been no reports of systemic intoxication resulting from occupational exposure of humans to alpha-cypermethrin. Dermal exposure to alpha-cypermethrin during preparation of formulated admixtures has on occasions resulted in transitory skin sensations at the contact sites. Clinical and haematological parameters were not affected, and no consistent adverse neurological signs were reported in studies on selected motor and peripheral sensory nerves.

Localised skin sensations experienced after dermal exposure have been interpreted as possibly resulting from prolonged repetitive firing of sensory nerve fibres or nerve endings. Again, these effects have been consistently reported as being transient. The sparse axonal degeneration observed in laboratory animals following administration of alpha-cypermethrin at relatively high oral dose levels is not believed to warrant concern for workers under conventional use conditions.

Reference doses:

Acute

In agreement with the toxic mechanism of alpha-cypermethrin (neurotoxicity), the following NOAEL of the acute neurotoxicity study is selected for the acute risk assessment:

NOAEL_{acute} = 4.0 mg/kg bw

The systemic NOAEL, taking into account a factor of 0.45 for gastric absorption, is:

NOAEL_{acute, systemic} = 4.0 x 0.45 = 1.8 mg/kg bw

Applying an assessment factor of 100 results in a systemic AEL of:

AEL_{acute, systemic} = 0.018 mg/kg bw

Occupational

The occupational risk assessment for alpha-cypermethrin is based on results of short-term/subchronic toxicity testing which is the most relevant to typical short-term exposures that operators might experience. The most sensitive species in short-term toxicity tests was the dog, with a 90-d oral NOAEL of 3.5 mg/kg bw/d.

In order to derive a systemic reference dose, this needs to be corrected for the limited absorption in the gastro-intestinal tract (45 %):

$$\text{NOAEL}_{\text{medium term, systemic}} = 3.5 \times 0.45 = 1.575 \text{ mg/kg bw/day}$$

In consideration of the absence of genotoxicity, developmental toxicity, prolonged effects in humans or carcinogenicity, and by applying an assessment factor of 100, this results in a systemic AEL_{medium term} of

$$\text{AEL}_{\text{medium term, systemic}} = 0.016 \text{ mg/kg bw/d}$$

Chronic

Since the typical exposure pattern for operators is short-term exposure, chronic exposure is not considered to be relevant in an occupational context. The risk assessment for users of the biocidal product will therefore be based on the AOEL or the systemic NOAEL derived from sub-chronic toxicity testing as presented above, respectively.

Table 2.2.1-1 Summary of reference doses for professional users

| Reference dose | | Value | Unit |
|----------------|--|-------|------------|
| ACUTE | NOAEL _{acute} | 4.0 | mg/kg bw |
| | NOAEL _{acute, systemic} | 1.8 | mg/kg bw |
| | AEL _{acute, systemic} | 0.018 | mg/kg bw |
| OCCUPATIONAL | NOAEL _{90d, oral dog} | 3.5 | mg/kg bw/d |
| | NOAEL _{medium term, systemic} | 1.575 | mg/kg bw/d |
| | AEL _{medium term, systemic} | 0.016 | mg/kg bw/d |
| CHRONIC | Not relevant | | |

2.2.1.2.2 Non- users

Repeated dose toxicity studies showed that the main target organ of alpha-cypermethrin is the nervous system (CNS and peripheral motor nerves). Signs of acute toxicity in rats following oral administration included clonic convulsions, salivation, ataxia, lethargy, piloerection and diarrhoea. Alpha-cypermethrin was found to have a low magnitude of toxicity by dermal and inhalation routes of exposure. In repeated-dose studies, symptoms of toxicity were described as hypersensitivity to external stimuli, ataxia, nervousness, hyperactivity, tremors and splayed gait. Again, this was confirmed in an acute oral neurotoxicity study in rats. A 4-weeks neurotoxicity study aiming at the identification of the toxic mechanism showed that the effects of alpha-cypermethrin are likely due to a pharmacological effect rather than the consequence of structural damage, despite sporadic incidences of slight degeneration of the sciatic nerve.

Since its introduction into world-wide commercial use, there have been no reports of systemic intoxication resulting from occupational exposure of humans to alpha-cypermethrin. Dermal exposure to alpha-cypermethrin during preparation of formulated mixtures resulted in transitory skin sensations at the contact sites. Clinical and haematological parameters were not affected, and no consistent adverse neurological signs were reported in studies on selected motor and peripheral sensory nerves. Localised skin sensations experienced after dermal exposure have been interpreted as possibly resulting from prolonged repetitive firing of sensory nerve fibres or nerve endings. Again, these effects have been consistently reported as being transient. The sparse axonal degeneration observed in laboratory animals following administration of alpha-cypermethrin at relatively high oral dose levels is not believed to warrant concern for workers under conventional use conditions.

Reference doses:

Acute

In agreement with the toxic mechanism of alpha-cypermethrin (neurotoxicity), the NOAEL of the acute neurotoxicity study is selected for the acute risk assessment:

$$\text{NOAEL}_{\text{acute}} = 4.0 \text{ mg/kg bw/d}$$

$$\text{NOAEL}_{\text{acute, systemic}} = 4.0 \times 0.45 = 1.8 \text{ mg/kg bw/d}$$

$$\text{AEL}_{\text{acute}} = 0.018 \text{ mg/kg bw/d}$$

Occupational

For assessing the potential for chronic secondary exposure, short-term toxicity is not considered to be relevant. Instead, the risk assessment is based on the chronic no-effect levels identified to be relevant as presented below.

Chronic

The risk assessment for exposure of the general public to alpha-cypermethrin is based on results of chronic toxicity studies. The most sensitive species in chronic toxicity tests was the dog, with a 1-year oral NOAEL of 2.0 mg/kg bw/d. In consideration of the absence of genotoxicity, developmental toxicity, prolonged effects in humans or carcinogenicity, it is proposed to base the risk assessment for potential chronic exposure on a systemic chronic NOAEL by taking into account the oral absorption factor of 0.45. For the derivation of the systemic AEL, an assessment factor of 100 is used. This results in:

$$\text{NOAEL}_{\text{chronic, systemic}} = 2.0 \times 0.45 = 0.9 \text{ mg/kg bw/d}$$

$$\text{AEL}_{\text{chronic, systemic}} = 0.009 \text{ mg/kg bw/d}$$

The adoption of the ADI is not considered appropriate for assessing the risks of chronic secondary exposure since not only the oral but also the dermal and the inhalation route needs to be taken into account.

Table 2.2.1-2 Summary of reference doses for non-users

| Reference dose | | Value | Unit |
|----------------|------------------------------------|-------|------------|
| ACUTE | NOAEL _{acute} | 4.0 | mg/kg bw |
| | NOAEL _{acute, systemic} | 1.8 | mg/kg bw |
| | AEL _{acute, systemic} | 0.018 | mg/kg bw |
| OCCUPATIONAL | Not relevant | | |
| CHRONIC | NOAEL _{1 year, oral dog} | 2.0 | mg/kg bw/d |
| | NOAEL _{chronic, systemic} | 0.9 | mg/kg bw/d |
| | AEL _{chronic, systemic} | 0.009 | mg/kg bw/d |

2.2.1.3 Human exposure assessment

2.2.1.3.1 Primary exposure

Tenopa is an insecticide that demonstrates a high level of initial activity, broad-spectrum of control, and long residual performance. Tenopa is formulated as a water-based suspension concentrate (SC) which is particularly suitable for use in domestic and public areas. It is to be used especially for surface, crack and crevice treatment. It will be used exclusively by professional pest-control personnel.

During and after application of alpha-cypermethrin-containing insecticides by professional operators, contamination of workers may theoretically occur by dermal, inhalation and oral routes. Exposure will be reduced by wearing impermeable coveralls, safety goggles, foot protection, gloves and respiratory protective equipment. Inhalation exposure is further reduced by the recommended application via low-pressure spraying at less than 2 bar (30 psi).

2.2.1.3.2 Secondary exposure

Secondary exposure may occur mainly via inhalation of volatilized residues. However, it is explicitly noted that alpha-cypermethrin is basically non-volatile and inhalation exposure should therefore be quantitatively irrelevant. Nevertheless the inhalation route is taken into account in the secondary exposure assessment. Dermal contact with treated surfaces is less likely because of the main use area of Tenopa being crack and crevice treatment. However, child-accessible surfaces may be treated to some extent. Dermal contact to treated surfaces

can be significant for small children (infant) who play on treated floor areas and dislodge some residual a.s. from the floor. Subsequently, oral uptake by infants can occur via hand-to-mouth transfer.

Table 2.2.1-3 Summary of human exposure paths to alpha-cypermethrin

| Exposure path | Industrial use | Professional use | Secondary exposure | Via the environment |
|---------------|----------------|------------------|-----------------------------------|---------------------|
| Inhalation | No | Relevant | Relevant | No |
| Dermal | No | Relevant | Relevant for children and infants | No |
| Oral | No | No | Relevant for infants | No |

Table 2.2.1-4 Summary of systemic exposure of professionals using alpha-cypermethrin insecticide

| Exposure scenario | a.s.* [%] | PPE | Estimated total intake mg/kg bw/d) |
|--|-----------|-----------------|---------------------------------------|
| Spray application (indoor and outdoors, hand-held sprayer) | 0.03 | No PPE (tier 1) | 0.0242 |
| | | Tier 2 (- RPE) | 0.0030 |
| | | Tier 2 (+ RPE) | 0.0018 |

* Concentration of active substance in the treatment solution

Table 2.2.1-5 Summary of Secondary Exposure

| Secondary exposure scenario | Calculated exposure to alpha-cypermethrin [mg a.s./kg bw/day] | |
|---|--|-----------------------|
| ACUTE | Adult | |
| | Inhaling volatilised residues from treated carpet | 2.88×10^{-5} |
| | Child | |
| | Inhaling volatilised residues from treated carpet | 3.84×10^{-5} |
| | Playing on treated carpet (dermal) | 0.0076 |
| | Combined exposure (inhalation + dermal) | 7.60×10^{-3} |
| | Infant | |
| Inhaling volatilised residues from treated carpet | 2.61×10^{-5} | |
| Playing on treated carpet and mouthing hands (dermal + ingestion) | 0.0139 | |
| Combined exposure (inhalation + dermal + oral) | 0.0139 | |
| CHRONIC | Adult | |
| Inhaling volatilised residues from treated carpet | 2.88×10^{-5} | |

| | | |
|---|---|---|
| | Child | |
| | Inhaling volatilised residues from treated carpet | 3.84×10^{-5} |
| | Playing on treated carpet (dermal) | 0.0076 |
| | Combined exposure (inhalation + dermal) | 7.60×10^{-3} |
| | Infant | |
| | Inhaling volatilised residues from treated carpet | 2.61×10^{-5} |
| Playing on treated carpet and mouthing hands (dermal + ingestion) | 0.0139 | |
| Combined exposure (inhalation + dermal + oral) | 0.0139 | |

2.2.1.4 Risk characterisation

2.2.1.4.1 Human health risk for professionals (Primary exposure)

Tenopa is formulated as a water-based suspension concentrate (SC) which is particularly suitable for use in domestic and public areas. It is to be used especially for surface, crack and crevice treatment. It will be used exclusively by professional pest-control personnel.

1.1.1.1.1.1 INDUSTRIAL EXPOSURE: Production/Formulation of active substance

The active substance is manufactured outside the EU. No exposure data with respect to this production step are required and therefore characterisation of potential occupational risks is not subjected to Directive 98/8/EC. Appropriate PPE (Personal Protective Equipment) is used and adequate engineering controls and administrative procedures are implemented (see Document III, Section A2.10.1). Manufacturing personnel are regularly monitored for their health status. Since substance-related negative effects were not detected in the past, a significant risk during formulation of the biocidal product is not indicated.

Conclusion:

There is no concern for industrial workers in the production and formulation of the active substance.

1.1.1.1.1.2 PROFESSIONAL EXPOSURE from the use of the biocidal product

Tenopa is applied by professional PCOs (Pest Control Operators) using low-pressure spraying (< 2 bar). Any infested area (domestic and public), which typically constitutes, in view of the way of living of the target insects, cracks, crevices, spaces behind furnishing etc...

Table 2.2.1-6 Professional users PT18 (primary exposure) – risk characterisation

| Exposure scenario | Estimated Internal Exposure | | | Relevant NOAEL/LOAEL [mg/kg.bw/d] Reference Value e.g: AEL (acute or medium or chronic) | AF MOE ref | MOE | Exposure /AEL | |
|----------------------|--|--------------------------------------|-------------------------------------|--|--|-----|------------------------------|---------------------------------|
| | estimated inhalation uptake [mg/kg bw/d] | estimated dermal uptake [mg/kg bw/d] | estimated total uptake [mg/kg bw/d] | | | | | |
| Tier 1 | | 0.00131 | 0.0229 | 0.0242 | | 100 | Acute: 74 Repeated: 65 | Acute:1.34 Repeated: 1.51 |
| Tier 2 PPE no RPE | Manual spraying (indoor and outdoor, handheld sprayer) | 0.00131 | 0.0017 † | 0.0030 † | NOAEL _{acute, systemic} = 1.8 mg/kg bw NOAEL _{medium term, systemic} = 1.575 AEL _{acute systemic} = 0.018 mg/kg bw/d AEL _{medium term, systemic} = 0.016 mg/kg bw/d | 100 | Acute: 600 Repeated: 525 | Acute: 0.167 Repeated: 0.188 |
| TIER 2 PPE + RPE | Manual spraying (indoor and outdoor, handheld sprayer) | 0.0001 | 0.0017 † | 0.0018 † | | 100 | Acute: 1000 Repeated: 875 | Acute: 0.1 Repeated: 0.113 |

† Spraying model 1 indicative values for dermal exposure: hand exposure inside gloves

Conclusion

In order to achieve an acceptable risk characterisation for PCOs using Tenopa for domestic insect control, it is considered necessary to wear protective clothing (at least a coverall and protective gloves).

However, it is explicitly noted that during low-pressure insecticide spraying PCOs wear protective clothing including gloves and RPE (Respiratory Protective Equipment) by default (see MSDS for Tenopa, recommending RPE type P2 of FFP2 according to EN 143, 149, safety gloves according to EN 374, safety goggles according to EN 166, and a chemical protection suit according to DIN-EN 465). Thus, the recommended personal protection measures will adequately minimise the occupational risk for pest control operators.

In these conditions, the risk of acute and repeated exposure to alpha-cypermethrin during pest control operations was estimated to be low and is therefore considered to be acceptable.

1.1.1.1.1.3 NON-PROFESSIONAL EXPOSURE from the use of the biocidal product

Tenopa is to be used by professional operators only. Thus, an assessment of non-professional exposure is not required due to lack of relevance

2.2.1.4.2 Human health risk from indirect exposure as a result of use (Secondary exposure)

Secondary exposure could occur in the residential environment following pest-control measures. These exposures include inhalation of volatilized residues and dermal contact of contaminated surfaces. Hand-to-mouth contact might apply to infants playing on the floor.

The following worst case exposure estimates from Document II-B are taken forward for risk characterisation:

Table 2.2.1-7 Indirect exposure as a result of use (secondary exposure) – risk characterisation

| Exposure scenario | | Estimated internal exposure | | | | Relevant NOAEL/LOAEL [mg/kg.bw/d] Reference Value e.g: AEL (acute or medium or chronic) | AF MOE ref | MOE | Exposure /AEL |
|-------------------|---------------|--|--------------------------------------|------------------------------------|-------------------------------------|---|------------|-------|---------------|
| | | estimated inhalation uptake [mg/kg bw/d] | estimated dermal uptake [mg/kg bw/d] | estimated oral uptake [mg/kg bw/d] | estimated total uptake [mg/kg bw/d] | | | | |
| Acute | Adult | 2.88×10^{-5} | | | 2.88×10^{-5} | NOAEL _{acute, systemic} = 1.8 mg/kg bw | 100 | 62500 | 0.002 |
| | Child | 3.84×10^{-5} | 7.60×10^{-3} | | 7.60×10^{-3} | AEL _{acute systemic} = 0.018 mg/kg bw/d | 100 | 237 | 0.422 |
| | Infant | 2.61×10^{-5} | 0.0102 | 0.0036 | 1.39×10^{-2} | | 100 | 129 | 0.771 |
| Chronic | Adult | 2.88×10^{-5} | | | 2.88×10^{-5} | NOAEL _{chronic, systemic} = 0.9 mg/kg bw | 100 | 31250 | 0.003 |
| | Child | 3.84×10^{-5} | 7.60×10^{-3} | | 7.60×10^{-3} | AEL _{chronic systemic} | 100 | 118 | 0.844 |

| | | | | | | | | | |
|--|---------------|-----------------------|--------|--------|-----------------------|--------------------------|-----|----|-------|
| | Infant | 2.61×10^{-5} | 0.0102 | 0.0036 | 1.39×10^{-2} | = 0.009 mg/kg bw/d | 100 | 65 | 1.542 |
|--|---------------|-----------------------|--------|--------|-----------------------|--------------------------|-----|----|-------|

Based on the results presented in the table above, it can be concluded that acute secondary exposure to residues of alpha-cypermethrin on surfaces treated with Tenopa and residues in air does not pose a significant risk to consumers even under worst case assumptions for infants playing on treated carpet and mouthing hands.

In relation to the relevant chronic systemic NOAEL of $2.0 \times 0.45 = 0.9 \text{ mg/kg bw}$, as derived from a 1-year study in dogs, the margins of exposure are calculated. Chronic secondary exposure to residues of alpha-cypermethrin on surfaces treated with Tenopa and residues in air does not pose a significant risk to adults (inhalation) and children (inhalation + dermal). A risk is calculated for the worst case scenario, infants playing on treated carpet and mouthing hands (inhalation + dermal + oral).

However, this chronic scenario overestimates the risk, since it considers that, while the active substance is only applied once per year, the infant will be exposed every day of the year to the maximum amount of active substance. Furthermore, a study demonstrated that after application the residue on the treated carpet will decrease with time (54 % within 6 weeks, cfr. Doc IIIB 6.6).

Moreover we can calculate the maximum amount of days that an infant can be exposed without exceeding the $AEL_{\text{chronic, systemic}}$ of 0.009 mg/kg bw/d:

$$\begin{aligned} \text{Max.days} &= AEL_{\text{chronic, systemic}} \times 365 \text{ d} / \text{estimated total uptake for the infant} \\ &= 0.009 \text{ mg/kg bw/day} \times 365 \text{ d} / 0.0139 \text{ mg/kg bw/d} \\ &= 236 \text{ days} \end{aligned}$$

This means the infant could be exposed during 236 days to this maximum applied amount of active substance (15 mg/m^2) without exceeding the $AEL_{\text{chronic, systemic}}$.

Considering the facts that there will only be one treatment per year and that there will be a reduction of residue on the treated carpet over time, we can assume that the crawling infant will be exposed less than these 236 days. Thus we can conclude that the secondary exposure for an infant is acceptable at the maximum application rate of 15 mg a.s./m^2 .

When considering the normal application rate of 7.5 mg a.s./m^2 , no risks are calculated for the infant even under the assumption that exposure will take place every day of the year at the full amount of active substance applied.

Table 2.2.1-8 Chronic indirect exposure as a result of use, considering a normal application rate (secondary exposure) – risk characterisation

| Exposure scenario | Estimated internal exposure | | | | Relevant NOAEL/LOAEL [mg/kg.bw/d] Reference Value e.g: AEL (acute or | AF MOE ref | MOE | Exposure /AEL |
|-------------------|--|--------------------------------------|------------------------------------|-------------------------------------|--|------------|-----|---------------|
| | estimated inhalation uptake [mg/kg bw/d] | estimated dermal uptake [mg/kg bw/d] | estimated oral uptake [mg/kg bw/d] | estimated total uptake [mg/kg bw/d] | | | | |

| | | | | | | medium or chronic) | | | |
|----------------|---------------|-----------------------|-----------------------|--------|-----------------------|---|-----|-------|-------|
| Chronic | Adult | 2.88×10^{-5} | | | 2.88×10^{-5} | NOAEL _{chronic, systemic} = 0.9 mg/kg bw | 100 | 31250 | 0.003 |
| | Child | 3.84×10^{-5} | 3.80×10^{-3} | | 3.82×10^{-3} | AEL _{chronic systemic} = 0.009 mg/kg bw/d | 100 | 236 | 0.424 |
| | Infant | 2.61×10^{-5} | 0.0051 | 0.0018 | 6.95×10^{-3} | | 100 | 129 | 0.772 |

Conclusion

Neither the acute, nor the chronic secondary exposure to residues of alpha-cypermethrin on surfaces treated with Tenopa and residues in air poses a significant risk to consumers.

2.2.2 Environmental Risk Assessment

2.2.2.1 Fate and distribution in the environment

2.2.2.1.1 Hydrolysis as a function of pH

Hydrolysis of alpha-cypermethrin takes place at alkaline buffer solutions (DT_{50} , pH 9 at 12 °C = 9.9 days), forming 3-phenoxybenzaldehyde (CAS-no. 39515-51-0) as the only major metabolite. Whereas at neutral and acidic conditions, alpha-cypermethrin is relatively stable (DT_{50} = 564.4 d, 12 °C, pH = 7) and stable to hydrolysis (DT_{50} > 1 year, 50 °C, pH = 4).

2.2.2.1.2 Photolysis in water

Aqueous photolysis contributes to degradation of alpha-cypermethrin in water. Three resulting metabolites were formed: 3-phenoxybenzaldehyde, 3-phenoxybenzoic acid and cis + trans-2,2-dimethyl-3-(2',2'-dichlorovinyl)cyclopropane carboxylic acid isomers. The former two metabolites are also sensitive to photodegradation. The latter metabolite demonstrated to be less sensitive.

Nevertheless, increasing amounts of carbon dioxide were formed, suggesting that alpha-cypermethrin and its photolytic transformation products are rapidly degraded, involving partial photochemical oxidation to CO₂.

2.2.2.1.3 Photolysis in air

Based on the vapour pressure (3.4×10^{-7} Pa at 25 °C) and the Henry constant (0.069 Pa × m³/mol at 25 °C), volatilisation of alpha-cypermethrin is negligible. Calculations of the chemical lifetime in the troposphere resulted in a half-life of 3.47 hours (QSAR estimates). In addition and according to the TGD Part II chapter 3, 2.3.6.3, photolysis in air was calculated using the following:

$$k_{deg,air} = k_{OH} \times OH_{CONC}_{air} \times 24 \times 3600$$

$$\text{where: } OH_{CONC}_{air} = 5 \times 10^5 \text{ OH molecule/ cm}^3$$

$$k_{OH} = 37.0116 \times 10^{-12} \text{ cm}^3/\text{mol}\cdot\text{sec}$$

Resulting in a pseudo-first order rate of degradation in air of 1.60 day.

According to these result ($t_{1/2}$ < 2 days), alpha-cypermethrin is rapidly degraded by photochemical processes and no accumulation of alpha-cypermethrin in the air is to be expected.

2.2.2.1.4 Photodegradation in soil

Alpha-cypermethrin degraded slowly in soil (DT_{50} , sandy silty loam soil, 12 °C = 113.5 days) with 3-phenoxybenzoic acid as a major product of degradation and 3-phenoxybenzaldehyde as a minor product of degradation.

2.2.2.1.5 Biodegradation

Alpha-cypermethrin is not readily biodegradable according to OECD 301 B and D guidelines.

However, higher-tier water/sediment studies showed that alpha-cypermethrin moved rapidly from the water phase to the sediment phase with a DT_{50} in the water ranging between 0.88 and 4 days and in sediment between 12 and 67 days at the reference temperature of 12 °C. The main degradation products formed were cis-2,2-dimethyl-3-(2',2'-dichlorovinyl)cyclopropane carboxylic acid isomers (DT_{50} ranged 27 – 70 days) and 3-phenoxybenzoic acid (DT_{50} ranged 4 – 6 days), both of which underwent further degradation to CO_2 .

In a laboratory experiment on the degradation of alpha-cypermethrin in sandy loam soil, the major degradation product after 120 days was CO_2 (DT_{50} , 12 °C = 39.1 days). Additionally to laboratory studies, field studies on the degradation of alpha-cypermethrin were conducted and included single annual applications for up to three years. DT_{50} ranged from < 14 to 112 days and in all of these studies 90 % of the dissipation occurred within a year.

2.2.2.1.6 Mobility

Based on reliable adsorption/desorption data, it can be concluded that alpha-cypermethrin is strongly adsorbed by soil components ($K_{oc} = 26\ 492 - 144\ 652$, $n = 3$, mean value = 76 344 mL/g). Therefore, leaching is not expected to occur.

2.2.2.1.7 Bioaccumulation

According to the experimentally derived BCF_{fish} ($\log P_{ow} = 5.5$), alpha-cypermethrin is not considered to be a bioaccumulable substance with a BCF_{fish} value of 910 L/kg.

2.2.2.2 Effects Assessment

2.2.2.2.1 Aquatic compartment

Alpha-cypermethrin is highly toxic to aquatic organisms (except algae). The chronic NOECs, both for fish and invertebrates (*Daphnia*), were determined as 0.03 µg/L.

The effects of alpha-cypermethrin to aquatic ecosystems were also evaluated on a higher-tier level by two mesocosm studies, supporting toxicity studies on a range of macro-invertebrates, and a series of independent expert opinions. This resulted in a recommendation for an ecologically acceptable concentration (EAC) of alpha-cypermethrin in surface water of: EAC = 0.015 µg/L

This endpoint could not be considered for PNEC derivation (conventionally agreed at TM), but it could be considered as additional information to lower the assessment factor if the most sensitive species identified in other tests is also represented in the mesocosm study.

Considering this approach, BE CA decided to use the endpoint from the chronic toxicity test to sediment-dwelling organisms (*Chironomus riparius*), which used spiked water and is thus relevant to derive the $PNEC_{\text{aquatic}}$. In addition, it is the same organism order (diptera: *Chironomus riparius*) as in the mesocosm study (diptera: *Chaoborus crystallinus*) and it can therefore be justified to lower the assessment factor by a factor of 2; from 10 to 5.

This study generated a 28d-NOEC of 0.024 µg/L. The proposed $PNEC_{\text{aquatic}}$ would then be derived as:

$$PNEC_{\text{aquatic}} = 0.024/5 = 0.0048 \mu\text{g/L} = 4.8 \times 10^{-6} \text{ mg/L}$$

Alpha-cypermethrin showed no effect on the respiration of activated sludge micro-organisms up to the concentration of 1000 mg/L. Resulting in a $PNEC_{\text{micro-organisms (STP)}}$ of 100 mg/L after applying an assessment factor of 10. Therefore, any relevant negative effects to micro-organisms in STPs can be safely excluded.

2.2.2.2.2 Sediment

Three specific long-term and one short-term toxicity tests on sediment-dwelling organisms (*Chironomus riparius*, *Lumbriculus variegatus* and *Caenorhabditis elegans*) are available. The lowest available endpoint is the chronic $NOEC_{\text{development rate}}$ for *Chironomus riparius*, determined as 0.0225 mg/kg dwt, relating to exposure via the sediment compartment. Test substance concentrations in the sediment were analytically determined at the start and termination of study period and endpoints were calculated based on mean measured concentrations.

The rapid partitioning of alpha-cypermethrin to the sediment, as demonstrated in the water-sediment study (see chapter 4.1.1.2.2 above), indicates that in the current studies the test organisms were most likely predominantly exposed to the test substance via sediment. Furthermore, extensive partitioning of alpha-cypermethrin to the sediment was analytically confirmed in the mesocosm study. In view of the partitioning-degradation pattern established in the mesocosm study as well as a laboratory water-sediment degradation study, the sediment organisms in the mesocosm studies may thus be assumed to have been exposed to the active substance over extended time periods. Therefore, concentrations of alpha-cypermethrin in sediment, up to 0.0225 mg/kg dry sediment (corresponding to $NOEC_{\text{development rate}}$) is considered to lack in any significant potential to harm sediment-dwelling organisms.

Based on the available data, an assessment factor of 10 can be used to derive the $PNEC_{\text{sediment}}$ from the lowest available NOEC. Additionally, it was agreed that the higher tier mesocosm study could be used to lower the assessment factor by a factor 2; from 10 to 5. This results in

$$PNEC_{\text{sediment}} = 0.0225/5 = 4.5 \times 10^{-3} \text{ mg/kg dwt}$$

When calculating PECs based on emission scenario's, these PECs for the sediment compartment will always be expressed in terms of amount of active substance to wet weight

sediment. To be able to compare these PECs correctly with the $PNEC_{\text{sediment}}$, this PNEC should also be expressed in terms of wet weight sediment. In order to do this, the TGD and MOTA recommend using a conversion factor of $4.6 \text{ kg}_{\text{wwt}}/\text{kg}_{\text{dwt}}$ for the sediment, based on the default values for “suspended matter”.

The applicant opposes against the use of this conversion factor and therefore submitted additional data for the Chironomids toxicity test.

The sediment used in the *Chironomus riparius* spiked sediment test had an average water content of 24.72 % ($F_{\text{water}_{\text{sed}}}$). This water content was calculated from the weight difference of wet sediment and sediment after drying. Based on this, a new conversion factor can be calculated, through the same TGD equations: $1.13 \text{ kg}_{\text{wwt}}/\text{kg}_{\text{dwt}}$

This new conversion factor is substantially smaller than the default factor from the TGD – it is actually comparable to the soil conversion factor – and could potentially have a large impact on the risk assessment for the sediment dwelling organisms.

However, BE RMS objected against using this conversion factor, because it seems that this new conversion factor does not take into account the suspended matter, which is the most important part of the sediment in terms of the exposed organisms. Additionally, it would seem that this factor would only be applicable for the specific sediment used in this test.

A final argument against the use of this new conversion factor is that the PEC is calculated using default values for suspended matter, which would then mean that there would be a discrepancy against comparing this PEC with the PNEC converted with the test-specific conversion factor.

This issue was discussed during the BPC WG-1-2014. There it was concluded that a conversion factor of 4.6, as supported by BE RMS, should be applied. Additionally, a statement should be made that this factor could be revised for product authorisation stage, based on the outcome of future discussions at the Ad Hoc Environmental Exposure WG.

The $PNEC_{\text{sediment}}$ converted from dry weight to wet weight is thus equal to

$$PNEC_{\text{sediment}} = 4.5 \times 10^{-3} / 4.6 = 9.78 \times 10^{-4} \text{ mg/kg wwt}$$

2.2.2.2.3 Terrestrial compartment

Based on the lowest NOEC values derived from two long-term studies on soil micro-organisms C- and N-transformation ($\text{NOEC} > 100 \text{ mg}/\text{kg}_{\text{dry soil}}$), the $PNEC_{\text{soil}}$, after converting the NOEC related to dry soil to an effect value for naturally wet soil and applying an assessment factor of 100, the PNEC for the terrestrial compartment has been determined to be $> 0.882 \text{ mg}/\text{kg}_{\text{wwt}}$.

2.2.2.2.4 Secondary poisoning of predators

The first step in an assessment of secondary poisoning risk is to consider whether a chemical has the potential to bioaccumulate. Alpha-cypermethrin is ultimately degraded in the aquatic environment and in soil. Furthermore, the active substance is of only moderate to

low toxicity to birds and mammals. Thus, the secondary poisoning hazard of alpha-cypermethrin may be expected to be intrinsically negligible. Nevertheless, an assessment for secondary poisoning of aquatic and terrestrial top predators was performed.

Based on the dietary long-term NOEC of 150 mg/kg diet obtained from the reproductive toxicity study in the Northern bobwhite quail, applying an assessment factor of 30 as prescribed by the TGD on Risk Assessment (part II, chapter 3, Table 23, p. 130), the avian dietary predicted no-effect concentration is given as **PNEC_{birds} = 5.0 mg/kg feed** for general toxic effects.

Adverse effects to reproductive parameters in birds were not observed in the avian reproduction study.

The NOAEL from the subchronic toxicity study in dogs, allocated to the human health section (1-year study, dog, see chapter 3) is adopted as the basis for estimation of PNEC_{mammal}. Following the TGD on Risk Assessment (part II, chapter 3, equation 77 and 78), the 1-year NOAEL of 2.0 mg/kg b.w./d is converted to NOEC by multiplication with a conversion factor of 40 (Table 22 of TGD on Risk Assessment) for NOAELs derived from a dog study of > 6 weeks duration, thus resulting in NOEC_{mammal, food, chronic} = 80 mg/kg food.

The PNEC is then derived by dividing the NOEC by an assessment factor of 30, resulting in

PNEC_{mammal} = 2.67 mg/kg food

2.2.2.2.5 Summary of PNEC values

| Environmental compartment | PNEC |
|------------------------------|----------------------------------|
| Surface water | 4.8 x 10 ⁻⁶ mg a.i./L |
| Sewage treatment plant (STP) | 100 mg a.i./L |
| Sediment | 9.78 x 10 ⁻⁴ mg/kg ww |
| Soil | > 0.882 mg a.i./kgww |
| Birds | 5 mg/kg _{feed} |
| Mammals | 2.67 mg/kg _{food} |

2.2.2.3 Environmental exposure assessment

The insecticide product Tenopa (3 % alpha-cypermethrin, 3 % flufenoxuron) is exclusively used for indoor applications against cockroaches and fleas in domestic and public areas. Tenopa is applied on hard surfaces, cracks and crevices, areas behind furnishings and equipment by professional pest-control operators (PCOs).

Two application rates for the product are recommended:

| Rate | Product | Active ingredient |
|--------------------------|-----------------------------------|--|
| Normal application rate | 25 mL TENOPA / 100 m ² | 7.5 mg alpha-cypermethrin / m ² |
| Special application rate | 50 mL TENOPA / 100 m ² | 15 mg alpha-cypermethrin / m ² |

The main emission route of the product Tenopa is via wastewater in sewage water treatment plants after the cleaning of the treated area or the spraying materials. Therefore, there are no direct emissions to surface water or sediment, and aquatic or sediment organisms are not directly exposed to the active substance. Direct exposures of the environment via the pathways air, soil or groundwater are considered to be negligible. However, STP sludge might be applied to soil and contaminate soil. Therefore, a predicted environmental concentration for soil (PEC_{soil}) and groundwater ($PEC_{local,soil,porewater} = PEC_{groundwater}$) were calculated.

To estimate the emission rates the OECD ESD n°18 for insecticides for household and professional uses is used and calculations were made based on three approaches:

1. total surface application;
2. chemical barrier treatment; and
3. crack and crevices treatment

For each of these approaches, the following formulas (ESD for PT18 products, July 17, 2008) were used to calculate daily local emission to STP (as STP is regarded as the only pathway of direct alpha-cypermethrin emissions after indoor use of Tenopa):

$$(1) E_{prep,air} = Q_{prod,prep} \times F_{AI} \times N_{prep,building} \times F_{prep,air} \times 10^{-3}$$

$$(2) E_{prep,applicator} = Q_{prod,prep} \times F_{AI} \times N_{prep,building} \times F_{prep,applicator} \times 10^{-3}$$

$$(3) E_{prep,floor} = Q_{prod,prep} \times F_{AI} \times N_{prep,building} \times F_{prep,floor} \times 10^{-3}$$

$$(4) E_{application,air} = N_{appl,building} \times F_{application,air} \times Q_{prod} \times F_{AI} \times AREA_{treated}$$

$$(5) E_{application,applicator} = N_{appl,building} \times F_{application,applicator} \times Q_{prod} \times F_{AI} \times AREA_{treated}$$

$$(6) E_{application,floor} = N_{appl,building} \times F_{application,floor} \times Q_{prod} \times F_{AI} \times AREA_{treated, wet rooms}$$

$$(7) E_{application,treated} = N_{appl,building} \times F_{application,treated} \times Q_{prod} \times F_{AI} \times AREA_{treated, wet rooms}$$

$$(8) E_{applicator,ww} = (E_{prep,applicator} + E_{application,applicator}) \times F_{applicator,ww}$$

$$(9) E_{treated,ww} = (E_{prep,floor} + E_{application,floor} + E_{application,treated}) \times F_{ww} \times F_{CE}$$

$$(10) E_{local waste water} = E_{applicator,ww} + E_{treated,ww}$$

$$(11) E_{local waste water, total} = ((E_{local waste water, houses} \times N_{houses}) + (E_{local waste water, larger buildings} \times N_{larger buildings})) \times F_{simultaneity}$$

where the following symbols are detailed as (ESD, PT18, p. 97-100):

- Fraction emitted to waste waters by the applicator during the cleaning step: $F_{applicator\ ww}$. In the present case, $F_{applicator\ ww}$ is equal to 1 as 100% of the coveralls are washable;
- Fraction emitted to waste waters during the cleaning step: F_{ww} . In the present case, F_{ww} is equal to 1 as 100% of the treated surfaces are washed with water;
- Cleaning efficiency:
 - o the total surface application: $F_{CE} = 0.5$ (ESD PT 18, Table 3.3-8, p.64);
 - o the chemical barrier application: $F_{CE} = 0.5$ (ESD PT 18, Table 3.3-8, p.64);
 - o the cracks and crevices application: $F_{CE} = 0.25$ (ESD PT 18, Table 3.3-8, p.64);
- Simultaneity factor, $F_{simultaneity}$, represents the percentage of houses/buildings which are treated simultaneously (ESD PT18, p. 38-40). Initially, calculations were made with the default F_{sim} of 0.0552, due to lack of other information.

After a meeting with the applicant (7th October 2013) to discuss possible safe-use scenarios, label restrictions were introduced by the applicant to reduce the default simultaneity factor: Only 1 to 2 applications per year are envisaged, resulting in an F_{sim} of 0.00204.

This new proposed factor was discussed during the Environmental BPC-WG-1-2014. Even though some reservations to such a reduction in use was voiced, it was concluded that label restrictions are the applicants responsibility. Therefore, the new simultaneity factor of 0.00204, representing a use restriction of 1 to 2 applications per year, was accepted during the aforementioned working group.

Table 2.2.2-1 Summary of calculated daily emissions to waste water

| | application rate 15 mg a.i./m ² | | application rate 7.5 mg a.i./m ² | |
|--|---|--|--|--|
| | $F_{sim} = 0.0552$ | $F_{sim} = 0.00204$ (1-2 applications per year) | $F_{sim} = 0.0552$ | $F_{sim} = 0.00204$ (1-2 applications per year) |
| TOTAL SURFACE APPLICATION | | | | |
| $E_{local\ waste\ water, total}$ [kg/d] | 8.57×10^{-2} | 3.17×10^{-3} | 4.29×10^{-2} | 1.59×10^{-3} |
| CHEMICAL BARRIER TREATMENT | | | | |
| $E_{local\ waste\ water, total}$ [kg/d] | 1.31×10^{-2} | 4.85×10^{-4} | 6.55×10^{-3} | 2.43×10^{-4} |
| CRACKS & CREVICES TREATMENT | | | | |
| $E_{local\ waste\ water, total}$ [kg/d] | 2.21×10^{-3} | 8.20×10^{-5} | 1.11×10^{-3} | 4.10×10^{-5} |

Table 2.2.2-2 Summary of calculated PEC values

| Compartment | $F_{sim} [-]$ | PEC value | | |
|-------------|---------------|---------------|---------------------|---------------------------------|
| | | Total surface | Barrier application | Cracks and crevices application |
| | | | | |

| | | 15 mg a.i./m ² | 7.5 mg a.i./m ² | 15 mg a.i./m ² | 7.5 mg a.i./m ² | 15 mg a.i./m ² | 7.5 mg a.i./m ² |
|--|---------|------------------------------|-------------------------------|------------------------------|-------------------------------|---------------------------|-------------------------------|
| PEC _{STP} [mg/L] | 0.0552 | 6.43x10 ⁻³ | 3.21x10 ⁻³ | 9.83x10 ⁻⁴ | 4.91x10 ⁻⁴ | 1.66x10 ⁻⁴ | 8.30x10 ⁻⁵ |
| | 0.00204 | 2.38x10 ⁻⁴ | 1.89x10 ⁻⁴ | 3.64x10 ⁻⁵ | 1.82x10 ⁻⁵ | 6.15x10 ⁻⁶ | 3.07x10 ⁻⁶ |
| PEC _{surface water} [mg/L] | 0.0552 | 5.77x10 ⁻⁴ | 2.88x10 ⁻⁴ | 8.82x10 ⁻⁵ | 4.41x10 ⁻⁵ | 1.49x10 ⁻⁵ | 7.45x10 ⁻⁶ |
| | 0.00204 | 2.14x10 ⁻⁵ | 1.07x10 ⁻⁵ | 3.26x10 ⁻⁶ | 1.63x10 ⁻⁶ | 5.52x10 ⁻⁷ | 2.76x10 ⁻⁷ |
| PEC _{sediment} [mg/kg ww _t] | 0.0552 | 0.958 | 0.479 | 0.146 | 7.32x10 ⁻² | 2.47x10 ⁻² | 1.24x10 ⁻² |
| | 0.00204 | 3.55x10 ⁻² | 1.77x10 ⁻² | 5.42x10 ⁻³ | 2.71x10 ⁻³ | 9.16x10 ⁻⁴ | 4.58x10 ⁻⁴ |
| PEC _{soil, 1 (0)} * [mg/kg] | 0.0552 | 1.51x10 ⁻¹ | 7.55x10 ⁻² | 2.31x10 ⁻² | 1.15x10 ⁻² | 3.90x10 ⁻³ | 1.95x10 ⁻³ |
| | 0.00204 | 5.59x10 ⁻³ | 2.79x10 ⁻³ | 8.54x10 ⁻⁴ | 4.27x10 ⁻⁴ | 1.44x10 ⁻⁴ | 7.22x10 ⁻⁵ |
| PEC _{soil, 10 (0)} ** [mg/kg] | 0.0552 | 1.69x10 ⁻¹ | 8.43x10 ⁻² | 2.58x10 ⁻² | 1.29x10 ⁻² | 4.35x10 ⁻³ | 2.18x10 ⁻³ |
| | 0.00204 | 6.24x10 ⁻³ | 3.12x10 ⁻³ | 9.54x10 ⁻⁴ | 4.77x10 ⁻⁴ | 1.61x10 ⁻⁴ | 8.06x10 ⁻⁵ |
| PEC _{soil, 10 (30)} # [mg/kg] | 0.0552 | 1.40x10 ⁻¹ | 7.00x10 ⁻² | 2.14x10 ⁻² | 1.07x10 ⁻² | 3.66x10 ⁻³ | 1.85x10 ⁻³ |
| | 0.00204 | 5.22x10 ⁻³ | 2.63x10 ⁻³ | 8.32x10 ⁻⁴ | 4.36x10 ⁻⁴ | 1.74x10 ⁻⁴ | 1.07x10 ⁻⁴ |
| PEC _{soil, 10 (180)} ## [mg/kg] | 0.0552 | 5.55x10 ⁻² | 2.78x10 ⁻² | 8.61x10 ⁻³ | 4.39x10 ⁻³ | 1.59x10 ⁻³ | 8.74x10 ⁻⁴ |
| | 0.00204 | 2.21x10 ⁻³ | 1.18x10 ⁻³ | 4.73x10 ⁻⁴ | 3.16x10 ⁻⁴ | 2.12x10 ⁻⁴ | 1.86x10 ⁻⁴ |
| PEC _{groundwater} [mg/L] | 0.0552 | 1.12x10 ⁻⁴ | 5.60x10 ⁻⁵ | 1.71x10 ⁻⁵ | 8.57x10 ⁻⁶ | 2.90x10 ⁻⁶ | 1.45x10 ⁻⁶ |
| | 0.00204 | 4.16x10 ⁻⁶ | 2.08x10 ⁻⁶ | 6.37x10 ⁻⁷ | 3.19x10 ⁻⁷ | 1.08x10 ⁻⁷ | 5.39x10 ⁻⁸ |
| PEC _{air} | 0.0552 | Not relevant | | | | | |
| | 0.00204 | | | | | | |
| PEC _{oral predator} (aquatic) [mg/kg] | 0.0552 | 5.25x10 ⁻¹ | 2.62x10 ⁻¹ | 8.02x10 ⁻² | 4.01x10 ⁻² | 1.36x10 ⁻² | 6.78x10 ⁻³ |
| | 0.00204 | 1.94x10 ⁻² | 9.72x10 ⁻³ | 2.97x10 ⁻³ | 1.49x10 ⁻³ | 5.02x10 ⁻⁴ | 2.51x10 ⁻⁴ |
| Refined PEC _{oral predator} (aquatic) [mg/kg] | 0.0552 | 2.62x10 ⁻¹ | 1.31x10 ⁻¹ | 4.01x10 ⁻² | 2.01x10 ⁻² | 6.78x10 ⁻³ | 3.39x10 ⁻³ |
| | 0.00204 | 9.72x10 ⁻³ | 4.86x10 ⁻³ | 1.49x10 ⁻³ | 7.43x10 ⁻⁴ | 2.51x10 ⁻⁴ | 1.26x10 ⁻⁴ |
| PEC _{oral predator} (terrestrial) [mg/kg] | 0.0552 | 3.97x10 ⁻¹ | 1.99x10 ⁻¹ | 6.08x10 ⁻² | 3.04x10 ⁻² | 1.03x10 ⁻² | 5.15x10 ⁻³ |
| | 0.00204 | 1.48x10 ⁻² | 7.38x10 ⁻³ | 2.26x10 ⁻³ | 1.13x10 ⁻³ | 3.82x10 ⁻⁴ | 1.91x10 ⁻⁴ |
| Refined PEC _{oral predator} (terrestrial) [mg/kg] | 0.0552 | 1.99x10 ⁻¹ | 9.94x10 ⁻² | 3.04x10 ⁻² | 1.52x10 ⁻² | 5.14x10 ⁻³ | 2.57x10 ⁻³ |
| | 0.00204 | 7.38x10 ⁻³ | 3.69x10 ⁻³ | 1.13x10 ⁻³ | 5.65x10 ⁻⁴ | 1.91x10 ⁻⁴ | 9.56x10 ⁻⁵ |

* = immediately after the first application = Csludgesoil (0)

** = immediately after the tenth application = Csludgesoil 10 (0)

= average concentration over 30 days after the tenth application = Csludgesoil 10 (30)

= average concentration over 180 days after the tenth application = Csludgesoil 10 (180)

2.2.2.4 Risk characterisation for the environment

To allow quantitative risk assessment for the environment after alpha-cypermethrin use in the indoor insecticide product Tenopa, the PEC values are compared to the respective PNEC values for the different compartments.

The different PEC/PNEC ratios are summarised in Table 2.3-3 below.

Table 2.2.2-3 Summary of the PEC/PNEC ratios for the different environmental compartments.

| Compartment | PEC/PNEC ratio | | | | | |
|--|---------------------------|----------------------------|---------------------------|----------------------------|---------------------------------|----------------------------|
| | Total surface | | Barrier application | | Cracks and crevices application | |
| | 15 mg a.i./m ² | 7.5 mg a.i./m ² | 15 mg a.i./m ² | 7.5 mg a.i./m ² | 15 mg a.i./m ² | 7.5 mg a.i./m ² |
| No use restriction: F_{simultaneity} = 5.52 % | | | | | | |
| STP | 6.43x10 ⁻⁵ | 3.21x10 ⁻⁵ | 9.83x10 ⁻⁶ | 4.91x10 ⁻⁶ | 1.66x10 ⁻⁶ | 8.30x10 ⁻⁷ |
| Surface water | 120.18 | 60.09 | 18.37 | 9.18 | 3.10 | 1.55 |
| Sediment | 979.10 | 489.55 | 149.64 | 74.82 | 25.29 | 12.65 |
| Soil ₁ (0) | 1.71x10 ⁻¹ | 8.55x10 ⁻² | 2.61x10 ⁻² | 1.31x10 ⁻² | 4.42x10 ⁻³ | 2.21x10 ⁻³ |
| Soil ₁₀ (0) | 1.91x10 ⁻¹ | 9.55x10 ⁻² | 2.92x10 ⁻² | 1.46x10 ⁻² | 4.93x10 ⁻³ | 2.47x10 ⁻³ |
| Soil ₁₀ (30) | 1.59x10 ⁻¹ | 7.94x10 ⁻² | 2.43x10 ⁻² | 1.22x10 ⁻² | 4.14x10 ⁻³ | 2.09x10 ⁻³ |
| Soil ₁₀ (180) | 6.29x10 ⁻² | 3.15x10 ⁻² | 9.76x10 ⁻³ | 4.97x10 ⁻³ | 1.80x10 ⁻³ | 9.90x10 ⁻⁴ |
| Groundwater | 1.12 | 5.60x10 ⁻¹ | 1.71x10 ⁻¹ | 8.57x10 ⁻² | 2.90x10 ⁻² | 1.45x10 ⁻² |
| Air | Not relevant | | | | | |
| Refined Aquatic Predator-BIRDS | 5.25x10 ⁻² | 2.62x10 ⁻² | 8.02x10 ⁻³ | 4.01x10 ⁻³ | 1.36x10 ⁻³ | 6.78x10 ⁻⁴ |
| Refined Aquatic Predator-MAMMALS | 9.84x10 ⁻² | 4.92x10 ⁻² | 1.50x10 ⁻² | 7.52x10 ⁻³ | 2.54x10 ⁻³ | 1.27x10 ⁻³ |
| Refined Terrestrial Predator-BIRDS | 3.97x10 ⁻² | 1.99x10 ⁻² | 6.08x10 ⁻³ | 3.04x10 ⁻³ | 1.03x10 ⁻³ | 5.15x10 ⁻⁴ |
| Refined Terrestrial Predator-MAMMALS | 7.45x10 ⁻² | 3.73x10 ⁻² | 1.14x10 ⁻² | 5.70x10 ⁻³ | 1.93x10 ⁻³ | 9.65x10 ⁻⁴ |
| Restricting use to 1 to 2 applications per year: F_{simultaneity} = 0.204 % | | | | | | |
| STP | 2.38x10 ⁻⁶ | 1.19x10 ⁻⁶ | 3.64x10 ⁻⁷ | 1.82x10 ⁻⁷ | 6.15x10 ⁻⁸ | 3.07x10 ⁻⁸ |
| Surface water | 4.45 | 2.22 | 0.68 | 0.34 | 0.11 | 0.06 |
| Sediment | 36.25 | 18.12 | 5.54 | 2.77 | 0.94 | 0.47 |
| Soil ₁ (0) | 6.33x10 ⁻³ | 3.17x10 ⁻³ | 9.68x10 ⁻⁴ | 4.84x10 ⁻⁴ | 1.64x10 ⁻⁴ | 8.18x10 ⁻⁵ |
| Soil ₁₀ (0) | 7.07x10 ⁻³ | 3.54x10 ⁻³ | 1.08x10 ⁻³ | 5.40x10 ⁻⁴ | 1.83x10 ⁻⁴ | 9.13x10 ⁻⁵ |
| Soil ₁₀ (30) | 5.92x10 ⁻³ | 2.98x10 ⁻³ | 9.43x10 ⁻⁴ | 4.94x10 ⁻⁴ | 1.97x10 ⁻⁴ | 1.21x10 ⁻⁴ |
| Soil ₁₀ (180) | 2.50x10 ⁻³ | 1.34x10 ⁻³ | 5.36x10 ⁻⁴ | 3.58x10 ⁻⁴ | 2.41x10 ⁻⁴ | 2.11x10 ⁻⁴ |

| | | | | | | |
|---|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| Groundwater | 4.16×10^{-2} | 2.08×10^{-2} | 6.37×10^{-3} | 3.19×10^{-3} | 1.08×10^{-3} | 5.39×10^{-4} |
| Air | Not relevant | | | | | |
| Refined Aquatic Predator-BIRDS | 1.94×10^{-3} | 9.72×10^{-4} | 2.97×10^{-4} | 1.49×10^{-4} | 5.02×10^{-5} | 2.51×10^{-5} |
| Refined Aquatic Predator-MAMMALS | 3.64×10^{-3} | 1.82×10^{-3} | 5.57×10^{-4} | 2.78×10^{-4} | 9.41×10^{-5} | 4.71×10^{-5} |
| Refined Terrestrial Predator-BIRDS | 1.48×10^{-3} | 7.38×10^{-4} | 2.26×10^{-4} | 1.13×10^{-4} | 3.82×10^{-5} | 1.91×10^{-5} |
| Refined Terrestrial Predator-MAMMALS | 2.77×10^{-3} | 1.38×10^{-3} | 4.23×10^{-4} | 2.12×10^{-4} | 7.16×10^{-5} | 3.58×10^{-5} |

For all scenarios and input values considered, no unacceptable risks are calculated for the STP micro-organisms, air, the soil compartment and predators. In several scenarios risks are calculated for the surface water and sediment compartment. In a single scenario, risk is also calculated for the groundwater.

The compartment most in danger is the sediment compartment. Of all scenario's considered, only when use is restricted to cracks and crevices and a label restriction allows just 1 to 2 applications per year, then no unacceptable risk for the sediment compartment or any of the other environmental compartments are calculated.

Table 2.2.2-4: Compartments at risk for all considered scenario's

| | Total surface | | Barrier application | | Cracks and crevices application | |
|---|--|----------------------------|---------------------------|----------------------------|---------------------------------|---------------------------|
| | 15 mg a.i./m ² | 7.5 mg a.i./m ² | 15 mg a.i./m ² | 7.5 mg a.i./m ² | 15 mg/m ² | 7.5 mg/m ² |
| F_{simultaneity} = 5.52 % | | | | | | |
| Risk? | Surface water Sediment Groundwater | Surface water Sediment | Surface water Sediment | Surface water Sediment | Surface water Sediment | Surface water Sediment |
| F_{simultaneity} = 0.204 % | | | | | | |
| Risk? | Surface water Sediment | Surface water Sediment | Sediment | Sediment | None | None |

2.2.3 List of Endpoints

The most important endpoints, as identified during the evaluation process, are listed in appendix I.

2.3 Overall conclusions

In the table below an overall summary of the risk assessment is presented.

Table 2.3-1: Overall Summary

| Scenario | Efficacy | Human primary exposure | | Human secondary exposure | | STP | Aquatic compartment (including sediment) | Terrestrial compartment | Groundwater | Atmosphere | Secondary poisoning |
|---|------------|----------------------------|------------------|--------------------------|------------|------------|---|-------------------------|---------------------|------------|---------------------|
| | | Professional (with PPE) | Non-professional | Adult | Child | | | | | | |
| TOTAL SURFACE APPLICATION | | | | | | | | | | | |
| 15 mg a.i. / m ² no-use restriction | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable |
| 7.5 mg a.i. / m ² no-use restriction | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| 15 mg a.i. / m ² restricted to 1-2 applications/year | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| 7.5 mg a.i. / m ² restricted to 1-2 applications/year | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| <p>Overall conclusion of total surface application: Unacceptable risks to the aquatic compartment (including sediment) at all envisaged uses are identified, even when restricting use to 1 to 2 applications per year. In one instance a concentration exceeding the EU threshold acceptable limit for drinking water was calculated.</p> <p>Based on these results, the use of TENOPA (3 % alpha-cypermethrin, 3 % flufenoxuron) is not deemed safe to use as a total surface application.</p> | | | | | | | | | | | |
| BARRIER APPLICATION | | | | | | | | | | | |
| 15 mg a.i. / m ² no-use restriction | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| 7.5 mg a.i. / m ² no-use restriction | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| 15 mg a.i. / m ² restricted to 1-2 applications/year | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| 7.5 mg a.i. / m ² restricted to 1-2 applications/year | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |

Overall conclusion of barrier application: Unacceptable risks to the aquatic compartment (including sediment) at all envisaged uses are identified, even when restricting use to 1 to 2 applications per year

Based on these results, the use of TENOPA (3 % alpha-cypermethrin, 3 % flufenoxuron) is not deemed safe to use as a barrier application.

CRACKS AND CREVICES APPLICATION

| | | | | | | | | | | | |
|--|------------|------------|-----|------------|------------|------------|---------------------|------------|------------|------------|------------|
| 15 mg a.i. / m ² no-use restriction | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| 7.5 mg a.i. / m ² no-use restriction | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | <u>Unacceptable</u> | Acceptable | Acceptable | Acceptable | Acceptable |
| 15 mg a.i. / m ² restricted to 1-2 applications/year | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable |
| 7.5 mg a.i. / m ² restricted to 1-2 applications/year | Acceptable | Acceptable | N/A | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable | Acceptable |

Overall conclusion of cracks and crevices application: Unacceptable risks to the aquatic compartment (including sediment) are identified at both use-concentrations and when not restricting use. When considering a label restriction of 1 to 2 applications per year, no unacceptable risks for the environment are calculated.

Based on these results, the use of TENOPA (3 % alpha-cypermethrin, 3 % flufenoxuron) can be considered acceptable for cracks and crevices treatments, providing that a restriction on use is labelled.

3 ASSESSMENT OF EXCLUSION AND SUBSTITUTION CRITERIA

3.1 Exclusion Criteria

3.1.1 Assessment of CMR properties

| Criteria (BPR Article 5[1]) | Assessment |
|---|--|
| Active substances which have been classified in accordance with Regulation (EC) No 1272/2008 as, or which meet the criteria to be classified as, carcinogen category 1A or 1B | Active substance is not classified and does not meet the criteria to be classified as Carc. Cat. 1A or 1B. |
| Active substances which have been classified in accordance with Regulation (EC) No 1272/2008 as, or which meet the criteria to be classified as, mutagen category 1A or 1B | Active substance is not classified and does not meet the criteria to be classified as Muta. Cat. 1A or 1B. |
| Active substances which have been classified in accordance with Regulation (EC) No 1272/2008 as, or which meet the criteria to be classified as, toxic for reproduction category 1A or 1B | Active substance is not classified and does not meet the criteria to be classified as Repr. Cat. 1A or 1B. |

| | |
|-------------------------------------|--|
| Conclusion on CMR properties | The exclusion criteria in BPR Article 5(1)a-c are not met. |
|-------------------------------------|--|

3.1.2 Assessment of endocrine disrupting properties

| Criteria (BPR Article 5) | Assessment |
|--|--|
| Active substances which, on the basis of the criteria specified pursuant to the first subparagraph of paragraph 3 are considered as having endocrine-disrupting properties that may cause adverse effects in humans | (The criteria are not yet published) |
| Pending the adoption of those criteria ¹ , active substances that are classified in accordance with Regulation (EC) No 1272/2008 as, or meet the criteria to be classified as, carcinogen category 2 and toxic for reproduction category 2 ² . | Active substance is not classified and does not meet the criteria to be classified as Carc. Cat. 2. Active substance is not classified and does not meet the criteria to be classified as Repr. Cat. 2. |
| Substances such as those that are classified in accordance with Regulation (EC) No 1272/2008 as, or that meet the criteria to be classified as, toxic for reproduction category 2 and that have toxic effects on the endocrine organs ³ . | Active substance is not classified and does not meet the criteria to be classified as Repr. Cat. 2. Active substance has not been shown to have toxic effects on endocrine organs. |
| Active substances which are identified in accordance with Articles 57(f) and 59(1) of Regulation (EC) No 1907/2006 as having endocrine disrupting properties | Active substance has not been identified as having endocrine disrupting properties. |

¹ This refers to the criteria mentioned in the first row.

² These active substances shall be considered as having endocrine-disrupting properties

³ These active substances may be considered as having endocrine-disrupting properties

| | |
|------------------------------------|--|
| Conclusion on ED properties | The exclusion criteria in BPR Article 5(1)d are not met. |
|------------------------------------|--|

3.1.3 PBT Assessment (following Annex XIII to Regulation (EC) No 1907/2006)

3.1.3.1 Assessment of persistence

Aquatic compartment (including sediment):

- Alpha-cypermethrin is not readily biodegradable (Doc. II-A section 4.1.1.2.1). No degradation occurred in the two tests conducted to assess the ready biodegradability of Alpha-cypermethrin after 28 days (OECD 301 D and OECD 301 B).
- No inherent biodegradability test is available.
- Alpha-cypermethrin is hydrolytically stable at neutral and acidic pH (Doc. II-A section 4.1.1.1.1).
- In the water-sediment degradation studies using samples from natural aquatic systems, Alpha-cypermethrin incubated in the dark disappeared rapidly from the water phase due to strong adsorption to the sediment and metabolisation (Doc. II-A section 4.1.1.2.2), DT_{50} was calculated at 2.22 days at normalized temperature of 12 °C.
- Alpha-cypermethrin is also readily eliminated in the sediment phase, by metabolisation and formation of bound residues (Doc. II-A section 4.1.1.2.2), DT_{50} was calculated at 28.13 days at the environmentally relevant temperature of 12 °C.
- Alpha-cypermethrin degrades rapidly in water under artificial light (Doc. II-A section 4.1.1.1.2). However, due to strong binding potential of Alpha-cypermethrin to the sediment, such degradation is not likely to occur under environmental conditions.
- No studies are available for biodegradation in seawater or anaerobic biodegradation in STP.

Terrestrial compartment (soil):

- In aerobic degradation study in soil (Doc. III-A.7.2.1/01), DT_{50} (12°C) was calculated at 39.1 days after 120 days. In addition to laboratory studies, field soil dissipation studies were performed. Three different soils were monitored during three years after annual applications of Alpha-cypermethrin. DT_{50} ranged from <14 to <112 days.

Enantiomers:

No information is available on the persistency of the individual alpha-cypermethrin enantiomers.

| P Criteria | Assessment |
|---|---|
| T1/2 > 60 days in marine water, or | No simulation data is available for marine water compartments. |
| T1/2 > 40 days in fresh- or estuarine water, or | In water-sediment studies, rapid dissipation with ultimate mineralisation could be demonstrated. These water-sediment degradation studies revealed that alpha-cypermethrin does not meet the degradation half-life criteria to be identified as a P-substance. Half-life for fresh water (2.22 days at 12 °C) is below the 40-day limit. |
| T1/2 > 180 days in marine sediment, or | No simulation data is available for marine water compartments. |
| T1/2 > 120 days in fresh- or estuarine sediment, or | In water-sediment studies, rapid dissipation with ultimate mineralisation could be demonstrated. These water-sediment degradation studies revealed that alpha-cypermethrin does not meet the degradation half-life criteria to be identified as a P-substance. Half-life for fresh sediment (28.13 days at 12 °C) is below the 120-day limit. |
| T1/2 ≤ 120 days in soil. | Soil degradation studies showed DT ₅₀ values below the 120-day limit. |

| vP Criteria | Assessment |
|--|--|
| T1/2 > 60 days in marine-, fresh- or estuarine water water, or | No simulation data is available for marine water compartments. Water-sediment degradation studies revealed that alpha-cypermethrin does not meet the degradation half-life criteria to be identified as a vP-substance. |
| T1/2 > 180 days in marine-, fresh- or estuarine sediment, or | No simulation data is available for marine water compartments. Water-sediment degradation studies revealed that alpha-cypermethrin does not meet the degradation half-life criteria to be identified as a vP-substance. |
| T1/2 > 180 days in soil. | Soil degradation studies showed DT ₅₀ values below the 120-day limit. |

| | |
|--|--|
| Conclusion on P / vP properties | Not persistent (not P) and not very persistent (not vP) in the environment |
|--|--|

3.1.3.2 Assessment of bioaccumulation

Based on its physical-chemical properties ($\log P_{ow}$), alpha-cypermethrin is expected to exhibit significant bio-accumulation potential. The log octanol-water partitioning coefficient ($\log K_{ow}$) for alpha-cypermethrin was determined at 5.5, which would indicate, according to the screening criteria, that alpha-cypermethrin is a possible B-substance, because it is larger than the cut-off value of 4.5.

A BCF_{fish} of 9440 L/kg was calculated based on a QSAR and the $\log P_{ow}$. Additionally, an experimental BCF_{fish} using carps is available: 910 L/kg.

No laboratory study on terrestrial bio-concentration is available. A theoretical $BCF_{earthworm}$ of 3796 L/kg was calculated.

No information is available on the bioaccumulation properties of the individual alpha-cypermethrin enantiomers.

| B Criteria | Assessment |
|--------------|---|
| $BCF > 2000$ | The BCF_{fish} derived from an accumulation test was 910 L/kg, which is well below the B cut-off criterion. |

| vB Criteria | Assessment |
|--------------|--|
| $BCF > 5000$ | The BCF_{fish} derived from an accumulation test was 910 L/kg, which is well below the vB cut-off criterion. |

| | |
|--|---|
| Conclusion on B / vB properties | Not bioaccumulative (not B) and not very bioaccumulative (not vB) in the environment. |
|--|---|

3.1.3.3 Assessment of toxicity

The lowest short term aquatic toxicity values for alpha-cypermethrin are as follows:

- 96h LC₅₀ fish: 0.00093 mg/L
- 48h EC₅₀ invertebrates: 0.0003 mg/L
- 72h E_rC₅₀ algae: >1.0 mg/L

According to the screening criteria, when the short term aquatic toxicity is below 0.01 mg/L then the T-criterion for the substance is definitely fulfilled and when it is below 0.1 mg/L the T-criterion is fulfilled. Alpha-cypermethrin should thus be classified as toxic according to the screening criteria.

Additionally, long term data are available. Based on ecotoxicity data on *Daphnia magna* and *Chironomus riparius*, NOEC (21 days, reproduction and 28 days development, respectively) are respectively equal to 0.03 µg/L (nominal) and 0.024 µg/L (nominal).

No information is available on the toxicity of the individual alpha-cypermethrin enantiomers.

| T Criteria | Assessment |
|--|---|
| NOEC/EC10 (long-term) < 0.01 mg/L for marine or freshwater organisms, or | The lowest observed aquatic NOEC is the one for <i>Chironomus riparius</i> equal to 0.024 x 10 ⁻³ mg/L, which is well below the cut-off value presented. |
| substance meets the criteria for classification as carcinogenic (category 1A or 1B), germ cell mutagenic (category 1A or 1B), or toxic for reproduction (category 1A, 1B or 2) according to the CLP Regulation, or | No |
| there is other evidence of chronic toxicity, as identified by the substance meeting the criteria for classification: specific target organ toxicity after repeated exposure (STOT RE category 1 or 2) according to the CLP Regulation. | Yes, classification as STOT RE 2 |

| | |
|-----------------------------------|--|
| Conclusion on T properties | Alpha-cypermethrin is a toxic substance. |
|-----------------------------------|--|

3.1.3.4 Summary and overall conclusions on PBT or vPvB properties

- The P or vP criteria are not fulfilled
- The B or vB criteria are not fulfilled
- The T criterion is fulfilled

Overall conclusion:

Based on the assessment described in the subsections above alpha-cypermethrin is not a PBT / vPvB substance.

No information is available on the persistency, bioaccumulation or toxicity of the individual alpha-cypermethrin enantiomers. However, guidance on PBT assessment (ECHA Guidance: Chapter R.11: PBT Assessment, v.1.1, November 2012) indicates that isomer constituents present at amounts ≥ 0.1 % w/w for multi-constituent substances should also be treated as potentially persistent, bioaccumulative or toxic. In this case alpha-cypermethrin would need to have its individual isomers further investigated to determine the PBT nature of the constituent components of this isomeric substance.

3.2 Substitution Criteria

| Substitution criteria (BPR, Article 10) | Assessment |
|---|---|
| One of the exclusion criteria listed in Article 5(1) is met but AS may be approved in accordance with Article 5(2) | No |
| The criteria to be classified, in accordance with Regulation (EC) No 1272/2008, as a respiratory sensitiser is met | No |
| The acceptable daily intake, acute reference dose or acceptable operator exposure level, as appropriate, is significantly lower than those of the majority of approved active substances for the same product-type and use scenario | |
| Two of the criteria for being PBT in accordance with Annex XIII to Regulation (EC) No 1907/2006 are met | Alpha-cypermethrin is not persistent (not P, not vP) and not bioaccumulative (not B, not vB). Alpha-cypermethrin is toxic (T) |
| There are reasons for concern linked to the nature of the critical effects which, | |

| Substitution criteria (BPR, Article 10) | Assessment |
|--|------------|
| in combination with the use patterns, amount to use that could still cause concern, such as high potential of risk to groundwater, even with very restrictive risk management measures | |
| The AS contains a significant proportion of non-active isomers or impurities. | No |

| | |
|--|--|
| Conclusion on substitution criteria | The substitution criteria in BPR Article 10(1)a-f are not met. |
|--|--|

3.3 Assessment of long range environmental transportation and impact on environmental compartments

| | Assessment |
|--|---|
| The active substance or a degradation product is a persistent organic pollutant (POP) listed in Annex I of EC 850/2004 | No |
| Assessment of long-range transport potential (LRTAP): <ul style="list-style-type: none"> • Vapour pressure <1000 Pa and • half-life in air > 2 days or • Monitoring data in remote area showing that the substance is found in remote regions or • Result of multi media modelling | <ul style="list-style-type: none"> • Vapour pressure of alpha-cypermethrin is 5.6×10^{-7} Pa, well below the cut-off value of 1000 • Estimation through AOPWIN shows that half-life of alpha-cypermethrin in air in reaction with OH-radicals is well below the criterion: 3.47 hours |
| The active substance or a degradation product is vP/vB or T? | Alpha-cypermethrin is not vP or vB. Alpha-cypermethrin is T. |

| | |
|---|---|
| Conclusion on LRTAP/POP assessment | Due to the rapid degradation in the atmosphere alpha-cypermethrin is not considered to pose a risk for LRTAP. |
|---|---|

4 INTERACTION WITH THE WATER FRAMEWORK DIRECTIVE

In Directive 2013/39/EU amending Directive 2000/60/EC and Directive 2008/105/EC, cypermethrin is introduced as a priority substance. In this instance, no distinction is made between cypermethrin and its individual isomers, including alpha-cypermethrin, meaning that alpha-cypermethrin itself can be seen as listed as a priority substance under the Water Framework Directive.

Under this Directive, two types of quality standards are established to ensure good water quality: AA-EQS (annual average environmental quality standard) and MAC-EQS (maximum allowable concentration environmental quality standard).

In the case of cypermethrin the AA-EQS of 8×10^{-8} mg/L (inland surface waters, total concentration of all isomers). This means that the arithmetic mean of all measured concentrations over a twelve month monitoring period within a body of water may not exceed this value.

This AA-EQS is 60 times smaller than the aquatic PNEC established for alpha-cypermethrin. The reason for this difference is not so much based on a difference in the endpoints, but more to do with the choice of assessment factor. While for the derivation of the PNEC_{aquatic} an AF of 5 was accepted, the AA-EQS was derived with an AF of 50. The choice of this higher AF is explained by many low EC₅₀s or NOECs for species from sensitive taxa, that were either not assignable or had exposure concentrations that were likely not maintained during the course of the experiments. Additionally, for the derivation of PNECs for biocide active substances, mesocosm studies can be accepted to lower the AF, while for the derivation of EQS the mesocosm studies are merely used as a confirmation of toxicity.

Incidentally it should also be noted that the endpoints from the EQS-document were not used for the PNEC_{aquatic} derivation for alpha-cypermethrin. It was agreed during peer review to not use the data from the EQS document for various reasons, but mainly because the tested substance was not defined (isomeric ratio and impurities).

In addition to an AA-EQS, also a MAC-EQS for cypermethrin was established. The MAC-EQS (6×10^{-7} mg/L) may not be exceeded by any measured concentration at any point of the water body or at any point in time.

Again, this standard is smaller than the established aquatic PNEC, this time by a factor of 8. Also here this is a result of the choice of assessment factor, which is more conservative for the EQS-derivation.

Before comparing the calculated aquatic PECs from this CAR with any quality standard, one should first consider what this PEC represents and if it can fairly be compared with the established standards.

In the case of alpha-cypermethrin, the aquatic PECs are derived from a daily, local emission and represent a concentration in surface water during an emission period: the emission pattern can be considered as intermittent. Therefore, the comparison between the AA-EQS and the MAC-EQS and the PEC may not be appropriate.

Considering the above and when comparing the lowest calculated PEC (2.76×10^{-7} mg/L) with the AA-EQS, it can be concluded that, because the PEC exceeds the AA-EQS over 3 times, a single source of alpha-cypermethrin already exceeds the established standard, allowing no more room for other sources of the substance (e.g. plant protection). However, as indicated, the PEC calculated here is the concentration resulting from an emission episode, while the AA-EQS is an annual average. Comparing the two and drawing conclusions merely on these numbers does not seem correct.

Comparing the same PEC with the MAC-EQS seems much more relevant, as this EQS represents a single concentration that may not be exceeded. For alpha-cypermethrin, neither of the two PECs calculated in the identified safe-use scenario for crack and crevice treatment (5.52×10^{-7} and 2.76×10^{-7} mg/L) exceed the MAC-EQS.

In conclusion and based on the fact that at the time of writing this CAR no monitoring data for this substance are available, the listing of alpha-cypermethrin as a priority substance in the WFD alone is not reason enough to propose a non-inclusion of alpha-cypermethrin.

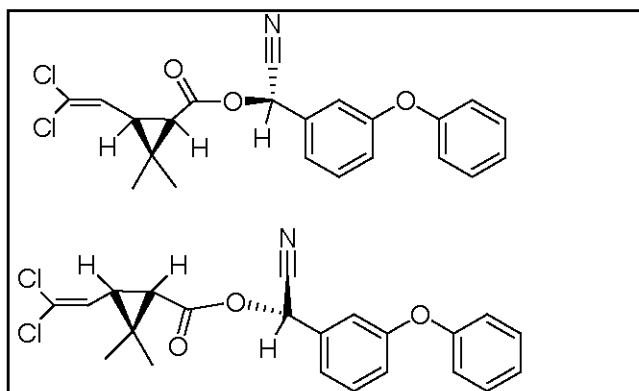
It is however necessary to keep an eye out for monitoring data when it becomes available and consider it at product authorisation stage.

APPENDIX I: LISTING OF ENDPOINTS

Chapter 1: Identity, Physical and Chemical Properties, Details of Uses, Further Information, and Proposed Classification and Labelling

| | |
|--|--|
| Active substance (ISO Common Name) | alpha-cypermethrin |
| Function (e.g. fungicide) | Insecticide |
| Rapporteur Member State | Belgium |
| Identity (Annex IIA, point II.) | |
| Chemical name (IUPAC) | 1:1 mixture (racemate) of the pair of enantiomers (S)- α -cyano-3-phenoxybenzyl-(1R,3R)-3-(2,2-dichlorovinyl)-2,2-dimethylcyclopropanecarboxylate and (R)- α -cyano-3-phenoxybenzyl-(1S,3S)-3-(2,2-dichlorovinyl)-2,2-dimethylcyclopropanecarboxylate |
| Chemical name (CA) | (S)-alpha-cyano-3-phenoxybenzyl-(1R,3R)-3-(2,2-dichlorovinyl)-2,2-dimethylcyclopropanecarboxylate and (R)-alpha-cyano-3-phenoxybenzyl-(1S,3S)-3-(2,2-dichlorovinyl)-2,2-dimethylcyclopropanecarboxylate |
| CAS No | 67375-30-8 |
| EC No | Not allocated |
| Other substance No. | CIPAC: 454 |
| Minimum purity of the active substance as manufactured (g/kg or g/L) | ≥ 930 g/kg (93.0 % w/w) (sum of the isomers in a 1:1 ratio) |
| Identity of relevant impurities and additives (substances of concern) in the active substance as manufactured (g/kg) | none |
| Molecular formula | $C_{22}H_{19}Cl_2NO_3$ |
| Molecular mass | 416.3 g/mol |

Structural formula



Physical and chemical properties (Annex IIA, point III., unless otherwise indicated)

| | |
|---|--|
| Melting point (state purity) | 82.3 °C (99.3 %) |
| Boiling point (state purity) | None (decomposes before boiling at atmospheric pressure) |
| Temperature of decomposition | Onset: 220 °C |
| Appearance (state purity) | White fine powder (99.8 %) |
| Relative density (state purity) | 1.33 (99.7 %) |
| Surface tension | Not required in view of water solubility < 1 mg/l |
| Vapour pressure (in Pa, state temperature) | 5.6×10^{-7} Pa (25 °C) |
| Henry's law constant (Pa m ³ mol ⁻¹) | 0.069 |
| Solubility in water (g/L or mg/L, state temperature) | pH 4: 4.59 µg/L (20 °C) |
| | pH 7: 5.80 µg/L (20 °C) |
| | pH 9: 7.87 µg/L (20 °C) |
| Solubility in organic solvents (in g/L or mg/L, state temperature) (Annex IIIA, point III.1) | Toluene: 596 g/L (21 °C) |
| | Methanol: 21.3 g/L (21 °C) |
| | Ethyl acetate: 584 g/L (21 °C) |
| | n-hexane: 6.5 g/L (21 °C) |
| | 2-propanol: 9.6 g/L (21 °C) |
| | Acetone: miscible |
| Stability in organic solvents used in biocidal products including relevant breakdown products (IIIA, point III.2) | Dichloromethane: miscible |
| | Not available; biocidal products do not contain organic solvents |
| Partition coefficient (log P _{ow}) (state temperature) | 5.5 ± 0.4 (room temperature) |
| | No relevant pH-dependence due to absence of dissociating groups |
| Hydrolytic stability (DT ₅₀) (state pH and temperature) (point VII.7.6.2.1) | pH 4: stable (50 °C) |
| | pH 7: DT ₅₀ = 101 d (20 °C) |
| | pH 9: DT ₅₀ = 7.3 d (20 °C) |
| Dissociation constant (not stated in Annex IIA or IIIA; additional data requirement from TNsG) | Not required due to absence of dissociating groups |
| UV/VIS absorption (max.) (if absorption > 290 nm state ε at wavelength) | None |
| Photostability (DT ₅₀) (aqueous, sunlight, state pH) (point VII.7.6.2.2) | Environmental DT ₅₀ = 3.4 – 6.3 d |

| | |
|--|--|
| Quantum yield of direct phototransformation in water at $\lambda > 290$ nm (point VII.7.6.2.2) | 8.12×10^{-3} |
| Flammability | Not "highly flammable" Not "auto-flammable" |
| Explosive properties | Not explosive |

Classification and proposed labelling (Annex IIA, point IX.)

| | |
|--|--|
| with regard to physical/chemical data | Not required |
| with regard to toxicological data | T; R25 Xn; R48/22, R20 Xi; R37, R38 |
| | GHS06 GHS08 Acute tox. 3; H301 Acute tox. 4; H332 Stot Re. 2; H373 Stot Se. 3; H335 |
| with regard to fate and behaviour data | |
| with regard to ecotoxicological data | N; R50/53 GHS09 Aquatic acute 1; H400 (M = 1000) Aquatic chronic 1; H410 (M = 1000) |

Chapter 2: Methods of Analysis**Analytical methods for the active substance**

| | |
|---|-----------------|
| Technical active substance (principle of method) (Annex IIA, point 4.1) | GC-FID |
| Impurities in technical active substance (principle of method) (Annex IIA, point 4.1) | GC-FID, HPLC-UV |

Analytical methods for residues

| | |
|---|--|
| Soil (principle of method and LOQ) (Annex IIA, point 4.2) | GC-ECD, GC-MS LoQ = 0.05 mg/kg |
| Air (principle of method and LOQ) (Annex IIA, point 4.2) | GC-NPD LoQ = 0.02 µg/m ³ TMI 2013: Confirmatory method is required at the latest 6 months before product authorization stage. |
| Water (principle of method and LOQ) (Annex IIA, point 4.2) | GC-ECD, GC-MS LoQ = 0.01–0.05 µg/L |
| Body fluids and tissues (principle of method and LOQ) (Annex IIA, point 4.2) | GC-ECD, GC-MS LoQ = 0.005 mg/L (blood, urine) LoQ = 50.0 ppb (0.05 mg/kg) (tissues) LoQ = 10.0 ppb (0.01 mg/kg) (milk) |
| Food/feed of plant origin (principle of method and LOQ for methods for monitoring purposes) (Annex IIIA, point IV.1) | Not required for lack of exposure |
| Food/feed of animal origin (principle of method and LOQ for methods for monitoring purposes) (Annex IIIA, point IV.1) | Not required for lack of exposure |

method and LOQ for methods for monitoring purposes) (Annex IIIA, point IV.1)

Chapter 3: Impact on Human Health

Absorption, distribution, metabolism and excretion in mammals (Annex IIA, point 6.2)

| | |
|--|---|
| Rate and extent of oral absorption: | 43 % within 24 h |
| Rate and extent of dermal absorption: | Low to moderate (1.9 % for the formulation concentrate and 14.2 % for the spray dilution) |
| Distribution: | Widely distributed, highest residue in fat and skin |
| Potential for accumulation: | High accumulation in fat |
| Rate and extent of excretion: | rapidly excreted via urine (52.7 % in males, 50.0 % in females) and via faeces (38.2 % in males, 42.6 % in females) |
| Toxicologically significant metabolite | None identified |

Acute toxicity (Annex IIA, point 6.1)

| | |
|--|-------------------------------|
| Rat LD ₅₀ oral | 57 mg/kg bw (corn oil) T, R25 |
| Rat LD ₅₀ dermal | > 2000 mg/kg bw |
| Rat LC ₅₀ inhalation | [>1.16-1.21]mg/L, Xn, R20 |
| AEL _{acute} | 0.018 mg/kg bw |
| Skin irritation | Irritating, Xi, R38 |
| Eye irritation | Not irritating |
| Skin sensitization (test method used and result) | Not sensitising (M&K) |

Repeated dose toxicity, short-term (Annex IIA, point 6.3)

| | |
|--|---|
| Species/ target / critical effect | Rat / 35–42 day studies / symptoms of neurotoxicity |
| Lowest relevant oral NOAEL / LOAEL | NOAEL = 200 ppm equivalent to 17.6 mg/kg bw/d LOAEL= > 200 ppm equivalent to > 17.6 mg/kg bw/d |
| Lowest relevant dermal NOAEL / LOAEL | n.a. |
| Lowest relevant inhalation NOAEL / LOAEL | n.a. |

Subchronic toxicity (Annex IIA, point 6.4)

| | |
|--|---|
| Species/ target / critical effect | Dog / 90-day study / symptoms of neurotoxicity |
| Lowest relevant oral NOAEL / LOAEL | NOAEL = 90 ppm, equivalent to 3.5 mg/kg bw/d LOAEL= 270 ppm, equivalent to 13.3 mg/kg bw/d |
| Lowest relevant dermal NOAEL / LOAEL | n.a. |
| Lowest relevant inhalation NOAEL / LOAEL | n.a. |

Chronic toxicity (Annex IIA, point 6.5)

| | |
|-----------------------------------|--|
| Species/ target / critical effect | Dog / 1-year study / Irritation secondary to |
|-----------------------------------|--|

| | | | |
|---|---|--------------|---------------|
| Lowest relevant oral NOAEL / LOAEL | systemic toxicity NOAEL = 60 ppm, equivalent to 2.0 mg/kg bw/d LOAEL = 120 ppm, equivalent to 4.1 mg/kg bw/d | | |
| Genotoxicity (Annex IIA, point 6.6) | No genotoxic potential | | |
| Carcinogenicity (Annex IIA, point 6.4) | | | |
| Species / type of tumour | Mouse / no tumours | | |
| Lowest dose with tumours | n.a. | | |
| Reproductive toxicity (Annex IIA, point 6.8) | | | |
| Species/ Reproduction target / critical effect | Rat / No reproductive toxicity | | |
| Lowest relevant reproductive NOAEL / LOAEL | NOAEL = 10 mg/kg bw/d | | |
| Species / Developmental target / critical effect | Rat / Reduced foetal weight at maternal toxic doses | | |
| Lowest relevant developmental NOAEL / LOAEL | NOAEL = 9 mg/kg bw/d LOAEL = 15 mg/kg bw/day | | |
| Neurotoxicity / Delayed neurotoxicity (Annex IIIA, point VI.1) | | | |
| Species/ target/critical effect | Rat alpha-cypermethrin is toxic for CNS and peripheral motor nerves; neurobehavioral changes are reversible within 3 days following single dose. | | |
| Lowest relevant developmental NOAEL / LOAEL. | Acute rat study : NOAEL= 4 mg/kg bw (in corn oil) | | |
| Other toxicological studies (Annex IIIA, VI/XI) | | | |
| | Not required | | |
| Medical data (Annex IIA, point 6.9) | | | |
| | Paresthesiae and peripheral sensory phenomena and irritation of respiratory tract; R37 | | |
| Summary (Annex IIA, point 6.10) | Value | Study | Safety factor |
| ADI (if residues in food or feed) | | | |
| AEL _{medium term} (Operator/Worker Exposure) | 0.016 mg/kg | Dog, 90 days | 100 / 0.45 |

Drinking water limit
ARfD (acute reference dose)

| bw/d | mg/kg | study | |
|--------------|-------|--------------------------------------|-----|
| 0.04 bw/d | | Rat, acute neurotoxicity study | 100 |

Acceptable exposure scenarios (including method of calculation)

Professional users

| |
|--|
| <p><u>Acute and repeated exposure:</u> Application scenario: Low-pressure insecticide spraying indoors Application concentration of a.s.: 0.03 % (w/v) Duration: 2 hours Frequency of application: Daily Level of personal protection: PPE (protective coverall, gloves, boots); RPE additionally recommended</p> <p>Systemic dose: 0.0030-0.0018 mg/kg bw/d</p> |
| Not appropriate |

Non-professional users

Indirect exposure as a result of use

Acute phase:

Adult inhaling volatilised residues indoors
Systemic dose: 2.88×10^{-5} mg a.s./kg bw

Children inhaling volatilised residues from treated carpet:
Systemic dose: 3.84×10^{-5} mg a.s./kg bw

Infant inhaling volatilised residues from treated carpet:
Systemic dose: 2.61×10^{-5} mg a.s./kg bw

Infant playing on treated carpet and mouthing hands (dermal + ingestion)
Systemic dose: 0.0139 mg a.s./kg bw

Chronic phase:

Adult inhaling volatilised residues indoors
Systemic dose: 2.88×10^{-5} mg a.s./kg bw

Children inhaling volatilised residues from treated carpet:
Systemic dose: 3.84×10^{-5} mg a.s./kg bw

Infant inhaling volatilised residues from treated carpet:
Systemic dose: 2.61×10^{-5} mg a.s./kg bw

Infant playing on treated carpet and mouthing hands (dermal + ingestion)
Systemic dose: 0,0069 mg a.s./kg bw/d

Chapter 4: Fate and Behaviour in the Environment

Route and rate of degradation in water (Annex IIA, point 7.6, IIIA, point XII.2.1, 2.2)

Hydrolysis of active substance and relevant metabolites (DT₅₀) (state pH and temperature)

| |
|---|
| <p>pH 4: stable (50 °C) pH 4: stable (12 °C) A half-life over a year at 25°C is expected.</p> <p>In the aqueous solution at pH 4, exclusively the parent compound was found until 10 days of incubation at 50°C (total recovery 83–88%).</p> <p>Sampling procedure: pH 4, 50°C 3, 10 d</p> |
| <p>pH 7: DT₅₀ = 27 d (50 °C) pH 7: DT₅₀ = 67 d (25 °C) pH 7: DT₅₀ = 101 d (20 °C) pH 7: DT₅₀ = 564.4 d (12 °C)</p> <p>Sampling procedure: pH 7, 50°C 3, 5, 7 and 10d pH 7, 60°C 0, 2, 4, 7, 9 and 11d pH 7, 75°C 1, 2, 3 and 4d</p> |
| <p>pH 9: DT₅₀ = 3 h (50 °C) pH 9: DT₅₀ = 3.5 d (25 °C) pH 9: DT₅₀ = 7.3 d (20 °C) pH 9: DT₅₀ = 9.9 d (12 °C)</p> <p>Sampling procedure: pH 9, 25°C 0, 1, 2, 3, 4, 7.2 and 11d pH 9, 50°C 0, 1, 2, 3, 4, 6, 8, 10 and 24h</p> |
| <p>Hydrolysis products (supportive information Doc IVA-7.1.1.1.1-02):</p> <p>Alkaline conditions:</p> <ul style="list-style-type: none"> • cyclopropane carboxylic acid; • meta-phenoxybenzaldehyde. <p>Acidic conditions:</p> <ul style="list-style-type: none"> • dichlorovinyl carboxylic acid; • meta-phenoxybenzaldehyde. • <p>Major product 3-phenoxybenzaldehyde occurred at the selected concentration at all pH values and all temperatures.</p> <p>Acurrate hydrolysis data, due to low solubility and thus concentrations, were only available at very high temperatures (50 - 80 °C). The half-lives at 22°C were calculated to be 162 days, 46 days and 2.9 hours, respectively, in pH 5, 7 and 9 buffers.</p> <p>pH 5, 60°C, n=8 samples taken from 0 h to 280 h pH 5, 70°C, n=8 samples taken from 0 h to 188 h</p> |

| | |
|---|--|
| | <p>pH 5, 80°C, n=7 samples taken from 6 h to 95 h</p> <p>pH 7, 50°C, n=8 samples taken from 1.5 h to 140.5 h</p> <p>pH 7, 60°C, n=7 samples taken from 1.5 h to 116.5 h</p> <p>pH 5, 70°C, n=8 samples taken from 0 h to 125 h</p> <p>pH 9, 50°C, n=7 samples taken from 0 h to 1 h</p> <p>pH 9, 60°C, n=8 samples taken from 0 h to 0.917h</p> <p>pH 9, 70°C, n=9 samples taken from 0 h to 0.917 h</p> |
| Photolytic / photo-oxidative degradation of active substance and resulting relevant metabolites | <p>Environmental DT₅₀ = 3.4 – 6.3 d</p> <p>Mean DT₅₀ = 4.85 d</p> <p>Sampling procedure:</p> <p>1. <u>[Bz-14C]-alphacypermethrin:</u> 0, 8 and 16h, 1, 2, 4, 7 and 15 days of exposure. dark control: 8 and 16h, 1, 2, 4, 7 and 18 days of exposure</p> <p>2. <u>[Cp-14C]-alphacypermethrin:</u> 0, 1, 2, 4, 8, 15 and 28 days of exposure. dark control: 1, 2, 4, 8, 15 and 28 days of exposure</p> <p>Relevant metabolites:</p> <p>cis + trans-2,2-dimethyl-3-(2',2'-dichlorovinyl)cyclopropane carboxylic acid isomers (CL 901649): DT₅₀ = 96 d</p> <p>3-phenoxybenzaldehyde (CL 206969): DT₅₀ = 10.9 d</p> <p>3-phenoxybenzoic acid (CL 206128): DT₅₀ = 6.3 d</p> |
| Readily biodegradable (yes/no) | No |
| Biodegradation in seawater | Not required |
| Non-extractable residues | No accumulation in water or sediment |
| Water/sediment study: | |
| DT ₅₀ water: | 0.4 – 2.1 d |
| DT ₉₀ water: | 1.5 – 6.9 d |
| DT ₅₀ whole system: | 6.4 – 35.4 d |
| DT ₉₀ whole system: | 21.1 – 117.5 d |
| DT ₅₀ 3-phenoxybenzoic acid (20 °C): | 2.1 – 3.0 d |
| DT ₉₀ 3-phenoxybenzoic acid (20 °C): | 7.0 – 10.1 d |
| DT ₅₀ dimethylcyclopropane carboxylic acid (20 °C): | 14.3 – 36.8 d |
| DT ₉₀ dimethylcyclopropane carboxylic acid (20 °C): | 61.5 – 105.9 d |
| | <p>BE CA calculated geometric mean according to Sanco/10058/2005 version 1.0:</p> <p>DT₅₀ water (12°C):2.22 d</p> <p>DT₅₀ sediment (12°C):28.13 d</p> <p>Sampling procedure</p> |

| | |
|---|---|
| | <p>a) Benzyl-¹⁴C-alpha-cypermethrin 0h, 6h, 24h and 2, 7, 14, 30, 61 and 105 days after the treatment in duplicate. Additional samples were taken from the reserves on day 28 and 29, respectively. Sodium hydroxide solutions: Sampled and analysed at each sampling interval and on day 44, 72 and 89. Ethylene glycol solutions: Sampled and measured at each sampling interval and replaced only after 7, 44, 57, 72 and 89.</p> <p>b) Cyclopropyl-1-¹⁴C-alpha-cypermethrin 0h, 6h, 24h and 2, 7, 14, 30, 62 and 105 days after the treatment in duplicate. Sodium hydroxide solutions/ Ethylene glycol solutions: Sampled and analysed at each sampling interval or about every two weeks.</p> |
| Distribution in water / sediment systems (active substance) | up to 55 – 62 % in sediment at day 2 |
| Distribution in water / sediment systems (metabolites) | 3 phenoxybenzoic acid (up to 23 % at day 7 in whole system), dimethylcyclopropane carboxylic acid (up to 47 % at day 14 in water phase and up to 19.5 % at day 14 in sediment) |

Route and rate of degradation in soil (Annex IIIA, point VII.4, XII.1.1, XII.1.4; Annex VI, para. 85)

| | |
|---|---|
| Mineralization (aerobic) | 32–51 % within 120 days |
| Laboratory studies (estimated values with regression coefficient according to ModelMaker 4.0) | DT _{50lab} (20 °C, aerobic): 20.6 d, r ² = 0.987 |
| | DT _{50lab} (12 °C, aerobic): 39.1 d |
| | DT _{90lab} (20 °C, aerobic): 68.3 d, r ² = 0.987 |
| | DT _{50lab} (10 °C, aerobic): 54.9 d, r ² = 0.962 |
| | DT _{90lab} (10 °C, aerobic): 182.5 d, r ² = 0.962 |
| | DT _{50lab} (20 °C, anaerobic): 31 d |
| | degradation in the saturated zone: |
| Field studies (state location, range or median with number of measurements) | DT _{50,field} < 14 - 112 d, median = 35 d, 9 measurements 3-year study in 3 locations in UK |
| | DT _{90,field} : 35 –< 385 d, 9 measurements 3-year study in 3 locations in UK |

| | |
|--|---|
| Anaerobic degradation | (Cypermethrin): Half-lives under aerobic and anaerobic conditions are similar. Formation of metabolite 3-phenoxybenzoic acid (67.6 % at day120), bound residue (11 % at day 120), no mineralisation |
| Soil photolysis | DT ₅₀ = 31 d Formation of metabolites 3-phenoxybenzoic acid (17 % at day 30), phenoxybenzoic alcohol (2.7 % at day 30), bound residue (13.3 % at day 30), mineralisation (6.2 % at day 30). Sampling procedure: Samples were taken and analysed after 0, 2, 4, 8, 16 and 30 days of illumination. Control samples: 2, 4, 8, 17* and 39* days *) Due to technical defect in the illumination system, samples had to be illuminated for additional 9 days to obtain a total of 30 illumination days. Consequently, time points of the dark control changed to 17 and 39 days. |
| Non-extractable residues | Up to 36 % in laboratory study; Bound residues are further mineralised Mineralisation rate: 21–37 % within 18 weeks |
| Relevant metabolites – name and/or code, % of applied a.i. (range and maximum) | No metabolite ≥ 10 % |
| Soil accumulation and plateau concentration | No accumulation was observed |

Adsorption/desorption (Annex IIA, point XII.7.7; Annex IIIA, point XII.1.2)

Ka , Kd

Ka_{oc} , Kd_{oc}

pH dependence (yes / no) (if yes type of dependence)

K_{oc} (a.s.)= 26492–144652Median K_{oc} = 57889Mean K_{oc} = 76344Median K_{oc} (phenoxybenzoic acid): 73

Kd = 821–1042

No pH dependence

Fate and behaviour in air (Annex IIIA, point VII.3, VII.5)

Direct photolysis in air

Quantum yield of direct photolysis

Photo-oxidative degradation in air

Volatilization

Not required

Not required

DT₅₀ (● OH) = 3.47 h (QSAR estimation, software AOPWIN))Vapour pressure = 3.4 x 10⁻⁷ Pa at 25 °C**Monitoring data, if available** (Annex VI, para. 44)

Soil (indicate location and type of study)

Surface water (indicate location and type of study)

Ground water (indicate location and type of study)

Air (indicate location and type of study)

Not available

Not available

Not available

Not available

Chapter 5: Effects on Non-target Species

Toxicity data for aquatic species (most sensitive species of each group) (Annex IIA, point 8.2, Annex IIIA, point 10.2)

| Species | Time-scale | Endpoint | Toxicity |
|--|------------|--|-----------------------|
| Fish | | | |
| <i>Pimephales promelas</i> | 96 h | Mortality (LC ₅₀) | 0.93 µg/L (measured) |
| <i>Pimephales promelas</i> | 34 d | Overall mortality across development stages (NOEC) | 0.03 µg/L (measured) |
| Invertebrates | | | |
| <i>Daphnia magna</i> | 48 h | Immobilisation (EC ₅₀) | 0.3 µg/L (nominal) |
| <i>Daphnia magna</i> | 21 d | Reproduction (NOEC) | 0.03 µg/l (nominal) |
| Algae | | | |
| <i>Pseudokirchneriella subcapitata</i> | 72 h | Cell multiplication inhibition (E _r C ₅₀) | > 1.0 mg/L (nominal) |
| Microorganisms | | | |
| Activated sludge micro-organisms | 3 h | Respiration inhibition (EC ₅₀) | > 1000 mg/L (nominal) |

Toxicity data for aquatic species exposed to major metabolites

| Species/metabolite | Time-scale | Endpoint | Toxicity |
|--|------------|--|--|
| Fish | | | |
| <i>Lepomis macrochirus</i> : Metabolite CL 912554 | 96 h | Mortality (LC ₅₀) | > 102.8 mg/L (measured) |
| Metabolite CL 206128 | 96 h | Mortality (LC ₅₀) | > 103.2 mg/L (measured) |
| Invertebrates | | | |
| <i>Daphnia magna</i> : Metabolite CL 912554 | 48 h | Immobilisation (EC ₅₀) NOEC | 61.9 mg/L (nominal) 25 mg/L (nominal) |
| Metabolite CL 206128 | 48 h | Immobilisation (EC ₅₀) NOEC | 39 mg/L (nominal) 12.5 mg/L (nominal) |
| Metabolite CL 206969 | 48 h | Immobilisation (EC ₅₀) NOEC | 0.8 mg/l (measured) 0.286 mg/L (measured) |
| Algae | | | |
| <i>Pseudokirchneriella subcapitata</i> : Metabolite CL 912554 | 72 h | E _r C ₅₀ | 70 mg/L (nominal) |
| Metabolite CL 206128 | | E _b C ₅₀ | 31.6 mg/L (nominal) |
| | | E _r C ₅₀ | 85 mg/L (nominal) |
| | | E _b C ₅₀ | 38.1 mg/L (nominal) |

Effects on earthworms or other soil non-target organisms

| | |
|---|---|
| Acute toxicity to <i>Eisenia fetida</i> (Annex IIIA, point XIII.3.2) | LC ₅₀ (14 d) > 1000 mg/kg dry soil |
| Reproductive toxicity to | Not required |

Effects on soil micro-organisms (Annex IIA, point 7.4)

| | |
|-------------------------|--|
| Nitrogen mineralization | EC ₅₀ (28 d) > 100 mg/kg NOEC (28 d) > 100 mg/kg |
| Carbon mineralization | EC ₅₀ (28 d) > 100 mg/kg NOEC (28 d) > 100 mg/kg |

Effects on terrestrial vertebrates

| | |
|--|---|
| Acute toxicity to mammals (Annex IIIA, point XIII.3.3) | LD ₅₀ (rat) = 57 mg a.s./kg bw |
| Acute toxicity to birds (Annex IIIA, point XIII.1.1) | LD ₅₀ (<i>Colinus virginianus</i>) > 2025 mg a.s./kg bw |
| Dietary toxicity to birds (Annex IIIA, point XIII.1.2) | LC ₅₀ (<i>Colinus virginianus</i> , 5 d) > 5000 mg a.s./kg feed |
| Reproductive toxicity to birds (Annex IIIA, point XIII.1.3) | NOEC (<i>Colinus virginianus</i> , 22 weeks) = 150 mg a.s./kg feed |

Effects on honeybees (Annex IIIA, point XIII.3.1)

| | |
|------------------------|---|
| Acute oral toxicity | Not required for lack of exposure (indoor use only) |
| Acute contact toxicity | Not required for lack of exposure (indoor use only) |

Effects on other beneficial arthropods (Annex IIIA, point XIII.3.1)

| | |
|-------------------------|---|
| Acute oral toxicity | Not required for lack of exposure (indoor use only) |
| Acute contact toxicity | Not required for lack of exposure (indoor use only) |
| Acute toxicity to | Not required for lack of exposure (indoor use only) |

Bioconcentration (Annex IIA, point 7.5)

| | |
|--|--|
| Aquatic Bioconcentration factor (BCF _{fish}) | ≤ 910 L/kg (experimentally determined Doc III-A7.4.3.3.1/01) |
|--|--|

| | |
|---|--|
| Depuration time (DT ₅₀) | 9440 L/kg (QSAR estimation, Doc III-A7.4.2/01) |
| (DT ₉₀) | 6.9–8.6 d |
| Level of metabolites (%) in organisms accounting for > 10 % of residues | Not determined |
| Terrestrial bioconcentration factor (BCF _{earthworm}) | Not available |
| | 3796 L/kg (estimated) |

Chapter 6: Other End Points

Long-term effects on the aquatic environment (Annex IIIA, point XIII.3.4)

| | |
|--|--|
| Chronic toxicity sediment dwelling organisms | NOEC = 0.024 µg/L (28 d, <i>Chironomus</i> larvae) |
| Higher tier testing on aquatic and benthic invertebrates and algae | EAC = 0.015 µg/L (126 d, mesocosm studies) |

APPENDIX II: LIST OF INTENDED USES²

| Object and/or situation | and/or Member State or Country | Product or name | Organisms controlled | Formulation | | Application | | | Applied amount per treatment | | | Remarks |
|---|--------------------------------|-----------------|---|--------------|--------|---|----------------|-------------------------------------|------------------------------|--------------------------------|-------------------------------|--|
| | | | | Type of a.s. | Conc. | Method Kind | Number min max | Interval between applications (min) | g a.s./L min max | Water L/m ² min max | g a.s./m ² min max | |
| Insects domestic and/or public environments | All | TENOPA | Insects domestic and/or public environments | in SC * | 30 g/L | Low-pressure spraying onto surfaces (< 2 bar) | 1 | n.a. | n.a. | n.a. | 0.0075–0.015 | Application rate: The lower figure (0.0075) is the standard case, whereas the higher figure is recommended for severely dragged or strongly absorbing, porous surfaces |

*) SC = Suspension concentrate

² adapted from: EU (1998a): European Commission: Guidelines and criteria for the preparation of complete dossiers and of summary dossiers for the inclusion of active substances in Annex I of Directive 91/414/EC (Article 5.3 and 8.2). Document 1663/VI/94 Rev 8, 22 April 1998

APPENDIX III: LIST OF STUDIES

Data protection is claimed by the applicant in accordance with Article 12.1(c) (i) and (ii) of Council Directive 98/8/EC for all study reports marked "Y" in the "Data Protection Claimed" column of the table below. For studies marked Yes(i) data protection is claimed under Article 12.1(c) (i), for studies marked Yes(ii) data protection is claimed under Article 12.1(c) (ii). These claims are based on information from the applicant. It is assumed that the relevant studies are not already protected in any other Member State of the European Union under existing national rules relating to biocidal products. It was however not possible to confirm the accuracy of this information.

Reference list by author

| Author(s) | Section No / Reference No | Year | Title. Source, Report No. GLP / (Un)Published | Data Protection Claimed (Yes/No) | Owner |
|-------------------|---|------|--|----------------------------------|-------|
| Al-Hamdani et al. | A6.8.2/02 | 2010 | Cypermethrin reversibly alters sperm count without altering fertility in mice. Ecotoxicol Environ Saf. 2010 Jul;73(5):1092-7 Not GLP, open literature | - | |
| Anonymous | B8.1(01) IIB, VIII 8.1 also filed: B8.2(01), also filed: B8.4(01), also filed: B8.5(01), also filed: B8.6(01) | 2006 | Safety data sheet TENOPA® 30/30 g/L SC (NPE free). Date: 2006-04-19 | - | BASF |
| Anonymous | B8.2(01) IIB, VIII 8.2 also filed: B8.1(01), also filed: B8.4(01), also filed: B8.5(01), also filed: B8.6(01) | 2006 | Safety data sheet TENOPA® 30/30 g/L SC (NPE free). Date: 2006-04-19 | - | BASF |

| Author(s) | Section No / Reference No | Year | Title. Source, Report No. GLP / (Un)Published | Data Protection Claimed (Yes/No) | Owner |
|---------------|--|------|---|----------------------------------|-------|
| Anonymous | B8.4(01) IIB, VIII 8.4 also filed: B8.1(01), also filed: B8.2(01), also filed: B8.5(01), also filed: B8.6(01) | 2006 | Safety data sheet TENOPA® 30/30 g/L SC (NPE free). Date: 2006-04-19 | - | BASF |
| Anonymous | B8.5(01) IIB, VIII 8.5 also filed: B8.1(01), also filed: B8.2(01), also filed: B8.4(01), also filed: B8.6(01) | 2006 | Safety data sheet TENOPA® 30/30 g/L SC (NPE free). Date: 2006-04-19 | - | BASF |
| Anonymous | B8.6(01) IIB, VIII 8.6 also filed: B8.1(01), also filed: B8.2(01), also filed: B8.4(01), also filed: B8.5(01) | 2006 | Safety data sheet TENOPA® 30/30 g/L SC (NPE free). Date: 2006-04-19 | - | BASF |
| Baker, I.P. | B3.7(02) IIB, III 3.7 | 1998 | The physical and chemical stability of alphacypermethrin / flufenoxuron 30/30g/l SC in HDPE packs – 52 weeks report. Date: 1998-04-19 | Yes | BASF |
| Baker, I.P. | B3.7(03) IIB, III 3.7 | 1999 | The physical and chemical stability of alphacypermethrin / flufenoxuron 30/30g/l SC in HDPE packs – 104 weeks report. Date: 1999-06-09 | Yes | BASF |
| Baldwin, M.K. | A3.5/01 | 1990 | Alphacypermethrin (FASTAC) water solubility at various pH values Shell Research Ltd, Sittingbourne, UK, Report No.: SBGR.90.158 GLP, Unpublished | Y (Exist./First) | BASF |

| Author(s) | Section No / Reference No | Year | Title. Source, Report No. GLP / (Un)Published | Data Protection Claimed (Yes/No) | Owner |
|----------------------------|---------------------------|------|--|----------------------------------|-------|
| Beigel, C. | A7.2.2.1/05 | 2002 | Calculation of DT50 and DT90 values of 3-phenoxybenzoic acid (metabolite of cypermethrin and alphacypermethrin) in two soils treated with cis-cypermethrin BASF Agro Research, Princeton, NJ, USA, Report No.: EXA02-006 Not GLP, Unpublished | Y (Exist./First) | BASF |
| Beigel, C. | A7.1.2.2.2/04 | 2001 | Calculation of DT50 and DT90 values of Alphacypermethrin metabolites CL 206128 and CL 912554 in river sediment and pond sediment aquatic systems BASF Agro Research, Princeton, NJ, USA, Report No.: EXA-01-006 GLP, Unpublished | Y (Exist./First) | BASF |
| Beigel, C. | A7.1.2.2.2/03 | 2001 | Calculation of first-order DT50 and DT90 values of Alphacypermethrin in the water and sediment phases of river-sediment and pond-sediment aquatic systems BASF Agro Research, Princeton, NJ, USA, Report No.: EXA-01-023 GLP, Unpublished | Y (Exist./First) | BASF |
| Bixler, T.A., Kukel, C. | A3.7/02 | 2001 | CL 900049 (α -cypermethrin): solubility in acetone:hexane, stability in hexane and freezer storage stability of CL 900049 residues in cattle tissues (muscle, liver, kidney and fat) and milk BASF Agro Research, Princeton, NJ, USA, Report No.: RES01-002 GLP, Unpublished | Y (Exist./First) | BASF |
| Bohle, J.F. | A3.7/01 | 1991 | Alphacypermethrin (FASTAC): determination of the solubility in different solvents RCC NOTOX B.V., 's-Hertogenbosch, The Netherlands, Report No.: 057263 GLP, Unpublished | Y (Exist./First) | BASF |
| Bosio, P. | A7.2.2.2/01 | 1983 | Residues of WL 85871 and metabolites in soil from U.K. treated with FASTAC - 1981/82 trials Shell Chimie, Berre, France, Report No.: BEGR.83.040 Not GLP, Unpublished | Y (Exist./First) | BASF |
| Brem, G. | A3.2.1 | 2005 | Henry's law constant for alpha-Cypermethrin 23/03/2005 | Y | BASF |


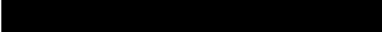


| Author(s) | Section No / Reference No | Year | Title. Source, Report No. GLP / (Un)Published | Data Protection Claimed (Yes/No) | Owner |
|-----------------------------|---------------------------|------|---|----------------------------------|-------|
| Bremmer et al. | Doc II B 3.2.5.1 | 2002 | Pest Control Products Fact Sheet. RIVM report 613340 003. RIVM, Bilthoven, The Netherlands. | N | - |
| Brooks, T.M. | A6.6.1/01 | 1993 | FASTAC TM: bacterial mutagenicity studies Shell Research Ltd, Sittingbourne, UK, Report No.: SBTR.92.022 GLP, Unpublished | Y (Exist./First) | BASF |
| Brooks, T.M., Wiggins, D.E. | A6.6.2/01 | 1993 | FASTAC TM: in vitro chromosome studies using cultured human lymphocytes Shell Research Ltd, Sittingbourne, UK, Report No.: SBTR.93.007 GLP, Unpublished | Y (Exist./First) | BASF |
| [REDACTED] | A6.5/02 | 1979 | Corrigendum and addendum I: Toxicity studies on the insecticide WL 43467: A 2 year feeding study in rats [REDACTED] Report No.: TLGR.0189.78 Not GLP, Unpublished | Y (Exist./First) | BASF |
| Cevasco, A. | A3.3.1/01 | 1999 | Determination of the physical state, color, and odor for alpha-cypermethrin (AC 900049) purified active substance (PAS) and technical active substance (TAS) Cyanamid Co., Agricultural Products Research Division Princeton, NJ, USA, Report No.: P 285 Not GLP, Unpublished | Y (Exist./First) | BASF |
| [REDACTED] | A6.6.4/02 | 1984 | Genotoxicity studies with Fastac: in vivo cytogenetic test using rat bone marrow [REDACTED] Report No.: SBGR.84.120 Not GLP, Unpublished | Y (Exist./First) | BASF |
| [REDACTED] | A6.4.1/01 | 1982 | A 90 day feeding study in rats [REDACTED] Report No.: SBGR.81.293 Not GLP, Unpublished | Y (Exist./First) | BASF |
| [REDACTED] | B6.3(01) IIB, VI 6.3 | 1997 | Closed-Patch Dermal Sensitization Study with Alphacypermethrin / Flufenoxuron 30/30 g/l SC (RLF 12299) in Guinea Pigs (Modified Buehler Method). Date: 1997-06-19 | Yes | BASF |

| Author(s) | Section No / Reference No | Year | Title. Source, Report No. GLP / (Un)Published | Data Protection Claimed (Yes/No) | Owner |
|---------------------------|---------------------------|------|--|----------------------------------|-------|
| Concha, M. et al. | A7.1.1.1.2/01 | 2001 | BAS 310 I (Alphacypermethrin): aqueous photolysis PTRL West, Inc., Hercules, CA, USA, Report No.: ENV01-037 GLP, Unpublished | Y (Exist./First) | BASF |
| [REDACTED] | A6.5/06 | 1999 | First amendment: Alphacypermethrin: oncogenicity study by dietary administration to CD 1 mice [REDACTED] Report No.: 95/SHL010/0596 GLP, Unpublished | Y (Exist./First) | BASF |
| Coveney, P.C., Forbes, S. | A7.2.2.2/09 | 1986 | Analysis of soil from UK (Coates) for residues of "FASTAC" (WL85871) - soil persistence trial - third year Shell Research Ltd, Sittingbourne, UK, Report No.: SBGR.86.201 Not GLP, Unpublished | Y (Exist./First) | BASF |
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| [REDACTED] | A6.2/07 | 1977 | The metabolism of WL 43467 in mammals. The fate of a single oral dose of (¹⁴ C-cyclopropyl) WL 43467 in the rat [REDACTED] Report no.: TLGR.0004.77, January 1977, BASF RDI No.: CY-440-003. No GLP, Unpublished | Y (Exist./First) | BASF |
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| [REDACTED] | A6.1.1/02 | 2005 | BAS 310 I (alpha-Cypermethrin) - acute oral toxicity study in rats [REDACTED] Report No.: 10A0563/041083 GLP, Unpublished | Y (Exist./First) | BASF |
| [REDACTED] | A6.1.1/03 | 2005 | BAS 310 I (alpha-Cypermethrin) - acute oral toxicity study in rats [REDACTED] Report No.: 10A0562/041081 GLP, Unpublished | Y (Exist./First) | BASF |
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| Gödde, M. | B3.3(01) IIB, III 3.3 also filed: B3.2(01) also filed: B3.4(02) | 2005 | Evaluation and physical and chemical properties according to Directive 94/37/EC – Test substance BAS 308 04 I. Date: 2005-08-04 | Yes | BASF |
| Gödde, M. | B3.4(01) IIB, III 3.4 | 2006 | Flash point | Yes | BASF |
| Gödde, M. | B3.4(02) IIB, III 3.4 also filed: B3.2(01) also filed: B3.3(01) | 2005 | Evaluation and physical and chemical properties according to Directive 94/37/EC – Test substance BAS 308 04 I. Date: 2005-08-04 | Yes | BASF |
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| Goldsmith, A.E. | B3.7(01) IIB, III 3.7 also filed B3.1(01) also filed B3.5(01) also filed B3.6(01) also filed B3.8(01) also filed B3.10(01) also filed B3.11(01) | 2005 | Physical and chemical properties of flufenoxuron/ alphacypermethrin 30/30 g/L SC, BAS 308 04 I Accelerated storage stability up to 2 weeks at 54 °C. Date: 2005-02-04 | Yes | BASF |
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| [REDACTED] | A6.4.1/02 | 1984 | WL85871: 13 week oral dietary toxicity study in dogs [REDACTED] [REDACTED] [REDACTED] Report No.: 3197 Not GLP, Unpublished | Y (Exist./First) | BASF |
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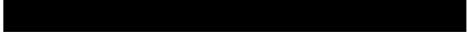
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| ██████████ | B6.1.3(01) IIB, VI 6.1.3 | 1997 | (Acute (4-Hour) Inhalation Toxicity Study with Alphacypermethrin/Flufenoxuron 30/30 g/l SC (RLF 12299) in the Rat via Nose-Only Exposure. Date: 1997-06-16 | Yes | BASF |
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| B3.2(01) IIB, III 3.2 also filed: B3.3(01) also filed: B3.4(02) | Gödde, M. | 2005 | Evaluation and physical and chemical properties according to Directive 94/37/EC – Test substance BAS 308 04 I. Date: 2005-08-04 | Yes | BASF |
| A3.2 | Kaestel, R | 2005 | Melting point and vapour pressure of Alpha-cypermethrin (TGAI, Source: Gujarat Agrochem) Date: 2005-01-07 | | BASF |
| A3.2/01 | Harteveld, J.L.N. | 1992 | Determination of the vapour pressure of Alphacypermethrin TNO, Rijswijk, The Netherlands, Report No.: PML1992-C39 GLP, Unpublished | Y (Exist./First) | BASF |
| A3.2.1 | Brem, G. | 2005 | Henry's law constant for alpha-Cypermethrin Date: 2005-03-23 | | BASF |

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| A3.3.1/01 | Cevasco, A. | 1999 | Determination of the physical state, color, and odor for alpha-cypermethrin (AC 900049) purified active substance (PAS) and technical active substance (TAS) Cyanamid Co., Agricultural Products Research Division Princeton, NJ, USA, Report No.: P 285 Not GLP, Unpublished | Y (Exist./First) | BASF |
| B3.3(01) IIB, III 3.3 also filed: B3.2(01) also filed: B3.4(02) | Gödde, M. | 2005 | Evaluation and physical and chemical properties according to Directive 94/37/EC – Test substance BAS 308 04 I. Date: 2005-08-04 | Yes | BASF |
| A3.4/01 | Jones, M.T. | 1995 | Spectral database for Alphacypermethrin (CL 900,049) pure active substance Cyanamid Forschung GmbH, Schwabenheim, Germany, Report No.: APBR466 Not GLP, Unpublished | Y (Exist./First) | BASF |
| B3.4(01) IIB, III 3.4 | Gödde, M. | 2006 | Flash point | Yes | BASF |
| A3.4/02 | Ferri, J., Zhang, Y. | 1994 | Spectral database for FASTAC Technical (CL 900,049) American Cyanamid Company, Princeton, NJ, USA, Report No.: APBR#342 Not GLP, Unpublished | Y (Exist./First) | BASF |
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| B3.6(01) IIB, III 3.6 also filed B3.1(01) also filed B3.5(01) also filed B3.7(01) also filed B3.8(01) also filed B3.10(01) also filed B3.11(01) | Goldsmith, A.E. | 2005 | Physical and chemical properties of flufenoxuron/ alphacypermethrin 30/30 g/L SC, BAS 308 04 I Accelerated storage stability up to 2 weeks at 54 °C. Date: 2005-02-04 | Yes | BASF |
| B3.6(02) IIB, III 3.6 also filed B3.10(02) also filed B3.11(02) | Goldsmith, A.E. | 2006 | Battelle UK Report Amendment Certification: Physical and chemical properties of flufenoxuron/ alphacypermethrin 30/30 g/L SC, BAS 308 04 I Accelerated storage stability up to 2 weeks at 54 °C. Date: 2006-03-14 | Yes | BASF |
| A3.7 | Daum, A. | 2005 | Determination of the solubility in organic solvents at 20°C of alpha-Cypermethrin (BAS 310 I, Reg. No. 4 078 193) TGAI Date: 2005-03-21 | | BASF |

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| A3.7/01 | Bohle, J.F. | 1991 | Alphacypermethrin (FASTAC): determination of the solubility in different solvents RCC NOTOX B.V., 's-Hertogenbosch, The Netherlands, Report No.: 057263 GLP, Unpublished | Y (Exist./First) | BASF |
| B3.7(01) IIB, III 3.7 also filed B3.1(01) also filed B3.5(01) also filed B3.6(01) also filed B3.8(01) also filed B3.10(01) also filed B3.11(01) | Goldsmith, A.E. | 2005 | Physical and chemical properties of flufenoxuron/ alphacypermethrin 30/30 g/L SC, BAS 308 04 I Accelerated storage stability up to 2 weeks at 54 °C. Date: 2005-02-04 | Yes | BASF |
| B3.7(02) IIB, III 3.7 | Baker, I.P. | 1998 | The physical and chemical stability of alphacypermethrin / flufenoxuron 30/30g/l SC in HDPE packs – 52 weeks report. Date: 1998-04-19 | Yes | BASF |
| A3.7/02 | Bixler, T.A., Kukel, C. | 2001 | CL 900049 (α -cypermethrin): solubility in acetone:hexane, stability in hexane and freezer storage stability of CL 900049 residues in cattle tissues (muscle, liver, kidney and fat) and milk BASF Agro Research, Princeton, NJ, USA, Report No.: RES01-002 GLP, Unpublished | Y (Exist./First) | BASF |
| B3.7(03) IIB, III 3.7 | Baker, I.P. | 1999 | The physical and chemical stability of alphacypermethrin / flufenoxuron 30/30g/l SC in HDPE packs – 104 weeks report. Date: 1999-06-09 | Yes | BASF |
| B3.7(04) IIB, III 3.7 | Ohnsorge, U. | 2004 | TMTenopa, Minor change reasoning. Date: 2004-04-06 Business Confidential Information – See BCI folder | Yes | BASF |

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| B3.8(01) IIB, III 3.8 also filed B3.1(01) also filed B3.5(01) also filed B3.6(01) also filed B3.7(01) also filed B3.10(01) also filed B3.11(01) | Goldsmith, A.E. | 2005 | Physical and chemical properties of flufenoxuron/ alphacypermethrin 30/30 g/L SC, BAS 308 04 I Accelerated storage stability up to 2 weeks at 54 °C. Date: 2005-02-04 | Yes | BASF |
| A3.9 | Daum, A. | 2005 | Determination of the octanol/water partition coefficient of alpha-Cypermethrin (BAS 310 I, Reg. No. 4 078 193) TGAI at 20°C Date: 2005-02-09 | | BASF |
| A3.9/01 | Langner, E.J., Fisk, P.R. | 1993 | Alphacypermethrin (FASTAC): estimation of the octanol-water partition coefficient Shell Research Ltd, Sittingbourne, UK, Report No.: SBTR.92.030 GLP, Unpublished | Y (Exist./First) | BASF |
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| A3.10/01 | Kroehl, T. | 2006 | Thermal stability of alpha-cypermethrin (Reg. No. 4078193, BAS 310 I) according to OECD guideline 113 BASF AG, Limburgerhof, Germany, Report No.: 168571_2 GLP, Unpublished | Y (Exist./First) | BASF |

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| A3.11/01 | van Helvoirt, J.A.M.W. | 1991 | Determination of the flammability of Alphacypermethrin RCC NOTOX B.V., 's-Hertogenbosch, The Netherlands, Report No.: 057296 GLP, Unpublished | Y (Exist./First) | BASF |
| B3.11(01) – also filed B3.1(01) also filed B3.5(01) also filed B3.6(01) also filed B3.7(01) also filed B3.8(01) also filed B3.10(01) | Goldsmith, A.E. | 2005 | Physical and chemical properties of flufenoxuron/ alphacypermethrin 30/30 g/L SC, BAS 308 04 I Accelerated storage stability up to 2 weeks at 54 °C. Date: 2005-02-04 | Yes | BASF |
| A3.11/02 | van Helvoirt, J.A.M.W. | 1991 | Determination of the auto-flammability of Alphacypermethrin RCC NOTOX B.V., 's-Hertogenbosch, The Netherlands, Report No.: 057318 GLP, Unpublished | Y (Exist./First) | BASF |
| B3.11(02) – also filed B3.6(02) also filed B3.10(02) | Goldsmith, A.E. | 2006 | Battelle UK Report Amendment Certification: Physical and chemical properties of flufenoxuron/ alphacypermethrin 30/30 g/L SC, BAS 308 04 I Accelerated storage stability up to 2 weeks at 54 °C. Date: 2006-03-14 | Yes | BASF |
| A3.15/01 | van Helvoirt, J.A.M.W | 1991 | Determination of the explosive properties of Alphacypermethrin RCC NOTOX B.V., 's-Hertogenbosch, The Netherlands, Report No.: 057307 GLP, Unpublished | Y (Exist./First) | BASF |
| A3.16/01 | van Helvoirt, J.A.M.W | 1991 | Alphacypermethrin (FASTAC): determination of the oxidizing properties RCC NOTOX B.V., 's-Hertogenbosch, The Netherlands, Report No.: 057329 GLP, Unpublished | Y (Exist./First) | BASF |

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| A4.1/01 cross reference to conf. data | Worst, S.A. | 1995 | Validation of high resolution gas chromatographic method M-2420.01 for the determination of CL 900,049 and CL 900,051 in technical grades of CL 900,049 (FASTAC, Alphacypermethrin) and CL 900,051 (RIPCORDER, Cypermethrin) insecticides American Cyanamid Company, Princeton, NJ, USA, Report No.: APBR448 GLP, Unpublished | Y (Exist./First) | BASF |
| B4.1(01) IIB, IV 4.1 | Edwards, J. | 1996a | HPLC method for the assay of alphacypermethrin / flufenoxuron SC formulations. Date: 1996-06-12 | Yes | BASF |
| A4.1/02 cross reference to conf. data | Fotiou, F. | 1995 | Validation of HRGC method M-2447.03 to assay for residual solvents and other minor components in technical grades of the active ingredients, of FASTAC (CL 900,049, Alphacypermethrin) and RIPCORDER (CL 900,051, Cypermethrin) American Cyanamid Company, Princeton, NJ, USA, Report No.: APBR456 GLP, Unpublished | Y (Exist./First) | BASF |
| B4.1(01) IIB, IV 4.1 | Edwards, J. | 1996a | HPLC method for the assay of alphacypermethrin / flufenoxuron SC formulations. Date: 1996-06-12 | Yes | BASF |
| A4.1/03 cross reference to conf. data | Kirzecky, N. | 1995 | Validation of the high performance liquid chromatographic (HPLC) method M-2480.01 to assay for cis I, trans III, and trans IV Cypermethrin isomers in CL 900,049 (Alphacypermethrin, Cis II) technical grade active ingredient (TGA) American Cyanamid Company, Princeton, NJ, USA, Report No.: APBR458 GLP, Unpublished | Y (Exist./First) | BASF |
| A4.2/01 | Werle, H. | 1999 | Alphacypermethrin (CL 900049): validation of method SAMS 354-2 for the determination of residues in soils BioChem GmbH, Karlsruhe, Germany, Report No.: 985040421 GLP, Unpublished | Y (Exist./First) | BASF |
| A4.2/02 | Müller-Kallert, H.M. | 1992 | Development of a method for the determination of Alphacypermethrin in air RCC Umweltchemie AG, Itingen, Switzerland, Report No.: 249120 GLP, Unpublished | Y (Exist./First) | BASF |

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| A4.2/03 | Werle, H. | 1999 | Alphacypermethrin (CL 900049): validation of method SAMS 469-2 for the determination of residues in surface water BioChem GmbH, Karlsruhe, Germany, Report No.: 985040420 GLP, Unpublished | Y (Exist./First) | BASF |
| A4.2/04 | Kwasniok, A. et al. | 1997 | Validation of method SAMS 469-2 for determination of AC 900049 (Alphacypermethrin) applied as a formulation (FASTACTM 100 g/L OESC insecticide) in pond water Chemische Laboratorien GmbH, Hamburg, Germany, Report No.: ECO97-138 GLP, Unpublished | Y (Exist./First) | BASF |
| A4.2/05 | Walker, B., Linkerhägner, M. | 2000 | Alphacypermethrin (AC 900049): validation of the DFG method S 19 (extended revision) for the determination of residues of Alphacypermethrin in blood and urine of animal origin (swine) Dr. Specht & Partner, Hamburg, Germany, Report No.: CYA-0001V GLP, Unpublished | Y (Exist./First) | BASF |
| A4.2/06 | Doran, A.M. et al. | 1999 | RENEGADE Alphacypermethrin (CL 900049): validation of analytical methods SAMS 461-1 and SAMS 456-1 for the determination of Alphacypermethrin residues in cattle tissues (muscle, fat, kidney and liver) and milk Inveresk Research, Tranent, UK, Report No.: RES 99-014 GLP, Unpublished | Y (Exist./First) | BASF |
| A4.2/07 | Xu, B. | 2001 | BAS 310 I (Alpha-cypermethrin): validation of method M 3499 for the confirmation of BAS 310 I residues in water, soils and blood by GC/MS BASF Agro Research, Princeton, NJ, USA, Report No.: RES01-058 GLP, Unpublished | Y (Exist./First) | BASF |
| A5.4/01 | van Heemstra-Lequin E.A.H., van Esch, G.T. | 1992 | Alphacypermethrin. Environmental Health Criteria 142 WHO ICPS, Geneva, Switzerland, Not GLP, Published | N | - |
| A5.4/02 | Tomlin, C. | 1994 | Alphacypermethrin In: The Pesticide Manual, 10th Ed., BCPC, Farnham, UK, Not GLP, Published | N | - |

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| B5.10(01) IIB, V 5.10 | Jung, R. | 2006 | Efficacy of Product Tenopa on German cockroaches. Date: March 2006 | Yes | BASF |
| B5.10(02) IIB, V 5.10 | LeQuesne L.C. | 1997 | Tenopa - A new high performance residual insecticide for the effective control of public health insect pests. Date: September/October 1997 | No | published |
| B5.10(03) IIB, V 5.10 | Seutin, E | 1993 | Evaluation of Insecticidal Efficacy Against Household Insects (German Cockroach, <i>Blattella germanica</i>). Date: 1993-06-11 | Yes | BASF |
| B5.10(04) IIB, V 5.10 | TEC Laboratories | 1997 | Etude en laboratoire de l'efficacité biologique d'une spécialité destinée à la lutte contre les blattes et les insectes nuisible dans les locaux de stockage des denrées alimentaires d'origine animale ou végétale. Date: October 1997 | Yes | BASF |
| B5.10(05) IIB, V 5.10 | TEC Laboratories | 1998 | Laboratory Study Regarding Biological Efficacy of a Speciality Intended for Striving Against Cockroaches and Prejudicial Insects in Stock Premises for Food Products of Animal or Vegetable Origin. - Additional information to Report TEC 452/0797R: action persistence up to 6 months after application/interest of the growth regulator. Date: January 1998 | Yes | BASF |
| B5.10(06) IIB, V 5.10 | LeQuesne, L.C., Twydell, R.S. and Porter, A. | 1996 | Alphacypermetrin/Flufenoxuron - A new high performance residual insecticide combination for cockroach control. Date: 1996 | No | published |
| A6.1.1/01 | ██████████ | 1993 | FASTAC technical: acute oral and dermal toxicity in rat, skin and eye irritancy in rabbit and skin sensitisation potential in guinea pig ██████████ Report No.: SBTR.92.033 GLP, Unpublished | Y (Exist./First) | BASF |
| B6.1.1(01) IIB, VI 6.1.1 | ██████████ | 1997a | Acute Oral Toxicity Study with Alphacypermethrin / Flufenoxuron 30/30 g/l SC (RLF 12299) in Rats. Date: 1997-05-22 | Yes | BASF |

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| A6.1.1/02 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute oral toxicity study in rats [REDACTED] Report No.: 10A0563/041083 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.1/03 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute oral toxicity study in rats [REDACTED], Report No.: 10A0562/041081 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.1/04 | [REDACTED] | 1982 | Toxicology of pyrethroids: the acute oral and percutaneous toxicity of cis-2-Ripcord comparison with Ripcord [REDACTED] Report No.: SBGR.82.130 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.2 cross reference to A6.1.1/01 | [REDACTED] | 1993 | FASTAC technical: acute oral and dermal toxicity in rat, skin and eye irritancy in rabbit and skin sensitisation potential in guinea pig [REDACTED] Report No.: SBTR.92.033 GLP, Unpublished | Y (Exist./First) | BASF |
| B6.1.2(01) IIB, VI 6.1.2 | [REDACTED] | 1997b | Acute Dermal Toxicity Study with Alphacypermethrin / Flufenoxuron 30/30 g/l SC (RLF 12299) in Rats. Date: 1997-05-09 | Yes | BASF |
| A6.1.2/01 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute dermal toxicity study in rats [REDACTED] Report No.: 11A0563/041084 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.2/02 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute dermal toxicity study in rats [REDACTED], Report No.: 11A0562/041082 GLP, Unpublished | Y (Exist./First) | BASF |
| B6.1.3(01) IIB, VI 6.1.3 | [REDACTED] | 1997 | (Acute (4-Hour) Inhalation Toxicity Study with Alphacypermethrin/Flufenoxuron 30/30 g/l SC (RLF 12299) in the Rat via Nose-Only Exposure. Date: 1997-06-16 | Yes | BASF |
| A6.1.3/01 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute inhalation toxicity study in Wistar rats [REDACTED], Report No.: 13I0563/047013 GLP, Unpublished | Y (Exist./First) | BASF |

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| A6.1.3/02 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute inhalation toxicity study in Wistar rats [REDACTED] Report No.: 13I0562/047014 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.3/03 | [REDACTED] | 1993 | Alphacypermethrin: acute inhalation toxicity in rats, 4-hour exposure [REDACTED] Report No.: SLL266/930770 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.4 cross reference to A6.1.1/01 | [REDACTED] | 1993 | FASTAC technical: acute oral and dermal toxicity in rat, skin and eye irritancy in rabbit and skin sensitisation potential in guinea pig [REDACTED] Report No.: SBTR.92.033 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.4/01 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute dermal irritation / corrosion in rabbits [REDACTED], Report No.: 18H0562/042238 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.4/02 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute dermal irritation / corrosion in rabbits [REDACTED] Report No.: 18H0563/042241 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.4/03 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute eye irritation in rabbits [REDACTED], Report No.: 11H0563/042242 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.4/04 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - acute eye irritation in rabbits [REDACTED], Report No.: 11H0562/042239 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.5 cross reference to A6.1.1/01 | [REDACTED] | 1993 | FASTAC technical: acute oral and dermal toxicity in rat, skin and eye irritancy in rabbit and skin sensitisation potential in guinea pig [REDACTED] Report No.: SBTR.92.033 GLP, Unpublished | Y (Exist./First) | BASF |

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| A6.1.5/01 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - maximization test in guinea pigs [REDACTED], Report No.: 30H0562/042240 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.1.5/02 | [REDACTED] | 2005 | BAS 310 I (alpha-Cypermethrin) - maximization test in guinea pigs [REDACTED] Report No.: 30H0563/042243 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/01 | [REDACTED] | 1982 | WL85871: metabolism of a single oral dose in the rat [REDACTED] Report No.: SBGR.82.205 Not GLP, Unpublished | Y (Exist./First) | BASF |
| B6.2.1(01) IIB, VI 6.2 | [REDACTED] | 1997a | Primary Dermal Irritation Study with Alphacypermethrin / Flufenoxuron 30/30 g/l SC (RLF 12299) in Rabbits. Date: 1997-05-28 | Yes | BASF |
| A6.2/02 | [REDACTED] | 1983 | Depletion from tissues of female rats after a single oral dose [REDACTED] Report No.: SBGR.83.075 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/03 | Hutson, D.H., Logan, C.J. | 1986 | The metabolic fate in rats of the pyrethroid insecticide WL85871, a mixture of two isomers of cypermethrin Pest. Sci. 17, 548-558, Not GLP, Published | Y (Exist./First) | |
| A6.2/04 | [REDACTED] | 1985 | Human oral dose-excretion study with FASTAC [REDACTED], Report No.: HSE85.010 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/05 | [REDACTED] | 1985 | WL85871: percutaneous absorption, metabolism and elimination of WL85871 in the rat [REDACTED] Report No.: SBGR.85.217 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/06 | [REDACTED] | 1977 | The metabolic fate of the cis and trans isomers of WL 43467 (Cypermethrin). Metabolism and elimination of ¹⁴ C-aryl-labelled cis and trans-isomers in rats [REDACTED] Report No.: TLGP.0131.77 Not GLP, Unpublished | Y (Exist./First) | BASF |

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| A6.2/07 | [REDACTED] | 1977 | The metabolism of WL 43467 in mammals. The fate of a single oral dose of (14C-cyclopropyl) WL 43467 in the rat [REDACTED], Report No.: TLGR.0004.77 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/08 | [REDACTED] | 1980 | Cypermethrin - excretion and retention of Cypermethrin and its metabolites in rats following a single oral dose (ca. 200 mg/kg) [REDACTED] Report No.: TLER.80.083 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/09 | [REDACTED] | 1978 | The metabolic fate of the cis and trans-isomers of Cypermethrin in the rat. Metabolites derived from the 14C-labelled cyclopropyl ring [REDACTED], Report No.: TLGR.0183.78 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/10 | [REDACTED] | 1978 | A study of the metabolism of 3-phenoxybenzoic acid and its glucoside conjugate in rats [REDACTED] Report No.: TLGR.0186.78 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.2/11 | [REDACTED] | 1979 | The identification of metabolites in the tissues of rats treated orally with 3-phenoxybenzoic acid [REDACTED], Report No.: TLGR.0043.79 Not GLP, Unpublished | Y (Exist./First) | BASF |
| B6.2.2(01) IIB, VI 6.2 | [REDACTED] | 1997b | Primary Eye Irritation Study with Alphacypermethrin / Flufenoxuron 30/30 G/L SC (RLF 12299) in Rabbits. Date: 1997-06-20 | Yes | BASF |
| A6.3.1/01 | [REDACTED] | 1993 | WL85871 (FASTAC): a 6 week range finding feeding study in the rat [REDACTED], Report No.: SBTR.93.002 Not GLP, Unpublished | Y (Exist./First) | BASF |
| B6.3(01) IIB, VI 6.3 | [REDACTED] | 1997 | Closed-Patch Dermal Sensitization Study with Alphacypermethrin / Flufenoxuron 30/30 g/l SC (RLF 12299) in Guinea Pigs (Modified Buehler Method). Date: 1997-06-19 | Yes | BASF |

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| A6.3.1/03 | [REDACTED] | 1984 | WL85871: oral maximum tolerated dose study in dogs [REDACTED] [REDACTED] [REDACTED] Report No.: 3107 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.3.1/04 | [REDACTED] | 1993 | Alphacypermethrin: preliminary toxicity study by dietary administration to CD-1 mice for four weeks [REDACTED] Report No.: 92/0346 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.4.1/01 | [REDACTED] | 1982 | A 90 day feeding study in rats [REDACTED], Report No.: SBGR.81.293 Not GLP, Unpublished | Y (Exist./First) | BASF |
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| A6.4.1/02 | [REDACTED] | 1984 | WL85871: 13 week oral dietary toxicity study in dogs [REDACTED] [REDACTED] [REDACTED], Report No.: 3197 Not GLP, Unpublished | Y (Exist./First) | BASF |
| A6.4.1/03 | [REDACTED] | 1995 | WL85871: 52 week oral (dietary) toxicity study in dogs [REDACTED] Report No.: 11110 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.4.1/04 | [REDACTED] | 1984 | Alphacypermethrin: preliminary toxicity study by dietary administration to CD-1 mice for 13 weeks [REDACTED], Report No.: 92/SHL009/0849 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.4.2/01 | [REDACTED] | 1981 | Subacute dermal toxicity study in rabbits with technical cypermethrin [REDACTED] Report No.: CTL/P/588 Not GLP, Unpublished | Y (Exist./First) | BASF |

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| A6.5/02 | [REDACTED] | 1979 | Corrigendum and addendum I: Toxicity studies on the insecticide WL 43467: A 2 year feeding study in rats [REDACTED] Report No.: TLGR.0189.78 Not GLP, Unpublished | Y (Exist./First) | BASF |
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| A6.5/05 | [REDACTED] | 1996 | Alphacypermethrin: oncogenicity study by dietary administration to CD 1 mice [REDACTED] Report No.: 95/SHL010/0596 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.5/06 | [REDACTED] | 1999 | First amendment: Alphacypermethrin: oncogenicity study by dietary administration to CD 1 mice [REDACTED] Report No.: 95/SHL010/0596 GLP, Unpublished | Y (Exist./First) | BASF |
| B6.6(01) IIB, VI 6.6 | Hausmann, S. and Class, T. | 1998 | Decontamination of Surfaces Treated with Tenopa®. Date: 1998-11-23 | Yes | BASF |
| A6.6.1/01 | Brooks, T.M. | 1993 | FASTAC TM: bacterial mutagenicity studies Shell Research Ltd, Sittingbourne, UK, Report No.: SBTR.92.022 GLP, Unpublished | Y (Exist./First) | BASF |
| A6.6.1/02 | Brooks, T.M. | 1984 | Genotoxicity studies with Fastac: the induction of gene mutation in the yeast Saccharomyces cerevisiae XV185-14C Shell Research Ltd, Sittingbourne, UK, Report No.: SBGR.84.117 Not GLP, Unpublished | Y (Exist./First) | BASF |

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| A6.12.8 cross reference to A6.12.2/01 | Eadsforth, C.V. et al. | 1988 | Human dose-excretion studies with pyrethroids insecticides cypermethrin and alphacypermethrin: relevance for biological monitoring Xenobiotica 18, 603-614, Not GLP, Published | Y (Exist./First) | |
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| A7.1.2.2.2/02 | Völkl, S. | 1993 | 14C-Alphacypermethrin (Cyclopropyl-1-14C): degradation and metabolism in aquatic systems RCC Umweltchemie AG, Itingen, Switzerland, Report No.: 316326 GLP, Unpublished | Y (Exist./First) | BASF |
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