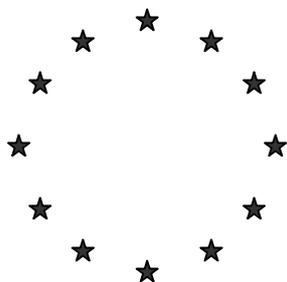


COMPETENT AUTHORITY REPORT



THIAMETHOXAM (PT 8)

Document IIIA Active Substance

Rapporteur Member State: Spain
July 2007

INDEX

| | |
|---|-----|
| Section 1: Applicant _____ | 3 |
| Section 2: Identity of active substance _____ | 4 |
| Section 3: Physical and Chemical properties of active substance _____ | 8 |
| Section 4: Analytical methods for detection and identification _____ | 15 |
| Section 5: Effectiveness against target organisms and intended uses _____ | 29 |
| Section 6: Toxicological and metabolic studies _____ | 45 |
| Section 7: Ecotoxicological Profile including Environmental Fate and Behaviour _____ | 278 |
| Section 8: Measures to protect man, animals and the environment _____ | 656 |
| Section 9: Classification and labelling _____ | 658 |

Section A1**Applicant****Annex Point IIA1****1.1 Applicant**

Syngenta European Center
GU2 7YH Guildford
United Kingdom

Contact person

[REDACTED]

1.2 Manufacturer of Active Substance (if different)

Syngenta Crop Protection AG
CH - 4002 Basle
Switzerland

Location of plant

[REDACTED]

Contact point :

Syngenta Crop Protection AG.
[REDACTED]

1.3 Manufacturer of Product(s) (if different)**1) Product 1**

Section A2 Identity of Active Substance

Subsection (Annex Point)

Official
use only

| | | |
|--------------|---|--|
| 2.1 | Common name | <i>thiamethoxam</i> |
| 2.2 | Chemical name | <p>IUPAC nomenclature :3-(2-chloro-thiazol-5-ylmethyl)-5-methyl-[1,3,5]oxadiazinan-4-ylidene-N-nitroamine</p> <p>CA nomenclature :3-[(2-chloro-5-thiazolyl)methyl]tetrahydro-5-methyl-N-nitro-4H-1,3,5-oxadiazin-4-imine</p> |
| 2.3 | Manufacturer's development code number(s) | CGA 293343 |
| 2.4 | CAS No and EC numbers | |
| 2.4.1 | CAS-No | 153719-23-4 |
| 2.4.2 | EC-No | 428-650-4 |
| 2.4.3 | CIPAC-No | 637 |
| 2.5 | Molecular and structural formula, molecular mass | |
| 2.5.1 | Molecular formula | $C_8H_{10}ClN_5O_3S$ |
| 2.5.2 | Structural formula |  |
| 2.5.3 | Molecular mass | 291.7 |
| 2.6 | Method of manufacture of the active substance (IIA2.1) | CONFIDENTIAL information - data provided separately |
| 2.7 | Specification of the purity of the active substance, as appropriate | <i>min. 980 g/kg</i> |
| 2.8 | Identity of impurities and additives, as appropriate | CONFIDENTIAL information - data provided separately |
| 2.9 | The origin of the natural active substance or the precursor(s) of the active substance | <i>Not applicable</i> |

| Evaluation by Competent Authorities | |
|--|--|
| | EVALUATION BY RAPPORTEUR MEMBER STATE |
| Date | May 2005 |
| Materials and methods | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | [REDACTED] |

Section A2.10
Annex Point IIA2.10

**Exposure data in conformity with Annex VIIA to
Council Directive 92/32/EEC (OJ No L, 05.06.1992,
p. 1) amending Council Directive 67/548/EEC**

Subsection

Official
use only

X1

**2.10.1 Human exposure
towards active
substance**

2.10.1.1 Production

- i) Description of process
- ii) Workplace description
- iii) Inhalation exposure
- iv) Dermal exposure

2.10.1.2 Intended use(s)

1. Professional

Users

- i) Description of application process
- ii) Workplace description
- iii) Inhalation exposure
- iv) Dermal exposure

**2. Non-
professional Users
including the general
public**

- (i) via inhalational contact
- (ii) via skin contact
- (iii) via drinking water
- (iv) via food
- (v) indirect via environment

**2.10.2 Environmental
exposure towards**

Section A2.10**Annex Point IIA2.10****Exposure data in conformity with Annex VIIA to Council Directive 92/32/EEC (OJ No L, 05.06.1992, p. 1) amending Council Directive 67/548/EEC****active substance****2.10.2.1 Production**

- (i) Releases into water
- (ii) Releases into air
- (iii) Waste disposal

2.10.2.2 Intended use(s)

Affected compartment(s):

water

sediment

air

soil

Predicted concentration in the affected compartment(s)

water

sediment

air

soil

Evaluation by Competent Authorities**EVALUATION BY RAPPORTEUR MEMBER STATE****Date**

June 2005

Comments

[REDACTED]

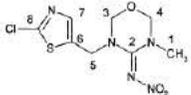
[REDACTED]

[REDACTED]

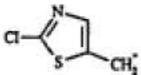
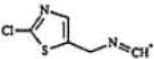
Section A3 Physical and Chemical Properties of Active Substance

| Subsection (Annex Point) | Method | Purity/ Specification | Results Give also data on test pressure, temperature, pH and concentration range if necessary | Remarks/ Justification | GLP (Y/N) | Reliability | Reference | Official use only |
|---|-------------------------|---|--|--|--------------|-------------|-------------------------|----------------------|
| 3.1 Melting point, boiling point, relative density | | | | | | | | |
| 3.1.1 Melting point | EEC A.1 OECD No.102 | 99.7 % | 139.1°C | Capillary method | Y | 1 | Das, 1995a | |
| 3.1.2 Boiling point | EEC A.2 OECD No.103 | 99.3 % | Thermal decomposition starts at about 147 °C (i.e. before the boiling point is reached) | Differential scanning calorimetry | Y | 1 | Das, 1997 | |
| 3.1.3 Bulk density/ relative density Density | EEC A.3 | 99.7 % | $1.57 \cdot 10^3 \text{ kg / m}^3$, therefore , relative density: 1.57 | Air comparison pycnometer method | Y | 1 | Füldner, 1995 | |
| 3.2 Vapour pressure | EEC A.4 OECD No. 104 | 99.7 % | temperature: 25 °C $6.6 \cdot 10^{-9} \text{ Pa}$ (extrapolated) | Gas saturation method | Y | 1 | Geoffroy, 1995 | |
| 3.2.1 Henry's Law Constant | | | calculated: $4.7 \cdot 10^{-10} \text{ Pa} \cdot \text{m}^3 / \text{mol}$ at 25°C | water solubility at 25 °C : 4100 g/m^3 vapour pressure at 25 °C: $6.6 \cdot 10^{-9} \text{ Pa}$ | | | Burkhard, 1996 | |
| 3.3 Appearance | | | | | | | | |
| 3.3.1 Physical state | visual test | pure a.i. (99.7 %) technical grade a.i (98.2 %) | fine crystalline powder fine powder | | Y Y | 1 1 | Das, 1995b Das, 1998 | |
| 3.3.2 Colour | visual test | pure a.i. (99.7 %) technical grade a.i (98.2 %) | slightly cream off-white | | Y Y | 1 1 | Das, 1995b Das, 1998 | |
| 3.3.3 Odour | organoleptic test | pure a.i. (99.7 %) technical grade a.i (98.2 %) | odourless odourless | | Y Y | 1 1 | Das, 1995b Das, 1998 | |
| 3.4 Absorption spectra | | | | | | | | |

Section A3 Physical and Chemical Properties of Active Substance

| Subsection (Annex Point) | Method | Purity/ Specification | Results Give also data on test pressure, temperature, pH and concentration range if necessary | Remarks/ Justification | GLP (Y/N) | Reliability | Reference | Official use only |
|-----------------------------|---|--------------------------|--|--|--------------|-------------|------------------------------|----------------------|
| UV/VIS | SOP 201/2 | 99.7 % | For the absorption maxima at 255 nm the molar extinction coefficient was determined to be 16800 l / mol · cm in neutral solution. No absorption maximum between 290 nm and 750 nm was observed. Only slightly variations on extinction coefficients were observed at different pH. | Concentration and solvent: 2.2 mg in 100 ml methanol Quartz cell : 10 mm pathlength Reference solvent methanol | Y | 1 | Birk, 1995 | |
| IR | SOP 202/2 | 99.7 % | Characteristic bands: 1598 cm ⁻¹ (NO ₂ stretch assym. And C=N- stretch sym.) 1265 cm ⁻¹ (NO ₂ stretch) | Sample preparation : KBr pellet (1 mg test substance in 300 mg KBr) | Y | 1 | Birk, 1995 | |
| NMR | ¹ H-RMN: SOP 214/1 ¹³ C-RMN: | 99.7 % 99.3 % | 7.54 (s, 1H); 5.02 (s, 2H); 4.94 (s, 2H); 4.74 (s, 2H); 2.82 (s, 3H)  Shift (ppm) Assignment 35 1 44 5 80 3, 4 | Operating temperature : room temperature Solvent : Acetone d ₆ Nucleus : ¹ H (300 MHz) I.S.: Acetone d ₆ Operating temp : 293 K Solvent : CDCl ₃ Nucleus : ¹³ C (75 MHz) I.S.: TMS | Y Y | 1 1 | Birk, 1995 Birk, 1998 | |

Section A3 Physical and Chemical Properties of Active Substance

| Subsection (Annex Point) | Method | Purity/ Specification | Results Give also data on test pressure, temperature, pH and concentration range if necessary | Remarks/ Justification | GLP (Y/N) | Reliability | Reference | Official use only |
|---|--|--------------------------|--|--|--------------|-------------|--------------|----------------------|
| | | | 134 6 141 7 154 8 157 2 | | | | | |
| MS | SOP 204/2 | 99.7 % | m / z 291 M+ (not detected) 247 M+ - CH ₂ OCH ₂ 245 M+ - NO ₂ 215 m/z 245 - CH ₂ O 209 m/z 245 - HCl 179 m/z 209 - CH ₂ O   159 132 | Type of analyzer : quadrupole Ionization mode : electron impact Detection : scan mode Ionizing energy : 70 eV | Y | 1 | Birk, 1995 | |
| 3.5 Solubility in water | <i>including effects of pH (5-9)</i> | | | | | | | |
| Water solubility | EEC A.6 OECD No. 105 | 99.7 % | result: 4100 mg/l temperature: 25 °C | Flask method | Y | 1 | Stulz, 1995a | |
| 3.6 Dissociation constant (-) | OECD 112 | 99.7 % | The test substance has no dissociation within the range pH 2 to pH 12 | | Y | 1 | Stulz, 1995b | |
| 3.7 Solubility in organic solvents, including the effect of temperature on | SOP 209/5 | 98.2 % | temperature: 25 °C n-hexane: < 1 mg/l toluene: 680 mg/l dichloromethane: 110 g/l | | Y | 1 | Stulz, 1998 | |

Section A3 Physical and Chemical Properties of Active Substance

| Subsection (Annex Point) | Method | Purity/ Specification | Results Give also data on test pressure, temperature, pH and concentration range if necessary | Remarks/ Justification | GLP (Y/N) | Reliability | Reference | Official use only |
|---|---|--------------------------|---|---------------------------|--------------|-------------|----------------------------------|----------------------|
| solubility | | | methanol: 13 g/l n-octanol: 620 mg/l acetone: 48 g/l ethyl acetate: 7 g/l | | | | | |
| 3.8 Stability in organic solvents used in b.p. and identity of relevant breakdown products | | | | | | | | X1 |
| 3.9 Partition coefficient n-octanol/water log Pow | <i>including effects of pH (5-9)</i> EEC A.8 OECD No. 107 | 99.7 % | result: -0.13 temperature: 25 °C pH: 6.84 | Shake-flask method | Y | 1 | Stulz, 1995c | |
| 3.10 Thermal stability, identity of relevant breakdown products | OECD No. 113 | 98.2 % | The sample shows neither without nor with air any peak between room temperature and melting point of the substance, resp. 150 °C. | | Y | 1 | Angly, 1998a | |
| 3.11 Flammability, including auto-flammability and identity of combustion products | EEC A.10 (Flammability of solids) EEC A.16 (Relative self-ignition temperature for solids) | 98.2 % 98.2 % | The substance is not considered highly flammable No self-ignition was observed | | Y Y | 1 1 | Angly, 1998b Angly, 1998c | |
| 3.12 Flash-point | Not required as the test substance is a solid with a melting point > 40 °C | | | | | | 1 | |

Section A3 Physical and Chemical Properties of Active Substance

| Subsection (Annex Point) | Method | Purity/ Specification | Results Give also data on test pressure, temperature, pH and concentration range if necessary | Remarks/ Justification | GLP (Y/N) | Reliability | Reference | Official use only |
|---|---|--------------------------|--|---------------------------|--------------|-------------|---------------|----------------------|
| 3.13 Surface tension | OECD No.115 | 98.2 % | result: 71.7 mN/m temperature: 20 °C | Wilhelmy plate method | Y | 1 | Hörmann, 1998 | |
| 3.14 Viscosity | Not required as the test substance is a solid | | | | | | | |
| 3.15 Explosive properties | EEC A.14 | 98.2 % | The substance is not considered an explosive, as concluded from test results on: Thermal sensitivity: effect of a flame Mechanical sensitivity: shock and friction | | Y | 1 | Angly, 1998d | |
| 3.16 Oxidizing properties | EEC A.17 | 98.2 % | The substance is not considered an oxidizing substance | | Y | 1 | Angly, 1998e | |
| 3.17 Reactivity towards container material | | | | | | | | X2 |

[Redacted text block]

| | |
|---------------------|---|
| Section A4.1 | Analytical Methods for Detection and Identification Active substance |
|---------------------|---|

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Comments | [REDACTED] |

1 REFERENCE

- 1.1 Reference**
- Dull, B (2003a)
Determination of content by HPLC
SA-1/1, 21.02.2003
not GLP, not published
Syngenta File N° CGA293343/1694
- Dull, B (2003b)
Validation of analytical method SA-1/1
110033, 24.03.2003
GLP, not published
Syngenta File N° CGA293343/1709
- 1.2 Data protection** Yes/
- 1.2.1 Data owner** Syngenta Crop Protection AG
- 1.2.2 Companies with letter of access**
- 1.2.3 Criteria for data protection** [REDACTED]

2 GUIDELINES AND QUALITY ASSURANCE

- 2.1 Guideline study**
- 2.2 GLP** Yes
- 2.3 Deviations** None

3 MATERIALS AND METHODS

Official
use only

| | | |
|--------------|--|---|
| 3.1 | Preliminary treatment | |
| 3.1.1 | Enrichment | The technical material is dissolved in 0.1% aqueous phosphoric acid/ acetonitrile (8+2) |
| 3.1.2 | Cleanup | No purification steps are necessary |
| 3.2 | Detection | |
| 3.2.1 | Separation method | HPLC chromatography on a Nucleodur C18 column using 0.1 % phosphoric acid in water / acetonitrile / methanol (80 / 5 / 15) as eluent with a linear gradient program |
| 3.2.2 | Detector | UV detector, 254 nm |
| 3.2.3 | Standard(s) | External standard. |
| 3.2.4 | Interfering substance(s) | There are no substances which would interfere with the detection of the analyte |
| 3.3 | Linearity | |
| 3.3.1 | Calibration range | 50-150% of weight of active substance |
| 3.3.2 | Number of measurements | 5 data points |
| 3.3.3 | Linearity | $r^2 = 0.9996$ |
| 3.4 | Specificity: interfering substances | The HPLC method is able to separate the active substance thiamethoxam from its by-products and the solvent |
| 3.5 | Recovery rates at different levels | 98.0 – 100.4 % Mean: 99.5% |
| 3.5.1 | Relative standard deviation | 1.3 % |
| 3.6 | Limit of determination | |
| 3.7 | Precision | |
| 3.7.1 | Repeatability | Relative standard deviation : 0.21% Mean value of repeatability study: 99.26 % |
| 3.7.2 | Independent laboratory validation | |

| | | | | |
|------------------------|--------------|--------------------------|--|--|
| 98/8 | Doc | IIIA | 4.2 / 01 | Analytical methods including recovery rates and the limits of determination for the active substance, and for residues thereof, and where relevant in/on the following: (a) |
| section No. | | &02 | | Soil |
| 91/414 | Annex | II | Analytical methods for determination of residues – residues in soil | |
| Point addressed | | 4.2.2 / 01 | | |
| | | & 03 & 05 | | |

| | |
|---|--|
| Title of the Study | Determination of CGA 293343 and CGA 322704 by HPLC, plant material, soil (including validation) |
| Dossier Reference: | 4.2.2 (4.2.1/05), 4.2.2 (4.2.1/03, validation) |
| Method Numbers: | REM 179.03 |
| Author: | P. Mair (analytical method), C. Giannone (validation) |
| Novartis file number: | 293343 – 206, 293343 – 514 (validation) |
| Name and address of the testing facility: | Ciba-Geigy Ltd, Basel, Switzerland |
| Test Substance: | CGA 293343 |
| Date of Issue: | May 5, 1998, July 21, 1998 (validation) |
| Compliance with GLP: | Yes [X] No, but complies with sound scientific principles [] |
| Reliability indicator | 1 |

Findings

Method: For quantification of thiamethoxam and CGA 322704 in soil (25 g, dry matter content), samples are extracted by shaking with water / methanol (10 ml, 1 + 1; vol. + vol.) for 1h at 260 r.p.m. An aliquot of the filtered extract is concentrated to 7 ml and diluted with water and passed through a phenyl solid-phase cartridge. The analyte is eluted from the phenyl cartridge with water / methanol (1+ 1; vol. + vol.). The volume of the eluate is reduced to 1.5 ml by evaporating under 3 ml reduced pressure. After diluting the concentrated eluate with water to 2.5 ml, this solution is injected into a HPLC two column switching system with UV-detector (Column 1: 125 mm x 2 mm Nucleosil C18 5 µm and Column 2: 125 mm x 2 mm Nucleosil 100 Phenyl 7µm, 255 nm or 270 nm for CGA 293343 and for CGA 322704 respectively. Mobile phase 1: water/methanol (85:15) and Mobile phase 2: water/acetonitrile (8:2).

Specificity: No interference was detected during method validation. A confirmatory method using HPLC/MS/MS is proposed.

Linearity: calibration curve is provided as part of method validation.

Accuracy: The accuracy of the method is established based on the findings for specificity, recovery and linearity. Recovery > 70 %. LOQ = 0.002 ppm. See Table 1.

Repeatability: cv % < 20 %. See Table 1.

Table 1

| Validation of Rem 179.03 | | | | | | |
|--------------------------|--------|-----------------------------|-------------------|----------|--------|---|
| Reference analyte | matrix | Fortification level [mg/kg] | Recovery rate [%] | | cv [%] | n |
| | | | mean | range | | |
| thiamethoxam | soil | 0.002 | 99 | 95 - 106 | 6 | 3 |
| | | 0.02 | 77 | 64 - 94 | 20 | 3 |
| CGA 322704 | soil | 0.002 | 101 | 94 - 106 | 6 | 3 |
| | | 0.02 | 78 | 66 - 95 | 19 | 3 |

Conclusions: LOQ of 0.002 mg a.i. / kg soil

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Materials and methods | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | [REDACTED] |

| | | | | |
|---------------|--------------|-------------|----------------------------|--|
| 98/8 | Doc | IIIA | 4.2 / 03 & 04 | Analytical methods including recovery rates and the limits of determination for the active substance, and for residues thereof, and where relevant in/on the following: (b) |
| 91/414 | Annex | II | 4.2.4 / 01 & 02 | Analytical methods for determination of residues – residues in air |

| | |
|---|---|
| Title of the Study | Determination of CGA 293343 by high performance liquid chromatography (including validation) |
| Dossier Reference: | 4.2.4/01, 4.2.4/02 (validation) |
| Method number: | REM 179.04 |
| Author: | R.Tribolet (analytical method), R. Tribolet (validation) |
| Novartis File No.: | 293343 – 343, 293343 – 344 (validation) |
| Name and address of the testing facility: | Novartis Crop Protection AG, Basel, Switzerland |
| Test substance: | CGA 293343 |
| Date of issue: | October 20, 1997, October 20, 1997 (validation) |
| Compliance with GLP: | Yes [X] No, but complies with sound scientific principles [] |
| Reliability indicator | 1 |

Findings

Method: Thiamethoxam is sorbed from air in XAD-2 sorbent tubes. Air sampled for 6h at a flow rate of 0.5 L/min. The different layers of an air sampling tube are separated and thiamethoxam is extracted with methanol (2 x 5 ml) using an ultra sonic bath (2 x 5 min). The methanol is evaporated and the residue is dissolved in 5 ml methanol / water (3 + 7; vol. + vol.). Quantitation of thiamethoxam is done by HPLC using UV detection (Column Spherisorb PC 18, 5 µm, UV 255 nm. Mobile phase: methanol water (3 +7; vol + vol)).

Specificity: No interferences were observed.

Linearity: : The accuracy of the method is established based on the findings for specificity, recovery and linearity. Validation curve provided as part of the method calibration.

Accuracy: Mean recovery 90 % at LOQ.

Repeatability: cv % = 3 at LOQ.

| Reference (analyte) | matrix | Fortification level [µg/m ³] | Recovery rate [%] | | cv [%] | n |
|---------------------|--------|--|-------------------|---------|--------|---|
| | | | mean | range | | |
| (thiamethoxam) | air | 0.5 | 90 | 84 - 93 | 3 | 8 |
| | | 20 | 87 | 83 - 89 | 2 | 8 |

Reproducibility: not tested since there is not clean-up step within the method.

Conclusions: LOQ = 0.5 µg/m³

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Materials and methods | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | [REDACTED] |

| | | | |
|-------------------------------|-----------------|-------------------------------------|--|
| 98/8 section No. | Doc IIIA | 4.2 / 05 & 06 & 07 | Analytical methods including recovery rates and the limits of determination for the active substance, and for residues thereof, and where relevant in/on the following: |
| 91/414 Point addressed | Annex II | 4.2.3 / 01 & 02 & 03 | Analytical methods for determination of residues – residues in water |

| | |
|---|---|
| Title of the Study | Determination of CGA 293343 and CGA 322704 by HPLC, potable water (including validation) |
| Dossier Reference: | 4.2.3/01, 4.2.3/02 (validation), 4.2.3/03 (validation surface water) |
| Method Number: Author: | REM 179.05 P. Mair (analytical method), P. Mair (validation), P. Mair (validation surface water) |
| Novartis file No.: | 293343 – 389, 293343 – 390 (validation), 293343 – 697 (validation surface water) |
| Name and address of the testing facility: | Novartis Crop Protection AG, Basel, Switzerland |
| Test substance: | CGA 293343 |
| Date of issue: | December 2, 1997 (analytical method) December 16, 1997 (validation) September 11, 1998 (validation surface water) |
| Compliance with GLP: | Yes [X] No, but complies with sound scientific principles [] |
| Reliability indicator | 1 |

Method: Samples of potable water (200 ml) are extracted by solid phase extraction on a Lichrolut EN solid-phase extraction cartridge. The disk is washed with water/ methanol (3 ml; 1 + 1; vol. + vol.). The analytes are eluted with acetonitrile-methanol (5 ml; 2 + 8; vol. + vol.). The volume of the eluate is reduced to less than 0.5 ml by evaporating under reduced pressure. The concentrated eluate is diluted with water (2 ml).

For surface water samples an additional cleanup step using a phenyl cartridge is necessary. The surface water 20 ml is passed through the cartridge and the eluate is discarded. The cartridge is mounted on top of the EN cartridge. The analytes are eluted with 3 ml of water / methanol (1 + 1; vol. + vol.) from the phenyl onto the EN cartridge. The eluate and the phenyl cartridge are discarded. Further cleanup is done as described above for the potable water samples excluding the wash step for the EN cartridge. Final quantitation of thiamethoxam and CGA 322704 is performed by HPLC using UV detection. (Column 125 mm x 2 mm Nucleosil C18-5µm. Mobile phase: water-acetonitrile (85 + 15; vol + vol) at 0.25 ml/min. In case of problems, it is possible to use the 2 system approach.

Specificity: No interferences are detected. Two confirmatory HPLC/MS/MS methods are provided.

Linearity: Validation curve provided as part of the method calibration.

Accuracy: The accuracy of the method is established based on the findings for specificity, recovery and linearity. Recovery > 90 %.

Repeatability: cv % < 20 %.

| Reference (analyte) | matrix | Fortification level [$\mu\text{g/L}$] | Recovery rate [%] | | cv [%] | n |
|------------------------------------|--------------------------------|--|-------------------|-----------|-----------|-----|
| | | | mean | Range | | |
| Mair, 1997b (IIA, 4.2.3/02) | | | | | | |
| (thiamethoxam) | water | 0.05 | 102 | 71 - 113 | 14 | 11* |
| | | 0.50 | 87 | 79 - 92 | 5 | 8 |
| (CGA 322704) | water | 0.05 | 94 | 86 - 105 | 7 | 11* |
| | | 0.50 | 90 | 82 - 95 | 5 | 8 |
| Mair, 1998 (IIA, 4.2.3/03) | | | | | | |
| (thiamethoxam) | surface water (River Rhein) | 0.5 | 109 | 100 - 114 | 5 | 8 |
| | | 5.0 | 95 | 87 - 105 | 7 | 8 |
| (CGA 322704) | surface water (River Rhein) | 0.5 | 95 | 85 - 102 | 7 | 8 |
| | | 5.0 | 96 | 90 - 103 | 5 | 8 |
| (thiamethoxam) | surface water (River Birs) | 0.5 | 84 | 78 - 92 | 8 | 8 |
| (CGA 322704) | surface water (River Birs) | 0.5 | 90 | 87 - 99 | 6 | 8 |

Conclusions: LOQ (drinking water) = 0.05 $\mu\text{g/L}$; LOQ (surface water) = 0.5 $\mu\text{g/L}$

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Materials and methods | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | [REDACTED] |

* including results of independent lab validation

| | | | |
|---------------------------|-------------|--------------------|---|
| 98/8 section No. | Doc IIIA | 4.2 / 08 & 09 | Analytical methods including recovery rates and the limits of determination for the active substance, and for residues thereof, and where relevant in/on the following: (d) Animal and human body fluids and tissues |
| 91/414 Point addressed | Annex II | 4.2.1 / 01 & 02 | Analytical methods for determination of residues – residues in and/or on plants, plant products, foodstuffs (of plant and animal origin), feeding stuffs |

| | |
|---|---|
| Title of the Study | Analytical method for the determination of residues of CGA 293343 and the metabolite CGA 322704 in animal and crop substrates by high performance liquid chromatography with detection by UV and mass spectrometry, including validation data (including independent laboratory validation) |
| Dossier Reference: | 4.2.1/01 , 4.2.1 /02 |
| Method Numbers: | AG-675 |
| Author: | [REDACTED] |
| Novartis file number: | 293343 – 820, 293343 – 847 (validation) |
| Name and address of the testing facility: | [REDACTED] |
| Test Substance: | CGA 293343 |
| Date of Issue: | September 18, 1998, November 11, 1998 (validation) |
| Compliance with GLP: | Yes [X] No, but complies with sound scientific principles [] |
| Reliability indicator | 1 |

Findings

Method: Ten-gram samples are extracted twice by homogenisation in acetonitrile / water (8 + 2, vol. + vol.). Liquid samples such as milk and eggs, are extracted by shaking for 20 minutes in acetonitrile / water (8 + 2, vol. + vol.). The total extract volume is 200 mL.

A 100 mL aliquot is measured (for milk the entire 200 mL is analysed). A liquid-liquid partition using toluene and hexane is performed prior to evaporation. The reduced, aqueous sample is first purified by reverse-phase solid-phase extraction (SPE) by loading onto a phenyl cartridge. After elution from the phenyl SPE cartridge with methanol / water (1 + 1; vol. + vol.), the sample is evaporated to aqueous and the compounds are partitioned into ethyl acetate. The ethyl acetate fraction is evaporated and the sample is further purified by normal phase SPE using both an amino cartridge and an alumina cartridge. After elution from the alumina column, the samples are evaporated and reconstituted in mobile phase for determination by normal phase HPLC/UV. The normal phase column is a Waters Spherisorb S5 NH₂ (250 mm x 4.6 mm I.D.), with a mobile phase of hexane:ethyl acetate: isopropanol:methanol (11 + 3 + 1 + 1; vol. + vol. + vol. + vol.).

Specificity: The method is specific and confirmation is possible by evaporating the final fraction, reconstituting the sample in CH₃CN :water and analysing using HPLC/MS or HPLC/MS/MS. No interferences were detected during the validation study, however in residue trials minor interferences were detected in broccoli and cabbage. Reanalysis with HPLC/MS solved the problem.

Linearity: Calibration plots gave a correlation coefficient > 0.99 (number calibration points = 6).

Accuracy: The accuracy of the method is established based on the findings for specificity, recovery and linearity.

Repeatability: See table below

| Reference (analyte) | Matrix | Fortification level [mg/kg] | Recovery rate [%] | | cv [%] | n |
|------------------------|------------------------|--------------------------------|-------------------|-----------|-----------|---|
| | | | | | | |
| (thiamethoxam) | fat (cow, omental) | 0.01 | -- | 80 / 86 | -- | 2 |
| | | 0.2 | -- | 83 | -- | 1 |
| | | 2.0 | -- | 86 / 79 | -- | 2 |
| (CGA 322704) | fat (cow, omental) | 0.01 | -- | 85 / 87 | -- | 2 |
| | | 0.2 | -- | 87 | -- | 1 |
| | | 2.0 | -- | 90 / 85 | -- | 2 |
| (thiamethoxam) | kidney (cow) | 0.01 | -- | 88 / 91 | -- | 2 |
| | | 0.1 | -- | 83 | -- | 1 |
| | | 1.0 | -- | 83 | -- | 1 |
| (CGA 322704) | kidney (cow) | 0.01 | -- | 90 / 94 | -- | 2 |
| | | 0.1 | -- | 87 | -- | 1 |
| | | 0.5 | -- | 90 / 85 | -- | 1 |
| (thiamethoxam) | liver (cow) | 0.01 | -- | 85 / 84 | -- | 2 |
| | | 0.1 | -- | 86 | -- | 1 |
| | | 0.5 | -- | 90 / 85 | -- | 2 |
| (CGA 322704) | liver (cow) | 0.01 | -- | 92 / 91 | -- | 2 |
| | | 0.1 | -- | 88 | -- | 1 |
| | | 0.5 | -- | 90 / 86 | -- | 2 |
| (thiamethoxam) | meat (goat muscle) | 0.01 | -- | 86 / 86 | -- | 2 |
| | | 1.0 | -- | 88 | -- | 1 |
| (CGA 322704) | meat (goat muscle) | 0.01 | -- | 88 / 88 | -- | 2 |
| | | 1.0 | -- | 89 | -- | 1 |
| (thiamethoxam) | Milk (goat) | 0.005 | -- | 113 / 104 | -- | 2 |
| | | 0.5 | -- | 88 | -- | 1 |
| (CGA 322704) | Milk (goat) | 0.005 | -- | 96 / 96 | -- | 2 |
| | | 0.5 | -- | 90 | -- | 1 |
| (thiamethoxam) | Eggs | 0.01 | -- | 92 | -- | 1 |
| | | 0.2 | -- | 81 | -- | 1 |
| | | 2.0 | -- | 83 / 84 | -- | 2 |
| (CGA 322704) | Eggs | 0.01 | -- | 95 | -- | 1 |
| | | 0.2 | -- | 85 | -- | 1 |
| | | 2.0 | -- | 88 / 89 | -- | 2 |
| (thiamethoxam) | fat (poultry) | 0.01 | -- | 98 / 85 | -- | 2 |
| | | 0.1 | -- | 90 | -- | 1 |
| | | 1.0 | -- | 83 / 86 | -- | 2 |
| (CGA 322704) | fat (poultry) | 0.01 | -- | 93 / 94 | -- | 2 |
| | | 0.1 | -- | 94 | -- | 1 |
| | | 1.0 | -- | 89 / 93 | -- | 2 |

| Reference (analyte) | Matrix | Fortification level [mg/kg] | Recovery rate [%] | | cv [%] | n |
|------------------------|--------|--------------------------------|-------------------|----------|-----------|----|
| (thiamethoxam) | milk | 0.005 | 98 | 71 - 122 | 12 | 22 |
| | | 0.05 | 91 | 83 - 96 | 84- 97 | 5 |
| | | 0.1 | 93 | 90 - 98 | 4 | 7 |
| | | 0.2 | 89 | 80 - 93 | 6 | 5 |
| | | 0.5 | 88 | 83 - 91 | 4 | 5 |

| Reference (analyte) | Matrix | Fortification level [mg/kg] | Recovery rate [%] | | cv [%] | n |
|------------------------|---------------|--------------------------------|-------------------|----------|-----------|-----|
| (CGA 322704) | milk | 0.005 | 96 | 72 -113 | 11 | 22 |
| | | 0.05 | 92 | 84 - 97 | 6 | 5 |
| | | 0.1 | 96 | 90 - 102 | 5 | 7 |
| | | 0.2 | 92 | 83 - 95 | 5 | 5 |
| | | 0.5 | 92 | 90 - 94 | 2 | 5 |
| (thiamethoxam) | kidney (cow) | 0.01 | 102 | 84 - 113 | 14 | 4 |
| | | 0.1 | -- | 91 | -- | 1 |
| | | 0.2 | -- | 81 | -- | 1 |
| (CGA 322704) | kidney (cow) | 0.01 | 96 | 84 - 106 | 15 | 4 |
| | | 0.1 | -- | 88 | -- | 1 |
| | | 0.2 | -- | 84 | -- | 1 |
| (thiamethoxam) | liver (cow) | 0.01 | 78 | 73 - 87 | 11 | 3 |
| | | 0.05 | -- | 78 | -- | 1 |
| | | 0.1 | -- | 87 | -- | 1 |
| | | 0.5 | -- | 77 | -- | 1 |
| (CGA 322704) | liver (cow) | 0.01 | 80 | 72 - 94 | 15 | 3 |
| | | 0.05 | -- | 71 | -- | 1 |
| | | 0.1 | -- | 89 | -- | 1 |
| | | 0.5 | -- | 80 | -- | 1 |
| (thiamethoxam) | omental fat / | 0.01 | -- | 77 / 85 | -- | 1/1 |

| Reference (analyte) | Matrix | Fortification level [mg/kg] | Recovery rate [%] | | cv [%] | n |
|--------------------------------|-----------------------------------|--------------------------------|-------------------|-----------|-----------|-----|
| (CGA 322704) | perinal fat(cow) | 0.1 | -- | 88 / -- | -- | 1/0 |
| | | 0.2 | -- | -- / 86 | -- | 0/1 |
| | omental fat / perinal fat(cow) | 0.01 | -- | 84 / 95 | -- | 1/1 |
| | | 0.1 | -- | 90 / -- | -- | 1/0 |
| (thiamethoxam) | round muscle | 0.2 | -- | -- / 90 | -- | 0/1 |
| | | 0.01 | 81 | 77 - 84 | 4 | 3 |
| | | 0.05 | -- | 96 | -- | 1 |
| | | 0.1 | -- | 87 | -- | 1 |
| (CGA 322704) | round muscle | 0.5 | -- | 79 | -- | 1 |
| | | 0.01 | 84 | 77 - 92 | 9 | 3 |
| | | 0.05 | -- | 95 | -- | 1 |
| | | 0.1 | -- | 90 | -- | 1 |
| (thiamethoxam) | tenderloin muscle | 0.5 | -- | 83 | -- | 1 |
| | | 0.01 | 76 | 69 - 93 | 16 | 3 |
| | | 0.05 | -- | 86 | -- | 1 |
| | | 0.1 | -- | 82 | -- | 1 |
| (CGA 322704) | tenderloin muscle | 0.2 | -- | 89 | -- | 1 |
| | | 0.01 | 75 | 67 - 96 | 19 | 3 |
| | | 0.05 | -- | 86 | -- | 1 |
| | | 0.1 | -- | 84 | -- | 1 |
| (thiamethoxam + CGA 322704) | beef liver | 0.2 | -- | 91 | -- | 1 |
| | | 0.01 | -- | 100 / 110 | -- | 1/1 |
| | eggs | 0.1 | -- | 87 / 88 | -- | 1/1 |
| | | 0.01 | -- | 90 / 90 | -- | 1/1 |
| milk | 0.1 | -- | 100 / 82 | -- | 1/1 | |
| | | 0.005 | -- | 100 / 100 | -- | 1/1 |
| | 0.02 | -- | 100 / 100 | -- | 1/1 | |

Note: at least one blank sample for each matrix and set of fortifications was performed.

Reproducibility of the method has been demonstrated for liver and milk by independent laboratory validation.

Conclusions: LOQ = 0.01 ppm for most matrices except for milk (LOQ = 0.005 ppm). This method allows the determination of thiamethoxam and its major metabolite CGA-322704. Also validation for the analysis of poultry metabolite CGA-265307 are included. Independent laboratory validation include whole milk.

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Materials and methods | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | [REDACTED] |

5.1. Function

Thiamethoxam is an insecticide.

5.2 Organism(s) to be controlled and products, organisms or objects to be protected

5.2.1. Organism(s) to be controlled

The efficacy data for thiamethoxam are summarized in Table 5.2.1 – 1. The studies have been summarized in Appendix 1 to this document.

Conclusion: Thiamethoxam is effective against wood pests as demonstrated for termites (*R. flavipis*, *R. hegani*, *R. santonensis*) and the house longhorn beetle (*H. bajulus*). The toxic threshold for termites is between 0.13 and 0.32 % (m/m) and 0.25 and 0.4 % (m/m) without and with leaching, respectively. The threshold for the house longhorn beetle is 0.025 % (m/m) after leaching. The data show that leaching may have an influence on the efficacy.

5.2.2. Products, objects or organisms to be protected

Thiamethoxam based formulations are designed to protect industrial and engineered wood (e.g. OSB, plywood, mill wood).

5.3. Effects on target organisms, and likely concentration at which the active substance will be used

5.3.1. Effects on target organisms

Thiamethoxam is an insecticide with protective and curative properties. Thiamethoxam is active against many insect classes including those of wood pests.

5.3.2. Likely concentrations at which the active substance will be used

Thiamethoxam will be manufactured as a 10% concentrate that is diluted to 1% for dipping industrial uses and small scale dipping professional uses; 0.15% for double vacuum industrial uses; 0.005% for pressure impregnation industrial uses and 0.04 % for non-professional applications (brushing and spraying).

5.4. Mode of action (including time delay)

5.4.1. Mode of action

There is evidence that thiamethoxam interacts with the receptor protein of nicotinic acetyl choline receptors in the nerve cell membrane.

5.4.2. Time delay

Although death can be delayed for up to 24 hours, the intoxicated insect irreversibly stops feeding and is thus comparable to knock-down substances.

Table 5.2.1 – 1 Summary of efficacy data for thiamethoxam

| Test Substance | Test organism | Test system | Test results | Reference |
|--------------------|---|--|---|------------------------|
| Thiamethoxam tech. | Termites: <i>Reticulitermes flavipis</i> <i>Reticulitermes hegani</i> | Laboratory tests with treated filter paper or wood blocks. 8 concentrations: 0.005 – 10.0 ppm 30 individuals and 3 replicates per treatment LC50 values calculated by POLO- | Filter paper test LC ₅₀ : 1.2 ppm; LC ₉₀ : 2.8 ppm (after 7 days) Wood block test LC ₅₀ : 6.64 ppm; LC ₉₀ : 14.24 ppm (after 7 days) | Hu, 2001 |
| Thiamethoxam tech. | Termites: <i>Reticulitermes santonensis</i> | EN 117, pine sapwood treated by dipping; test substance dissolved in acetone; 3 concentrations: 0.063 %, 0.025 %, 0.0063 % (m/m) | Toxic range: > 0.025 % < 0.063 % (m/m) equivalent to > 0.13 < 0.32 kg ? m ⁻³ | Rudolph & Pantos, 2001 |
| Thiamethoxam tech. | Termites: <i>Reticulitermes santonensis</i> | EN 117 + EN 84, pine sapwood treated by dipping; test substance dissolved in acetone concentrations: 0.025 %, 0.04 %, 0.063 %, 0.1 % and 0.25 % (m/m) Drying period 26 days. Aging and leaching for approx. 12 months | Toxic range after leaching: >0.25 < 0.40 (m/m) equivalent to > 1.3 < 1.9 kg ? m ⁻³ | Hertel & Santos, 2002 |
| Thiamethoxam tech. | House longhorn beetle: <i>Hylotrupes bajulus (L.)</i> | EN 46 + EN 84, pine sapwood treated by dipping; test substance dissolved in acetone concentrations: 0.1 %, 0.25 %, 0.4 %, 0.63 % and 1.00 % (m/m) Aging and leaching for approx. 12 months | Threshold value after leaching: 0.025 % (m/m) (120 g ? m ⁻³) | Hertel & Teuber, 2002 |

5.5. Field of use envisaged

Wood preservative use (PT 8)

5.6. User: industrial, professional, general public (non-professional)

Thiamethoxam containing products are used:

- for industrial wood preservation; the application techniques are double-vacuum process, pressure impregnation and dipping.
- for indoor (*in situ*) remedial wood preservation by professionals. These are mainly small scale dipping, spraying, brushing and injection techniques.
- for do-it-yourself *in situ* treatment of wood (non-professional); the application techniques are brushing and spraying, indoor.

5.7. Information on the occurrence or possible occurrence of the development of resistance and appropriate management

5.7.1. Development of resistance

Only a small portion of wood is treated with Thiamethoxam based products. Pests such as *H. bajulus* can find refuge in the forest and thus the selection pressure is low. Moreover, these wood insects have a life cycle of up to 5 years. Hence, the development of resistance to thiamethoxam is unlikely.

The probability of resistance in social insects like termites is very small because of the long development cycle and the wide range of chemicals with different mode of actions on the market.

5.7.2. Management strategies

In areas where the presence of tolerance strains is confirmed, alternate control methods are recommended (e.g. alternation or combination with other insecticides having a different mode of action).

5.8. Likely tonnage to be placed on the market per year

████████████████████

| | | |
|---|---|-------------------|
| Section A5.3.1 / 01 Annex Point/III-A5.3.1 | Effects on Target Organisms | |
| | 1 REFERENCE | Official use only |
| 1.1 Reference | X.P. Hu (2001), Initial tests on toxicity and residual effectiveness of [REDACTED] and [REDACTED], Department of Entomology, Auburn University, Auburn, AL, USA, November 28, 2001. | |
| 1.2 Data protection | Yes | |
| 1.2.1 Data owner | Janssen Pharmaceutica, N.V., Plant and Material Protection Division, Beerse, Belgium | |
| 1.2.3 Criteria for data protection | [REDACTED] | |
| | 2 GUIDELINES AND QUALITY ASSURANCE | |
| 2.1 Guideline study | No | |
| 2.2 GLP (only where required) | No | |
| 2.3 Deviations | No | |
| | 3 MATERIALS AND METHODS | |
| 3.1 Test material | | |
| 3.1.1 Lot/Batch number | [REDACTED] | |
| 3.1.2 Target pest | <i>Reticulitermes flavipes</i> and <i>Reticulitermes. hegani</i> . | |
| 3.3 Test Method | | |
| 3.3.1 Procedure | Response and toxicity test: Termites were exposed in the laboratory to filter paper or wood blocks treated with dilutions of test substances at 0.005, 0.05, 0.1, 0.5, 1.0, 5.0, 10.0 ppm. Termite mortality was observed at intervals of 1, 2, 7, 10, 15, 20, 25 days. Killing speed: Wood blocks were treated with 0.5x, 1x, 5x and 10x LC50. | |
| | 4 RESULTS | |
| | See below. | |
| | 5 APPLICANT'S SUMMARY AND CONCLUSION | |
| 5.1 Materials and methods | The response, toxicity and killing time was evaluated for [REDACTED] (Thiamethoxam) in filter paper and wood block laboratory assays. The filter papers and wood blocks were treated at concentrations of 0.005, 0.05, 0.1, 0.5, 1.0, 5.0, 10.0 ppm. | |
| 5.2 Results and discussion | No feeding deterrence occurred in termites exposed to concentrations up to 10 ppm. At day 7 the LC50 value was 1.17 and 6.64 ppm in the filter paper and wood block test, respectively. The values were lower at all other observation intervals (see Table 1). The killing speed test indicated that 4 days might be the minimum feeding period to kill about 50 % termites at 5x and 10x LC50. After 10 days the mortality went up to | |

| | | |
|---|------------------------------------|--|
| Section A5.3.1 / 01 Annex Point/III-A5.3.1 | Effects on Target Organisms | |
| | 100% at those concentrations. | |

Table 1 Toxicity test with [REDACTED]

| Day | LC50 (LC90) [ppm] | | | |
|--------------|-------------------|-------------|-------------|-------------|
| | 7 | 10 | 15 | 20 |
| Filter Paper | 1.17 (2.83) | 0.86 (1.57) | 0.59 (0.77) | 0.52 (0.57) |
| Wood Block | 6.64 (14.24) | 2.81 (7.27) | 0.89 (3.83) | - |

Table2 Killing Speed of [REDACTED]

| LC50 | % Mortality | | | |
|------|-------------|-------|-------|--------|
| | 1 | 2 Day | 4 Day | 10 Day |
| 0.5 | 0 | 0 | 0 | 82 |
| 1x | 0 | 0 | 5 | 93 |
| 5x | 0 | 0 | 40 | 100 |
| 10x | 0 | 2 | 42 | 100 |

| | | |
|---------------------------|--|--|
| 5.3 Conclusion | [REDACTED] (Thiamethoxam) has no deterrent effects. Lethal concentrations (LC50) to termites are 1.17 and 6.64 ppm in the filter paper and wood block test. At that concentration 93% of termites died within 10 days. | |
| 5.3.1 Reliability | 1 | |
| 5.3.2 Deficiencies | No | |

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Materials and Methods | [REDACTED] |
| Results and discussion | [REDACTED] |

| | |
|----------------------|------------|
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | [REDACTED] |

| Section A5.3.1 / 02 Annex Point/III-A5.3.1 | Effects on Target Organisms | |
|---|---|-------------------|
| | 1 REFERENCE | Official use only |
| 1.1 Reference | D. Rudolph and S. Pantos (2000), 5 th Test report under development agreement "Termiticide", Bundeanstalt für Materialforschung und -prüfung, Berlin, Germany, August 2, 2000. | |
| 1.2 Data protection | Yes | |
| 1.2.1 Data owner | Janssen Pharmaceutica, N.V., Plant and Material Protection Division, Beerse, Belgium | |
| 1.2.3 Criteria for data protection | [REDACTED] | |
| | 2 GUIDELINES AND QUALITY ASSURANCE | |
| 2.1 Guideline study | EN 117, 1990 | |
| 2.2 GLP (only where required) | No | |
| 2.3 Deviations | No | |
| | 3 MATERIALS AND METHODS | |
| 3.1 Test material | | |
| 3.1.1 Lot/Batch number | [REDACTED] | |
| 3.1.2 Target pest | <i>Reticulitermes santonensis</i> | |
| 3.3 Test Method | | |
| 3.3.1 Procedure | Wooden blocks (<i>Pinus sylvestris</i> L.) were treated at concentration of 0.063%, 0.025%, and 0.0063% (m/m) by dipping. The test substance was dissolved in acetone. Termites were exposed for 8 weeks | |
| | 4 RESULTS | |
| 3.3.1 Procedure | See below. | |
| | 5 APPLICANT'S SUMMARY AND CONCLUSION | |
| 5.1 Materials and methods | Tests were conducted according to EN 117. Wooden blocks were treated with [REDACTED] (thiamethoxam) in acetone at 0.063, 0.025, 0.0063% (m/m). | |
| 5.2 Results and discussion | The data are summarized in Table 1. | |

Table 1 Determination of efficacy threshold of [REDACTED] against *Reticulitermes santonensis* according to EN 117

| Concentration | Sample | Retention | | | Survivors | | | | |
|-------------------|--------|------------|-------------------|-------------------|-----------|---------|--------|--------|---|
| | | Solvent | Test substance | Mean | | | | | |
| | | per sample | per sample | value | worker | cohorts | Nymphs | Rating | |
| % | Number | g | kg/m ³ | kg/m ³ | n | % | n | n | |
| 0,063 | 1 | 9,54 | 0,32 | 0,32 | 1 | 0 | 0 | 0 | 0 |
| | 2 | 9,77 | 0,33 | | 85 | 34 | 2 | 1 | 1 |
| | 3 | 9,57 | 0,32 | | 92 | 37 | 0 | 1 | 0 |
| | 4 | 9,54 | 0,32 | | 8 | 3 | 0 | 1 | 0 |
| | 5 | 9,94 | 0,33 | | 110 | 44 | 1 | 3 | 1 |
| 0,025 | 6 | 9,91 | 0,13 | 0,13 | 93 | 37 | 0 | 7 | 2 |
| | 7 | 9,60 | 0,13 | | 53 | 21 | 2 | 0 | 0 |
| | 8 | 9,63 | 0,13 | | 79 | 32 | 1 | 0 | 0 |
| | 9 | 9,91 | 0,13 | | 99 | 40 | 2 | 0 | 0 |
| | 10 | 9,41 | 0,13 | | 41 | 16 | 1 | 1 | 2 |
| 0,0063 | 11 | 8,78 | 0,030 | 0,029 | 0 | 0 | | 0 | 2 |
| | 12 | 8,43 | 0,028 | | 121 | 48 | 1 | 0 | 2 |
| | 13 | 8,86 | 0,030 | | 0 | 0 | | 0 | 2 |
| | 14 | 8,69 | 0,029 | | 24 | 10 | 1 | 1 | 2 |
| | 15 | 8,73 | 0,029 | | 100 | 40 | 1 | 0 | 2 |
| acetone treated | 16 | 8,70 | 0 | 0 | 184 | 74 | 2 | 2 | 4 |
| | 17 | 8,89 | 0 | | 199 | 80 | 2 | 0 | 4 |
| | 18 | 8,43 | 0 | | 197 | 79 | 2 | 1 | 4 |
| | 19 | 8,26 | 0 | | 194 | 78 | 2 | 2 | 4 |
| | 20 | 8,43 | 0 | | 193 | 77 | 2 | 2 | 4 |
| untreated control | 21 | - | - | - | 193 | 77 | 2 | 1 | 4 |
| | 22 | - | - | | 169 | 68 | 2 | 0 | 4 |
| | 23 | - | - | | 166 | 66 | 1 | 0 | 4 |
| | 24 | - | - | | 162 | 65 | 1 | 0 | 4 |
| | 25 | - | - | | 166 | 66 | 2 | 0 | 4 |

Ratings: 0 = no attack, 1 = traces of nibbling, 2 = light attack, 3 = moderate attack, 4 = severe attack

| | | |
|---------------------------|--|--|
| 5.3 Conclusion | [REDACTED] (Thiamethoxam) shows efficacy against termites in the range of 0.13 and 0.32 kg/m ³ after 8 weeks. | |
| 5.3.1 Reliability | 1 | |
| 5.3.2 Deficiencies | No | |
| | | |

| Evaluation by Competent Authorities | |
|--|--|
| | EVALUATION BY RAPPORTEUR MEMBER STATE |
| Date | June 2005 |
| Materials and Methods | [REDACTED] |
| | [REDACTED] |
| Results and discussion | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | |

| Section A5.3.1 / 03 Annex Point/III-A5.3.1 | Effects on Target Organisms | |
|---|---|-------------------|
| | 1 REFERENCE | Official use only |
| 1.1 Reference | H. Hertel and S. Pantos (2002), Summarizing report of investigations according to DIN EN 117 and DIN EN 84 under the development contract "Termiticides"., Bundesanstalt für Materialforschung und -prüfung, Berlin, Germany, January 11, 2002. | |
| 1.2 Data protection | Yes | |
| 1.2.1 Data owner | Janssen Pharmaceutica, N.V., Plant and Material Protection Division, Beerse, Belgium | |
| 1.2.3 Criteria for data protection | [REDACTED] | |
| | 2 GUIDELINES AND QUALITY ASSURANCE | |
| 2.1 Guideline study | EN 117 & EN84 | |
| 2.2 GLP (only where required) | No | |
| 2.3 Deviations | No | |
| | 3 MATERIALS AND METHODS | |
| 3.1 Test material | | |
| 3.1.1 Lot/Batch number | [REDACTED] (thiamethoxam) | |
| 3.1.2 Target pest | <i>Reticulitermes santonensis</i> | |
| 3.3 Test Method | | |
| 3.3.1 Procedure | Pine sapwood (<i>Pinus sylvestris</i> L.) was treated at concentration of 0.10 %, 0.25 %, 0.4 %, 0.63 % and 1.00 % (m/m) by dipping. The test substance was dissolved in acetone. | |
| | 4 RESULTS | |
| | See below. | |
| | 5 APPLICANT'S SUMMARY AND CONCLUSION | |
| 5.1 Materials and methods | Tests were conducted according to EN 117. Wooden blocks were treated with [REDACTED] (thiamethoxam) in acetone at 0.063, 0.025, 0.0063% (m/m). | |
| 5.2 Results and discussion | The data are summarized in Table 1. | |

Table 1 Determination of toxic values " " against *Reticulitermes santonensis* in accordance with EN 117 after leaching in accordance with DIN EN 84

| Concentration % | Sample number | Retention | | | Survivors | | | | Rating |
|----------------------|------------------|------------------------|----------------------------|---------------------|-----------|----|----------|--------|--------|
| | | Solution | Test substance | | Workers | | Soldiers | Nymphs | |
| | | per wood block g | per wood block kgm-3 | Mean value kgm-3 | n | % | n | N | |
| 1,00 | 36A | 8,34 | 4,45 | 4,51 | 0 | 0 | 0 | 0 | 0 |
| | 37A | 8,48 | 4,52 | | 92 | 37 | 0 | 0 | 0 |
| | 38A | 8,26 | 4,41 | | 117 | 47 | 2 | 0 | 0 |
| | 39A | 8,33 | 4,44 | | 20 | 8 | 1 | 0 | 0 |
| | 40A | 8,84 | 4,71 | | 74 | 30 | 2 | 1 | 0 |
| 0,63 | 31A | 8,01 | 2,69 | 2,73 | 6 | 2 | 0 | 0 | 0 |
| | 32A | 8,13 | 2,73 | | 0 | 0 | 0 | 0 | 0 |
| | 33A | 7,85 | 2,64 | | 34 | 17 | 1 | 1 | 0 |
| | 34A | 8,07 | 2,71 | | 0 | 0 | 0 | 0 | 0 |
| | 35A | 8,62 | 2,90 | | 0 | 0 | 0 | 0 | 0 |
| 0,40 | 26A | 9,21 | 1,96 | 1,90 | 45 | 18 | 1 | 1 | 0 |
| | 27A | 9,14 | 1,95 | | 55 | 22 | 0 | 1 | 1 |
| | 28A | 9,16 | 1,95 | | 58 | 23 | 1 | 2 | 0 |
| | 29A | 9,15 | 1,95 | | 39 | 16 | 1 | 0 | 0 |
| | 30A | 7,82 | 1,67 | | 0 | 0 | 0 | 0 | 1 |
| 0,25 | 30b | 9,67 | 1,29 | 1,29 | 0 | 0 | 0 | 0 | 0 |
| | 30c | 9,67 | 1,29 | | 0 | 0 | 0 | 0 | 2 |
| | 31a | 9,65 | 1,29 | | 52 | 21 | 1 | 0 | 2 |
| | 31b | 9,65 | 1,29 | | 0 | 0 | 0 | 0 | 2 |
| | 31c | 9,65 | 1,29 | | 0 | 0 | 0 | 0 | 0 |
| 0,10 | 3578 | 8,09 | 0,43 | 0,44 | 0 | 0 | 0 | 0 | 2 |
| | 8387 | 6,83 | 0,36 | | 0 | 0 | 0 | 0 | 1 |
| | 4842 | 9,18 | 0,49 | | 0 | 0 | 0 | 0 | 2 |
| | 4837 | 8,70 | 0,46 | | 0 | 0 | 0 | 0 | 2 |
| | 4615 | 8,66 | 0,46 | | 0 | 0 | 0 | 0 | 0 |
| Acetone Treatment | 41A | 8,11 | - | - | 0 | 0 | 0 | 0 | 3 |
| | 42A | 8,19 | - | | 0 | 0 | 0 | 0 | 4 |
| | 43A | 8,15 | - | | 45 | 18 | 2 | 1 | 3 |
| | 44A | 8,17 | - | | 39 | 16 | 0 | 2 | 3 |
| | 45A | 8,55 | - | | 110 | 44 | 1 | J | 3 |
| Untreated control | 21A | - | - | - | 170 | 68 | 2 | 0 | 4 |
| | 22A | - | - | | 187 | 75 | 1 | 0 | 4 |
| | 23A | - | - | | 167 | 67 | 1 | 0 | 4 |
| | 24A | - | - | | 156 | 62 | 1 | 0 | 4 |
| | 25A | - | - | | 0 | 0 | 0 | 0 | 4 |

Rating: 0 = no attack; 1 = traces of gnawing; 2 = slight attack; 3 = moderate attack; 4 = severe attack

| | | |
|---------------------------|--|--|
| 5.3 Conclusion | After leaching, the toxic concentrations of [REDACTED] (Thiamethoxam) against termites were in the range of 1.29 and 1.90 kg/m ³ equivalent to 0.25 and 0.40 % (m/m). | |
| 5.3.1 Reliability | 1 | |
| 5.3.2 Deficiencies | No | |

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Materials and Methods | [REDACTED] |
| Results and discussion | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | |

Table 1 Efficacy of [REDACTED] against freshly hatched larvae of the house longhorn beetle after leaching

| Concentration (%) mass | Test period weeks | Number of recovered larvae | | | Not recovered |
|--------------------------------------|----------------------|----------------------------|--------------------|-----------------------|------------------|
| | | Mortality after | | Survivors after | |
| | | No boring activity | boring activity | No boring activity | |
| 0.25 | 4 | 7 | 3 | 0 | . |
| | | 9 ¹ | 1 | 0 | - |
| | | 10 ¹ | 0 | 0 | - |
| | | 8 ¹ | 1 | 0 | 1 |
| | | 7 ¹ | 3 | 0 | - |
| | | 9 ¹ | 1 | 0 | - |
| 0.1 | 4 | 7 ¹ | 3 | 0 | - |
| | | 9 ¹ | 1 | 0 | . |
| | | 9 ¹ | 1 | 0 | - |
| | | 9 ¹ | 1 | 0 | - |
| | | 9 ¹ | 1 | 0 | - |
| | | 6 ¹ | 4 | 0 | - |
| 0.063 | 4 | 7 ¹ | 3 | 0 | - |
| | | 9 ¹ | 1 | 0 | - |
| | | 7 ¹ | 3 | 0 | - |
| | | 8 ¹ | 2 | 0 | . |
| | | 10 ¹ | 0 | 0 | - |
| | | 41 | 5 | 0 | 1 |
| 0.04 | 4 | 5 | 5 | 0 | - |
| | | 6 ¹ | 4 | 0 | - |
| | | 8 | 2 | 0 | - |
| | | 10 | 0 | 0 | - |
| | | 8 ¹ | 2 | 0 | - |
| | | 6 | 1 | 0 | 3 |
| 0.025 | 4 | 6 | 3 | 0 | 1 |
| | | 5 | 5 | 0 | - |
| | | 7 | 3 | 0 | - |
| | | 4 ¹ | 6 | 0 | - |
| | | 7 | 3 | 0 | - |
| | | 9 ¹ | 1 | 0 | - |
| Solvent control after leaching | 4 | 0 | 2 | 7 | 1 |
| | | 0 | 1 | 9 | - |
| | | 0 | 0 | 9 | 1 |
| Untreated control | 4 | 0 | 2 | 8 | - |
| | | 0 | 1 | 9 | - |
| | | 0 | 0 | 10 | - |

¹ Some larvae had started gnawing, but were not able to bore into the wood

| | | |
|---------------------------|--|--|
| 5.3 Conclusion | The toxic threshold of preventive protection of [REDACTED] (thiamethoxam) after leaching was below 0.025 % (m/m) at an application of 120 g/m ³ . | |
| 5.3.1 Reliability | 1 | |
| 5.3.2 Deficiencies | No | |

| Evaluation by Competent Authorities | |
|--|------------|
| EVALUATION BY RAPPORTEUR MEMBER STATE | |
| Date | June 2005 |
| Materials and Methods | [REDACTED] |
| Results and discussion | [REDACTED] |
| Conclusion | [REDACTED] |
| Reliability | [REDACTED] |
| Acceptability | [REDACTED] |
| Remarks | |