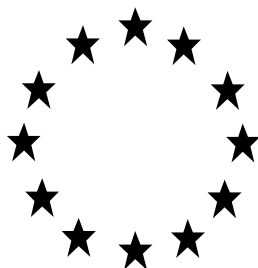


# **Directive 98/8/EC concerning the placing biocidal products on the market**

*Inclusion of active substances in Annex I or IA to Directive 98/8/EC*

## Assessment Report



## Disodium tetraborate Product-type 8 (Wood preservative)

20 February 2009

Annex I – the Netherlands

**Disodium tetraborate (PT 8)****Assessment report**

**Finalised in the Standing Committee on Biocidal Products at its meeting on 20 February 2009 in view of its inclusion in Annex I to Directive 98/8/EC**

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## 1. STATEMENT OF SUBJECT MATTER AND PURPOSE

### 1.1. Procedure followed

This assessment report has been established as a result of the evaluation of disodium tetraborate as product-type 8 (wood preservative), carried out in the context of the work programme for the review of existing active substances provided for in Article 16(2) of Directive 98/8/EC concerning the placing of biocidal products on the market<sup>1</sup>, with a view to the possible inclusion of this substance into Annex I or IA to the Directive.

Disodium tetraborate (CAS no. 1330-43-4, 12267-73-1 and 1303-96-4) was notified as an existing active substance, by Rio Tinto Minerals and Etimine s.a. in a joined notification, hereafter referred to as the applicant, in product-type 8.

Commission Regulation (EC) No 1451/2007 of 4 December 2007<sup>2</sup> lays down the detailed rules for the evaluation of dossiers and for the decision-making process in order to include or not an existing active substance into Annex I or IA to the Directive.

In accordance with the provisions of Article 7(1) of that Regulation, the Netherlands was designated as Rapporteur Member State to carry out the assessment on the basis of the dossier submitted by the applicant. The deadline for submission of a complete dossier for disodium tetraborate as an active substance in Product Type 8 was 28 March 2004, in accordance with Annex V of Regulation (EC) No 2032/2003.

On 27 March 2004, the Netherlands competent authorities received a dossier from the applicant. The Rapporteur Member State accepted the dossier as complete for the purpose of the evaluation on 24 September 2004.

On 7 July 2006, the Rapporteur Member State submitted, in accordance with the provisions of Article 14(4) and (6) of Regulation (EC) No 1451/2007, to the Commission and the applicant a copy of the evaluation report, hereafter referred to as the competent authority report. The Commission made the report available to all Member States by electronic means on 25 July 2006. The competent authority report included a recommendation for the inclusion of disodium tetraborate in Annex I to the Directive for product-type 8.

In accordance with Article 16 of Regulation (EC) No 1451/2007, the Commission made the competent authority report publicly available by electronic means on 17 October 2006. This report did not include such information that was to be treated as confidential in accordance with Article 19 of Directive 98/8/EC.

In order to review the competent authority report and the comments received on it, consultations of technical experts from all Member States (peer review) were organised by the Commission. Revisions agreed upon were presented at technical and competent authority

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1 Directive 98/8/EC of the European Parliament and of the Council of 16 February 1998 concerning the placing of biocidal products on the market. OJ L 123, 24.4.98, p.1

2 Commission Regulation (EC) No 1451/2007 of 4 December 2007 on the second phase of the 10-year work programme referred to in Article 16(2) of Directive 98/8/EC of the European Parliament and of the Council concerning the placing of biocidal products on the market. OJ L 325, 11.12.2007, p. 3

meetings and the competent authority report was amended accordingly.

On the basis of the final competent authority report, the Commission proposed the inclusion of disodium tetraborate in Annex I to Directive 98/8/EC and consulted the Standing Committee on Biocidal Product on 20 February 2009.

In accordance with Article 15(4) of Regulation (EC) No 1451/2007, the present assessment report contains the conclusions of the Standing Committee on Biocidal Products, as finalised during its meeting held on 20 February 2009.

### **1.2. Purpose of the assessment report**

This assessment report has been developed and finalised in support of the decision to include disodium tetraborate in Annex I to Directive 98/8/EC for product-type 8. The aim of the assessment report is to facilitate the authorisation in Member States of individual biocidal products in product-type 8 that contain disodium tetraborate. In their evaluation, Member States shall apply the provisions of Directive 98/8/EC, in particular the provisions of Article 5 as well as the common principles laid down in Annex VI.

For the implementation of the common principles of Annex VI, the content and conclusions of this assessment report, which is available at the Commission website<sup>3</sup>, shall be taken into account.

However, where conclusions of this assessment report are based on data protected under the provisions of Directive 98/8/EC, such conclusions may not be used to the benefit of another applicant, unless access to these data has been granted.

### **1.3. Overall conclusion in the context of Directive 98/8/EC**

The overall conclusion from the evaluation is that it may be expected that there are products containing disodium tetraborate for the product-type 8, which will fulfil the requirements laid down in Article 5 of Directive 98/8/EC. This conclusion is however subject to:

- i. compliance with the particular requirements in the following sections of this assessment report,
- ii. the implementation of the provisions of Article 5(1) of Directive 98/8/EC, and
- iii. the common principles laid down in Annex VI to Directive 98/8/EC.

Furthermore, these conclusions were reached within the framework of the uses that were proposed and supported by the applicant (see [Appendix II](#)). Extension of the use pattern beyond those described will require an evaluation at product authorisation level in order to establish whether the proposed extensions of use will satisfy the requirements of Article 5(1) and of the common principles laid down in Annex VI to Directive 98/8/EC.

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<sup>3</sup> <http://ec.europa.eu/comm/environment/biocides/index.htm>

## 2. OVERALL SUMMARY AND CONCLUSIONS

### 2.1. Presentation of the Active Substance

#### 2.1.1. Identity, Physico-Chemical Properties & Methods of Analysis

##### *Identity of the active substance*

Disodium tetrahydrate is notified in 3 manifestations:

- Disodium tetraborate anhydrous
- Disodium tetraborate pentahydrate
- Disodium tetraborate decahydrate

The CAS no. of disodium tetraborate anhydrous used in this CA-report is 1330-43-4

The CAS no. of disodium tetraborate pentahydrate used in this CA-report is 12267-73-1

The CAS no. of disodium tetraborate decahydrate used in this CA-report is 1303-96-4

The EC no. of each of the disodium tetraborates used in this CA-report is 215-540-4

The minimum purity data presented by the notifier do not comply with impurity data and tests with the active substance. Based on impurity data and tests with the active substance, the minimum purity specification proposed by the RMS is 99.0% (w/w) for both manufacturers and for all hydration forms (expressed as  $\text{Na}_2\text{B}_4\text{O}_7 \cdot x\text{H}_2\text{O}$ , where  $x = 0, 5$  or  $10$ ).

There are no (eco)toxicologically relevant impurities.

##### *Physical and chemical properties of the active substance*

Disodium tetraborates (anhydrous, pentahydrate, decahydrate) are white, odourless solids. No melting point can be defined for disodium tetraborate pentahydrate and decahydrate because of decomposition of the active substance. When disodium tetraborate decahydrate is heated, it gradually loses water of crystallisation, first forming the pentahydrate, and on further heating forms disodium tetraborate anhydrous.

The water solubility, dissociation constant, partition coefficient, and surface tension for disodium tetraborates as such cannot be determined because disodium tetraborates are converted into boric acid/borate upon dissolution in water:

anhydrous:  $\text{Na}_2\text{B}_4\text{O}_7 + 7 \text{H}_2\text{O} = 2 \text{NaB}(\text{OH})_4 + 2 \text{B}(\text{OH})_3$ .

pentahydrate:  $\text{Na}_2\text{B}_4\text{O}_7 \cdot 5\text{H}_2\text{O} + 2 \text{H}_2\text{O} = 2 \text{NaB}(\text{OH})_4 + 2 \text{B}(\text{OH})_3$ .

decahydrate:  $\text{Na}_2\text{B}_4\text{O}_7 \cdot 10\text{H}_2\text{O} = 2\text{NaB}(\text{OH})_4 + 2\text{B}(\text{OH})_3 + 3\text{H}_2\text{O}$ .

The water solubility, dissociation constant, partition coefficient, and surface tension found will be the ones for an equivalent amount of boric acid in the presence of sodium ions.

Boric acid is a Lewis acid (hydroxide ion acceptor) rather than a Brønsted acid (proton donator). For this purpose the formula for boric acid is best written as  $\text{B}(\text{OH})_3$ .

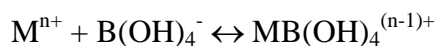
At low boron concentrations ( $\text{B} \leq 0.025 \text{ M}$ ) the following equilibrium is found:

$\text{B}(\text{OH})_3 + 2\text{H}_2\text{O} \leftrightarrow \text{B}(\text{OH})_4^- + \text{H}_3\text{O}^+$  with a dissociation constant  $\text{pK}_a = 9.0$  at  $25^\circ\text{C}$

In dilute aqueous solutions ( $\text{B} \leq 0.025 \text{ M}$ ) boric acid exists as undissociated boric acid  $\text{B}(\text{OH})_3$  at  $\text{pH} < 7$ , at  $\text{pH} > 11$  the metaborate ion  $\text{B}(\text{OH})_4^-$  becomes the main species in solution. At in between values ( $\text{pH} 7\text{-}11$ ) both species are present.

At higher boron concentrations ( $B > 0.025$  M) an equilibrium is formed between  $B(OH)_3$ , polynuclear complexes of  $B_3O_3(OH)_4^-$ ,  $B_4O_5(OH)_4^{2-}$ ,  $B_3O_3(OH)_5^{2-}$ ,  $B_5O_6(OH)_4^-$  and  $B(OH)_4^-$ . In short:  $B(OH)_3 \leftrightarrow$  polynuclear anions  $\leftrightarrow B(OH)_4^-$ . In acid solution at  $pH < 5$ , boron is mainly present as  $B(OH)_3$  and in alkaline solution at  $pH > 12.5$ , boron is mainly present as  $B(OH)_4^-$ . At in between values ( $pH$  5-12) polynuclear anions are found as well as  $B(OH)_3$  and  $B(OH)_4^-$ .

In the presence of metal ions (e.g. Na, Mg, Ca) ion-pair complexes are formed, which further reduce the undissociated boric acid concentration:



These ion pair complexes are expected to be present in solutions of disodium tetraborates, disodium octaborate and buffered solutions of boric acid and boric oxide.

The melting point for disodium tetraborate anhydrous is  $737^\circ\text{C}$ . A boiling point is not applicable for all disodium tetraborates. Relative density is 2.354 at  $26^\circ\text{C}$  for anhydrous form, 1.860 at  $22^\circ\text{C}$  for pentahydrate and 1.74 at  $23^\circ\text{C}$  for decahydrate. Vapour pressure and Henry's law constant are not applicable, because the melting point lies above  $300^\circ\text{C}$  and at ambient temperature vapour pressure is expected to be less than  $10^{-5}$  Pa. No reliable data are available for flammability of the active substance. Flash point, surface tension and viscosity are not applicable. The active substance has no explosive and no oxidising properties.

#### *Analysis of the active substance as manufactured*

There are no methods to determine the active substance as manufactured or in the formulation. Instead, analytical methods are developed to determine the  $B_2O_3$  and  $Na_2O$  content in boron based compounds.

#### Soil

Total boron can be extracted from soil by hot acid extraction with  $HNO_3$  and  $HCl$  under reflux or by microwave digestion with  $HNO_3$  and  $HF$ , with addition of  $HCl$  and  $H_2O_2$  when needed. Several extraction methods are used to estimate the bioavailable boron fraction, of which the hot water soluble extraction is the most widely used.

#### Water

Boron can be detected in aqueous solutions by spectrophotometry after reaction with azomethine-H, or by ICP-AES.

#### Air

No data submitted. No data necessary.

Summary information on the identity and physico-chemical properties and analytical methods of disodium tetraborate can be found in Appendix I to this document (List of Endpoints).

### **2.1.2. Intended Uses and Efficacy**

#### *Product type and field of use envisaged*

Main group 2: preservatives

Product type 8: wood preservative

Disodium tetraborate is intended to be used as a preventive and curative wood preservative for wood and construction timbers in Use Classes 1, 2, 3 and 4a according to CEN 335-1 standard.

#### *User*

Industrial, Professional, Non-professional

*Function*

Fungicide and/or insecticide

*Application*

Products are applied by vacuum pressure, dipping, injection, spraying/deluge or brushing.

The assessment of the biocidal activity of the active substance demonstrates that it has a sufficient level of efficacy against the target organism(s) and the evaluation of the summary data provided in support of the efficacy of the accompanying product, establishes that the product may be expected to be efficacious.

In addition, in order to facilitate the work of Member States in granting or reviewing authorisations, and to apply adequately the provisions of Article 5(1) of Directive 98/8/EC and the common principles laid down in Annex VI of that Directive, the intended uses of the substance, as identified during the evaluation process, are listed in [Appendix II](#).

**2.1.3. Classification and Labelling**

It is proposed to classify borates with reproduction category 2 and assign risk phrases R60-61. This is in line with the proposal of the EU commission working group of specialised experts in the field of reproduction toxicology (2004). Disodium tetraborates are irritating to the eye (R36).

Proposed classification for the active substance disodium tetraborate

|                 |               |
|-----------------|---------------|
| Class of danger | T             |
| R phrases       | R36<br>R60-61 |

**2.2. Summary of the Risk Assessment**

**2.2.1. Human Health Risk Assessment**

The toxicokinetics and toxicological effects of boric acid, disodium tetraborate, boric oxide (B<sub>2</sub>O<sub>3</sub>) and disodium octaborate tetrahydrate are likely to be similar on a boron equivalents basis. Therefore, the data obtained from studies with different borates can be read across in the human health assessment for each individual substance.

2.2.1.1. Hazard assessment

Toxicokinetics

Absorption of borates via the oral route is nearly 100%. For the respiratory route also 100% absorption is assumed. Dermal absorption through intact skin is very low. For risk assessment of borates a dermal absorption of 0.5% is used as a reasonable worst case approach. In the blood boric acid is the main species present. Boric acid is not further metabolised. Boric acid is distributed rapidly and evenly through the body, with concentrations in bone 2-3 higher than in other tissues. Boron is excreted relatively rapidly with elimination half-lives of 1h in



the mouse, 3h in the rat and 21h in humans, and has low potential for accumulation. Boric acid is mainly excreted in the urine.

#### Acute toxicity

Disodium tetraborate and other borates are of low acute toxicity: LD<sub>50</sub> oral rat > 2000 mg/kg; LD<sub>50</sub> dermal rat > 2000 mg/kg; LC<sub>50</sub> inhalation rat > 2 mg/l (highest attainable concentration).

#### Irritation and Corrosivity

Disodium tetraborate is not a skin irritant. Disodium tetraborate is an **eye irritant**.

#### Sensitization

No signs of skin sensitization were observed in Buehler method skin sensitization tests with Disodium tetraborate or other borates and no evidence of skin sensitization in humans exposed occupationally to borates has been reported. Disodium tetraborate needs not to be classified as a skin sensitizer.

#### Repeated dose toxicity

In the repeated dose studies with mouse and rat (and dog although studies are not acceptable), consistently effects on the testes and on blood parameters were found. In the 90 days study in the mouse and the 2 year study in the rat the animals appeared to be more sensitive to the effects on the haematopoietic system than on the testes. Similar results were obtained from studies with disodium tetraborates. The 2 years feeding study with boric acid in rats yielded an overall NOAEL (100 mg/kg bw, equal to 17.5 mg B/kg bw/day), based on reduction bodyweight, clinical sign of toxicity, in males testicular atrophy and reductions in red cell volume and Hb at a dose of 334 mg/kg bw/day (58.5 mgB/kg bw/day). This finding is supported by the study with disodium tetraborate decahydrate.

#### Genotoxicity

Disodium tetraborate has no genotoxic potential.

#### Carcinogenicity

In carcinogenicity studies in mice and rats no evidence of a carcinogenic effects of boric acid or sodium tetraborate decahydrate was observed. It is concluded that disodium tetraborate is unlikely to pose a carcinogenic risk.

#### Reproductive toxicity

##### Teratogenicity

The most sensitive species for developmental effects appears to be the rat. The NOAEL for embryotoxic/teratogenic effects of boric acid was 55 mg/kg bw/day (9.6 mg B/kg bw/day), based on a reduction in mean foetal body weight/litter and an increased incidence in short rib X111, which is considered a variation, at 76 mg/kg bw/day (13.3 mg B/kg bw/day). The relevance of the observed developmental effects for humans is not clear.

##### Fertility

In a multigeneration reproduction toxicity study in the rat with boric acid severely impaired reproductive potency was observed at 336 mg/kg bw/day. At this dose also marked reductions (70%) in relative testes weights were observed. At lower doses no reproductive effects or effects on testes weight were observed. These findings suggest that a reduction in testes weight will result in an impaired fertility. Since this study was seriously flawed, no definitive conclusions on the effects of boron on fertility in the rat can be drawn. Other repeated dose studies in several animal species have consistently demonstrated that the testis is a primary target organ for boron. Based on the data from the 2 years feeding study with boric acid in

rats, the overall NOAEL for fertility is therefore 100 mg/kg bw/day, equal to 17.5 mg B/kg bw/day. This conclusion is supported by the study with disodium tetraborate decahydrate.

#### Neurotoxicity

No neurotoxic studies were available for the present evaluation. CNS depression was observed in poisoning cases in humans. Apart from the CNS effects that occur at these very high doses there are no indications that boric acid or other borates have neurotoxic properties. No neurotoxicity studies are required.

#### Human data

It has been suggested that the potential lethal oral dose of boric acid is 3-6g in children and 15-20 g for adults. However, lethal doses are not well documented. Acute effects may include nausea, vomiting, gastric discomfort, skin flushing, excitation, convulsions, depression and vascular collapse. Multiple exposure (high levels > 1g) results in various symptoms which may appear singly or together and include dermatitis, alopecia, loss of appetite, nausea, vomiting, diarrhoea, and focal or generalised central nervous system irritation or convulsions. Infants aged from 6 to 16 weeks who ingested sodium tetraborate at doses of about 0.98 g boric acid/day for periods of 4 to 12 weeks showed convulsions, generalised seizures and focal seizures.

Workers exposed occupationally to borax dust at concentrations of about 4 mg/m<sup>3</sup> reported eye irritation, dry mouth, nose or throat, sore throat, acute and chronic respiratory irritation and productive cough.

#### Effects on human reproduction

In human epidemiological cohort study in Turkey no effects on reproduction were observed in populations exposed to high levels of boron through drinking water. However, this conclusion was based on the number of children born over a period of 15 years. Other endpoints such as time to pregnancy and number of miscarriages were not included. In a study in the USA, the fertility of male workers of a borax mine was studied. The study revealed that the workers exposed to low (<0.82 mg/m<sup>3</sup>) or high (>5.05 mg/m<sup>3</sup>) levels of boron in dust fathered more live births than was estimated on the basis of the data of the US general population. The extent to which the workers are comparable to the US general population however was not clear. Also in this study other endpoints such as time to pregnancy were not established.

### 2.2.1.2. Critical endpoints and assessment factors

#### Overall NOAEL

The toxicological data base reveals that the major targets for toxicity of borates are the testes and the blood. In the repeated dose studies with mouse, rat and dog, consistently effects on the testes and on blood parameters were found. In a 90 days study in the mouse the animals appeared to be more sensitive to the effects on the haematopoietic system than on the testes. In the rat effects on both the testes and on the blood were observed at dose levels of 334 mg boric acid/kg bw/day. The NOAEL in this study was 100 mg/kg bw/day (17.5 mg B/kg bw/day). Similar results were obtained from studies with disodium tetraborate decahydrate at equimolar doses of boron. Based on the NOAEL for embryotoxic/teratogenic effects of boric acid of 55 mg/kg bw/day (9.6 mg B/kg bw/day) the overall NOAEL is 9.6 mg B/kg bw/day.

#### Total assessment factor

The available information on kinetics and dynamics does not allow refinement of the standard assessment factors (10 for interspecies variation and 10 for intraspecies variation).

There are indications that the sensitivity for the effects on the testes does not differ markedly between subchronic and chronic exposure. Therefore the application of an additional assessment factor for extrapolation from subchronic to chronic exposure is not required.

ADI (acceptable daily intake) and ARfD (acute reference dose).

The borates will be used as wood preservatives. The borates are not used on wood products that are used for food preparation or feeding stuff. Furthermore finished wood products containing borates and manufactured for structural and building material are not appropriate to be used and would not be used to make products that would come in to contact with food or feeding stuff. Therefore the derivation of an ADI and ARfD is considered not necessary.

Drinking water limit

Based on the overall NOAEL (9.6 mg boron/kg bw/day), which was found in the developmental study in the rat and the overall assessment factor of 100, a tolerable daily intake (TDI) of  $9.6/100 = 0.096$  mg/kg bw/day can be established. If 10% of the TDI is allocated to boron in drinking water, and assuming that an adult weighs 60 kg and consumes 2 litre drinking water per day, a drinking water guideline value 0.29 mg/L can be derived.

The WHO established in 1998 a drinking water guideline value of 0.5 mg/L, based on an overall NOAEL of 9.6 mg boron/kg bw per day from a developmental toxicity study in the rat, and a total assessment factor of 60. Drinking water on average contains 0.1-0.3 mg boron per litre, while in some regions much higher concentrations have been measured (EHC 204, 1998). Boron in the soil and aquatic environment is predominantly originating from natural weathering of rock and sea water evaporation (EHC 204, 1998). Accordingly, human exposure to boron will probably not have changed significantly over time.

It is clear that a drinking water limit of 0.29 mg/L, as estimated on the basis of the present toxicological data set, will generally not be exceeded.

AOEL (acceptable operator exposure level).

Using the standard assessment factor of 100 (10 for interspecies- and 10 for intraspecies variation) an oral AOEL of 0.096 mg B/kg bw/day can be derived based on the NOAEL for embryotoxic/teratogenic effects of boric acid of 9.6 mg B/kg bw/day. Based on the NOAEL of 17,5 mg B/kg bw/day in a 2-year study in the rat and an assessment factor of 300 due to serious effects and based on the NOAEL of 21.8 mg B/kg bw/day in a teratogenicity study in the rabbit and an assessment factor of 300 due to serious effects AOEL values can be derived of 0.06 and 0.07 mg B/kg bw/day, respectively. For the risk assessment, a rounded (systemic) AOEL value of 0.1 mg B/kg bw/day was used for disodium tetraborates, even though values of 0.06 and 0.07 mg B/kg bw/day could be derived from the critical effects.

MOE (margin of exposure)

Professional users.

For the risk assessment of borates the systemic NOAEL of 9.6 mg/kg bw/day will be used. A MOE of 100 would be considered acceptable, on the basis of the standard assessment factors of 100 (10 x 10) for interspecies and intraspecies variability.

Non-professional users

The developmental studies are considered to be the most relevant for the risk characterization of non-professional users of borates. A MOE of 100 with respect to the NOAEL of 9.6 mg B/kg bw/day from the developmental toxicity study in the rat is considered acceptable.

2.2.1.3. Risk characterisation

Professional users

For calculating the exposure for the 7 processes of professional users, models in the TNsG on human exposure are used.

1. Vacuum pressure impregnation, normal treatment
2. Vacuum pressure impregnation, termite treatment
3. Double vacuum pressure, normal treatment
4. Double vacuum pressure, termite treatment
5. Dipping, normal treatment
6. Automatic spraying / deluge, normal treatment
7. Professional spraying on-site, normal treatment

Based on the AOEL approach and MOE approach it can be concluded that adverse health effects for the unprotected professional user due to the combined dermal and respiratory exposure of disodium tetraborate, as a result of the 7 processes, cannot be excluded. Using standard protective gloves, coverall and dust masks for mixing and loading and protective gloves and coverall for application is sufficient enough to reduce the exposure to get the risk indices <1 and the MOE's >100 for all of the 7 processes.

#### Non-professional users.

For calculating the exposure for the 2 processes of non-professional users, models in the TNsG on human exposure are used.

1. Spraying
2. Brushing

Based on the AOEL approach and MOE approach it can be concluded that adverse health effects for the unprotected non-professional user due to the combined dermal and respiratory exposure of disodium tetraborate, as a result of **spraying** and **brushing** can be excluded.

#### Indirect exposure as a result of use

For calculating the indirect exposure for 3 situations the reference scenarios in the user guidance TNsG (2002) are used.

1. Exposure during cutting and sanding by non-professionals, single exposure
  2. Exposure during cutting and sanding by professionals, repeated, daily exposure
  3. Exposure of a child playing on playground structure outdoors, repeated, daily exposure
- Exposure due to chewing of wood chippings is considered negligible, as well as the exposure by inhaling volatilised residues indoor.

Based on the AOEL and MOE approach it can be concluded that no adverse health effects for the non-professional user and the professional user due to indirect exposure are expected.

For a child playing on a playground structure outdoors a health risk as a consequence of exposure to borates can be excluded.

### **2.2.2. Environmental Risk Assessment**

Once borates are released to the environment, the predominant species is un-dissociated boric acid. For practical reasons boric acid and other borates are usually expressed on the basis of boron.

Boron is a naturally occurring element that is essential to a variety of organisms. Because of boron being present in natural environments, the background concentrations should be taken into account when deriving PNEC's. Boron concentrations in freshwater systems rarely exceed 1.0 mg B/L and are usually < 0.1 mg B/L. A recent review of European monitoring data report ranges of < 0.001 to 7.5 mg B/L, the mean 95-percentile concentration over 15 European countries ranges from 0.017 mg B/L in the United Kingdom to 0.632 mg B/L in

Germany. Oceans have a natural level of boron of about 5 mg/L. Boron concentrations in soil are highly variable, ranges are 4 to 200 mg/kg, mostly as the *unavailable* earth mineral tourmaline and usual levels are 45-124 mg/kg dwt, based on observations in the United States. Boron levels in European groundwaters ranged for Italy and Spain from 0.5 to 1.5 mg/litre (mean boron concentrations); up to approximately 0.6 mg boron/litre in the Netherlands and United Kingdom, and levels in approximately 90% of samples in Denmark, France, and Germany were found to be below 0.3, 0.3, and 0.1 mg boron/litre, respectively. In the following sections, all data refer to added boron, unless stated otherwise.

#### 2.2.2.1. PNEC derivation

The notifier proposed to base the Risk Assessment on total PNEC (i.e. including background concentrations). He argues that the background boron concentration influences a number of biological/ecological processes (e.g. optimal concentration ranges, acclimation/adaptation, and field community responses), and is crucial for the derivation of ecological relevant PNEC values.

The RMS partly agrees with this point of view but considers that other arguments have to be taken into account:

- In the added risk approach both the "Predicted Environmental Concentration" (PEC) and the "Predicted No Effect Concentration" (PNEC) are expressed as B added by man, resulting in an "added Predicted Environmental Concentration" (PEC<sub>add</sub>) and "added Predicted No Effect Concentration" (PNEC<sub>add</sub>), respectively. The use of the added risk approach (a method that in principle can be used for all naturally occurring substances) implies that only the anthropogenic amount of a substance, i.e. the amount added to the natural background concentration, is considered to be relevant for the effects assessment of that substance.
- There is inadequate knowledge of the natural Boron concentrations in water, sediment and soil.
- There is insufficient knowledge to incorporate bioavailability.
- No studies are available that indicate acclimatization and adaptation effects to the sensitivity of species to Boron - with the exception of deficiency occurring in some fish and plant studies.
- There is no information that Boron is essential to all biota.

The RMS therefore prefers to conduct the risk assessment on *added concentrations*.

#### Aquatic compartment

Based on the lowest NOEC of 1.8 mg B/L and an assessment factor of 10 the PNEC<sub>add,aquatic</sub> for freshwater systems is set to **0.18 mg B/L**. Using equilibrium partitioning the PNEC<sub>add,sed</sub> is set to **0.24 mg B/kg wwt**. As both PEC<sub>sed</sub> and PNEC<sub>sed</sub> values are based on equilibrium partitioning, no further assessment of the risk was performed.

#### *Sewage treatment plants (STPs)*

Based on a submitted microbial inhibition test with micro-organisms in activated sludge, a PNEC<sub>add,STP</sub> of **1.8 mg B/L** was calculated, based on a NOEC of 17.5 mg B/L and applying an assessment factor of 10.

#### Air

No toxicity data for air were available. Therefore, a PNEC<sub>air</sub> was not calculated.

#### Terrestrial compartment

The  $PNEC_{add,terrestrial}$  should be regarded as the concentration that may be *added* to the background without inducing adverse effects on the terrestrial ecosystem. It is implicitly assumed that the background concentration itself does not induce a toxic effect and that the background concentration is sufficient to meet the natural demands of the organisms and that there is no risk for deficiency.

The  $PNEC_{add,terrestrial}$  is set to **0.4 mg B/kg dwt soil**, equivalent to **0.35 mg B/kg wwt soil**. The applicability of a low  $PNEC_{add,terrestrial}$  should be judged in the light of sensitive plant species instead of being focussed solely on tolerant species.

#### Non compartment specific effects relevant to the food chain (secondary poisoning)

Boron is not bioconcentrated or bioaccumulated along the food chain in both aquatic and terrestrial ecosystems.

#### 2.2.2.2. Exposure and risk characterisation

The environmental exposure assessments have been taken from the OECD Emission Scenario Document Number 1, 2 and 3, Emission Scenario Documents on Wood Preservatives (OECD, 2003) using boric acid as the representative boron compound. Boron is released into the environment during processing, from treated timber in storage after industrial treatment, during end use (in-service period) and during and after in-situ treatment of wood. Releases can enter the aquatic compartment (incl. sediment), soil (incl. groundwater) and to a lesser degree air, depending on the scenario. Applications involve the normal application and application against termites. Application against termites is only taken into account if it is considered relevant for certain scenarios.

The following applications and in-service uses are recognized:

- Processing/storage: Vacuum pressure<sup>1</sup>; Double vacuum<sup>1</sup>; Automated dipping/industrial deluge; Automated spraying
- In-situ applications: Noise barrier; Bridge; Fence; House; Transmission pole
- Wood in service<sup>1</sup>: Noise barrier; Fence; House cladding; Transmission pole; Fence post

<sup>1</sup> scenarios relevant for applications against termites

No emissions to the environment are expected during service life for Use Class 1 (timber under cover including indoor joinery (preventive)) and Use Class 2 (timber under cover including indoor roofing timbers – risk of wetting (preventive)). Therefore Use Class 1 and 2 is considered not to lead to unacceptable risk to the environment.

The risk assessment for the industrial application phase (processing/storage), the *in-situ* treatment, and wood in service (Use class 3 and 4a) are considered below.

As to the waste stage the ESD states the following: “Waste treatment: at this stage the unused wood preservative products or the out-of-service treated wood is disposed of with waste. Waste treatment may consist of incineration or landfill dumping. Releases during these processes are considered through leaching models and release of non-degraded substances during incineration, especially heavy metal oxides. Disposal of wastes from treatment plants or disposal of treated wood after service do not fall under the scope of this ESD document. Waste wood, waste wood dust, protection foil, cleaning solvents, used cans and unused product should be disposed of according to national waste disposal regulations”.

#### Aquatic Environment (fresh water)

PEC/PNECs are  $> 1$  (in the range of 7.9 to-79) for the in-situ treatments on and in service period of treated bridges over ponds, and a risk is expected. The other uses (processing, storage and wood in service, such as noise barriers) are considered as safe with respect to the risks for surface water.

#### Aquatic Environment (sediment)

As the  $PNEC_{add, sed}$  and the  $PEC_{add, sed}$  both are based on equilibrium partitioning, risks found in the aquatic risk assessment related to the bridge over pond scenario also will result in risks for the sediment. The other uses (processing, storage and wood in service, such as noise barriers) are considered as safe with respect to the risks for sediment.

#### Sewage treatment plants (STPs)

Industrial application and use of treated wood as a noise barrier may result in emission of boric acid to a STP. In all cases, a risk for micro-organisms in the STP is not expected ( $PEC/PNEC < 1$ ).

#### Assessment of drinking water criterion

According to Directive 98/83/EC Annex I, part B, the limit value for boron in water is 1 mg/L. This value is exceeded in the scenario for *in-situ* treatment and treated wood in service of a bridge over pond (UC3) (TIME2 PEC values ranging between 3.34 and 14.3 mg B/l) and the  $PEC/PNEC$  is also  $> 1$ . European monitoring data (Wyness et al. 2003) report ranges of  $< 0.001$  to 7.5 mg B/L, the mean 95-percentile concentration over 15 European countries ranges from 0.017 mg B/L in the United Kingdom to 0.632 mg B/L in Germany. This means that disodium tetraborate (in situ applied and in service) in treated wood of bridges over ponds does **not** comply with the drinking water criteria.

#### Air

Because of the low vapour pressure, release of borates to air will be minimal. No  $PEC_{add, air}$  and  $PNEC_{add, air}$  were calculated.

#### Terrestrial environment

Storage of treated wood after industrial treatment, in-service and in-situ treatment of use class 3 and 4a treated wood will result in emission of boric acid to soil.  $PEC/PNEC$  ratios exceed 1 (range 5.1 – 600). Concerning the in-situ treatment of wood and storage of wood after industrial treatment and before shipment reasonable risk mitigation measures are available (see section 3.2 below). The in-service use of treated wood with disodium tetraborate for class 3 and 4a applications will lead to unacceptable risks.

#### Groundwater

The  $PEC_{add, grw}$  is estimated from the  $PEC_{add, terrestrial}$  using equilibrium partitioning. The calculated  $PEC_{add, grw}$  is evaluated according to the criteria for water that will be used for production of drinking water. The limit value for boron is 1 mg B/L (Directive 98/83/EC Annex I, part B).

The  $PEC_{add, grw}$  is above 1 mg B/L in all cases (range: 3.1 - 194) and the use of disodium tetraborate does **not** comply with the drinking water criterion.

#### Assessment of persistence in soil

Being an inorganic compound, boron does not comply with the persistence criteria of  $DT_{90, field} < 1$  year and  $DT_{50}$  at 20°C  $< 6$  months that are laid down in paragraph 85 of Annex VI to the Biocides Directive and in the TNsG on Annex I inclusion. According to the latter, the degradation triggers do not necessarily apply if the active substance is included in Annex I with regard to areas of use where a long lasting service-life of the treated material is essential and it is scientifically demonstrated that under field conditions there is no unacceptable

accumulation in soil (e.g. that the PEC/PNEC < 1 in soil during the service-life of the treated article). The application as a wood preservative can be considered as such. It was shown above in Section 2.9.6 that for all Use Classes the PEC/PNEC ratio is far above 1 (range: 150 – 2244). Disodium tetraborate does not meet the criteria for persistence in soil, and is therefore considered persistent.

#### 2.2.2.3. PBT assessment

Being an inorganic compound, boron does not biodegrade in marine or freshwater and sediments, and should therefore be considered as Very Persistent (VP).

Boron is not bioconcentrated, based on the available data the BCF is < 2000 L/kg wwt.

The chronic NOEC of boron for marine or freshwater organisms is > 0.01 mg B/L and boron is not considered to have endocrine disrupting effects. However, based on toxicological data, boron is classified with Toxic for Reproduction category 2 and assigned risk phrases R60 and R61. Therefore, boron should be considered as fulfilling the criteria for Persistence and Toxicity, but not for Bioaccumulation.

Boron does not fulfil the PBT-criteria. Therefore inclusion in Annex I is not restricted by these criteria.

#### 2.2.3. *List of endpoints*

In order to facilitate the work of Member States in granting or reviewing authorisations, and to apply adequately the provisions of Article 5(1) of Directive 98/8/EC and the common principles laid down in Annex VI of that Directive, the most important endpoints, as identified during the evaluation process, are listed in [Appendix I](#).

### 3. DECISION

#### 3.1. Background to the Decision

Disodium tetraborate is requested for inclusion in Annex I as a wood preservative to be used in Use Classes 1, 2, 3 and 4a by professional and non-professional users. Use Classes 4b and 5 are not included in the present assessment and no inclusion can be granted for these uses.

The unconditional inclusion of disodium tetraborate in Annex I can only be granted in case efficacy can be demonstrated for all proposed uses and the proposed uses do not lead to an unacceptable risk for humans and the environment.

A conditional inclusion of disodium tetraborate in Annex I can be granted in case efficacy can be demonstrated and at least one of the proposed uses do not lead to an unacceptable risk for humans or the environment.

The risk assessment for disodium tetraborate is based on a fictitious model product containing 100% disodium tetraborate. Actual products based on disodium tetraborate contain less than 100% disodium tetraborate, other active substances and additives. Therefore the assessment in this report is indicative. The risks of actual products should be assessed at the product authorisation level.



Inclusion of disodium tetraborate in Annex I is feasible for the efficacy, human health and environmental aspect because efficacy is demonstrated for certain applications, a safe use is identified for human exposure when using PPE and for environmental exposure when the treated wood is used for Use Class 1 (wood under cover and fully protected from the weather) and Use Class 2 (wood under cover and fully protected from the weather but where high environmental humidity can lead to occasional but not persistent wetting for indoor use and/or incidentally getting wet).

For Use Class 3 and 4a the environmental risk assessment is performed according to the TGD and TNsG's, with high PEC:PNEC ratios ( $PEC/PNEC \gg 1$ ) as a result.

At product authorisation level extra attention should be paid to potential risks for the environment for Use Classes 3 and 4a.

#### Methods of analysis:

All necessary methods of analysis for disodium tetraborate are available.

#### Efficacy:

Pure disodium tetraborate has shown to be effective against fungi (wet rot, dry rot), egg larvae of wood-boring insects and termites, however, the available data do not permit that final conclusions can be drawn concerning efficacy against all the target organisms to be controlled.

Leaching of most of the impregnated boric acid from treated wood can occur within days/months. No information is available about the influence of leaching on the efficacy of the disodium tetraborate product.

#### Labelling and classification:

Disodium tetraborate has a proposed classification with: 'T' (Toxic), R36 (eye irritant), R60 (may impair fertility) and R61 (may cause harm to the unborn child).

Products containing more than the specific concentration limit of 4.5% of disodium tetraborate anhydrous (30<sup>th</sup> ATP to Directive 67/548/EEC) will be classified with R60-61 and shall not be authorised for marketing to, or use by the general public.

Products containing more than the specific concentration limit of 6.5% of disodium tetraborate pentahydrate (30<sup>th</sup> ATP to Directive 67/548/EEC) will be classified with R60-61 and shall not be authorised for marketing to, or use by the general public.

Products containing more than the specific concentration limit of 8.5% of disodium tetraborate decahydrate (30<sup>th</sup> ATP to Directive 67/548/EEC) will be classified with R60-61 and shall not be authorised for marketing to, or use by the general public.

#### Human health:

Adverse health effects due to the combined dermal and respiratory exposure to disodium tetraborate can be excluded for the protected professional user using regular PPE, as a result of the proposed uses of the model product based on 100% disodium tetraborate.

No adverse health effects due to indirect exposure are expected for the non-professional user and the professional user.

For a child playing on a playground structure outdoors a health risk as a consequence of exposure to borates can be excluded.

#### Environment:

*Storage:*

Unacceptable emissions to soil during storage after industrial treatment are expected.

Emissions resulting from storage can for instance be prevented by covering the storage place and/or providing an impermeable storage floor. Under these conditions, the use of disodium tetraborate is considered not to lead to unacceptable risk to the environment.

*Service life of wood:*

For Use Class 1 and 2, no emissions to the environment are expected during service life of the treated wood. Use Classes 3 and 4a are expected to lead to risks, resulting from emissions to soil (in-service treated fences and posts, noise barriers, transmission poles and wooden houses) and water (Bridge over pond scenario) during the service life (see table 3.1-1).

*In-situ treatment:*

Emissions to soil and water during *in-situ* treatment with disodium tetraborate are expected to lead to risks. Prevention of losses to soil or water during treatment can be prescribed to reduce the PEC/PNEC ratios to below 1.

Table 3.1-1 Summary of environmental risk of disodium tetraborate.

|                                    | Fresh water environment | Sediment | Sewage treatment plants | Drinking water criterion | Persistency in sediment | Air | Terrestrial environment | Groundwater    | Persistency in soil |
|------------------------------------|-------------------------|----------|-------------------------|--------------------------|-------------------------|-----|-------------------------|----------------|---------------------|
| Industrial treatment               | -                       | -        | -                       | -                        | -                       | -   | n.a.                    | n.a.           | n.a.                |
| Storage of industrial treated wood | -                       | -        | -                       | -                        | -                       | -   | + <sup>a</sup>          | + <sup>a</sup> | + <sup>a</sup>      |
| Wood in service                    | -                       | -        | n.a.                    | -                        | -                       | -   | +                       | +              | +                   |
| <i>In-situ</i> treatment           | + <sup>b</sup>          | +        | n.a.                    | +                        | -                       | -   | +                       | +              | +                   |

- no risk expected

+ risk expected

n.a. not applicable

<sup>a</sup> risk reduction possible, for instance storage on hard standing and collection of rainwater

<sup>b</sup> only relevant for in-situ treatment of bridges over ponds

### 3.2. Decision regarding Inclusion in Annex I

The active substance disodium tetraborate shall be included in Annex I to Directive 98/8/EC as an active substance for use in product-type 8 (wood preservative), subject to the following specific provisions:

- a) The active substance disodium tetraborate shall have a minimum purity of 99.0% expressed as  $\text{Na}_2\text{B}_4\text{O}_7$  (anhydrous). The active substance disodium tetraborate pentahydrate shall have a minimum purity of 99.0% expressed as  $\text{Na}_2\text{B}_4\text{O}_7 \cdot 5\text{H}_2\text{O}$ . The active substance disodium tetraborate decahydrate shall have a minimum purity of 99.0% expressed as  $\text{Na}_2\text{B}_4\text{O}_7 \cdot 10\text{H}_2\text{O}$ .

Member States shall ensure that authorisations are subject to the following conditions:

- (1) Products authorised for industrial and professional use must be used with appropriate personal protective equipment, unless it can be demonstrated in the application for

product authorisation that risks to industrial and/or professional users can be reduced to an acceptable level by other means.

- (2) In view of the risks identified for the soil and aquatic compartments appropriate risk mitigation measures must be taken to protect those compartments. In particular, labels and/or safety-data sheets of products authorised for industrial use shall indicate that freshly treated timber must be stored after treatment under shelter or on impermeable hard standing to prevent direct losses to soil or water and that any losses must be collected for reuse or disposal.

### **3.3. Elements to be taken into account by Member States when authorising products**

The risk assessment based on the dummy product showed that the use of disodium tetraborate in Use Class 1 and 2 is safe. For the use of that product in Use Class 3 and 4a unacceptable risks were identified in the soil and water compartment due to spills during in-situ treatment and from the in-service leaching of boric acid to soil from treated wood. Disodium tetraborate shall not be authorized in wood preservative products for Use Class 3 and 4a if it has been concluded in the means of comparative assessment that there is a sufficient number of active substances for same use purposes for Use Class 3 and 4a with significantly lower risk and without unacceptable effects.

Disodium tetraborate was intended to be used by industrial, professional and non-professional users. However, disodium tetraborate was found to be 'Toxic for reproduction category 2 (R60-61)'. Products containing more than the specific concentration of 4.5% disodium tetraborate anhydrous will be classified with R60-61 and, according to article 5 (2) of the Directive, products with this classification shall not be authorised for marketing to, or use by the general public.

Products containing more than the specific concentration of 6.5% disodium tetraborate pentahydrate will be classified with R60-61 and, according to article 5 (2) of the Directive, products with this classification shall not be authorised for marketing to, or use by the general public.

Products containing more than the specific concentration of 8.5% disodium tetraborate decahydrate will be classified with R60-61 and, according to article 5 (2) of the Directive, products with this classification shall not be authorised for marketing to, or use by the general public.

Health effects professional user: The risk characterization for human health is based on a 100% disodium tetraborate product. Actual wood preservatives are based on less than 100% disodium tetraborate and/or have more than one active substance. When authorising such products appropriate measures should be prescribed to protect professional users in such a way that risk indices are below 1.

Storage stage: The risk to the soil compartment following storage on site of industrial impregnated timber is unacceptable. Therefore emission reducing measures should be prescribed, for instance the storing of treated timber on hard impermeable standing and the collecting of rainwater from the storage area to prevent direct losses of boric acid to soil.

#### Handling and Storage

No special handling precautions are required, but dry indoor storage is recommended. Good housekeeping procedures should be followed to minimise dust generation and accumulation. No specific fire fighting measures are required since disodium tetraborate is not flammable, combustible or explosive. The product is itself a flame retardant.

*Suitable container material:* paper, cardboard, plastic (polypropylene, high density polyethylene).

*Unsuitable container material:* base metals.

Polypropylene is not suitable for storage at low temperatures due to the brittleness of this material at low temperatures. Polyethylene should therefore be regarded as the preferred container material for disodium tetraborate.

#### Emergency measures in case of accident

*Inhalation:* If symptoms such as nose or throat irritation are observed, remove to fresh air.

*In case of contact with eyes:* Rinse immediately with plenty of clean water or sterile saline solution for at least 15 minutes. If appropriate, remove contact lenses after 5 minutes rinsing. If symptoms persist, seek medical attention.

*Skin contact:* No treatment necessary because non-irritating.

*Ingestion:* Swallowing small quantities (one teaspoon) will cause no harm to healthy adults. If larger amounts are swallowed, give two glasses of water to drink and seek medical attention.

Avoid creation of dust. Use vacuum cleaners wherever possible.

#### Decontamination

*Air:* Borates are non-volatile. As a dust borates rapidly settle from the atmosphere.

*Water:* Borates are naturally occurring minerals and are present in surface and underground waters. Borates are rapidly dissolved in water and will disperse with dilution. Removal at low concentrations is unnecessary. Where water containing high levels of borates can be captured precipitation with lime can be used to reduce boron levels to the 100 ppm range. Treatments with boron specific ion exchange resins and activated carbon are also possible.

*Soil:* Borates are naturally found in rocks and soil and are an essential micronutrient for all plant growth. Contaminated soil can be leached with water or acid to reduce boron levels.

#### Waste Management

Prevention of waste and unintended environmental releases is practiced by most, if not all operations. Storage of treated products is covered so as to prevent leaching and run-off. Small quantities can usually be disposed to landfill sites. No special disposal treatment is required, but local authorities should be consulted about any specific local requirements. Tonnage quantities of products are not considered appropriate for landfills. Such products should, if possible, be used for an appropriate application.

#### Unintended side effects

Borates are essential micronutrients for all plant life but at high levels they are phytotoxic.

#### Combustion Products

Fused borate, glass and water.

### **3.4. Requirement for further information**

It is considered that the evaluation has shown that sufficient data have been provided to verify the outcome and conclusions, and permit the proposal for the inclusion of disodium tetraborate in Annex 1 to Directive 98/8/EC.

### **3.5. Updating this Assessment Report**

This assessment report may need to be updated periodically in order to take account of scientific developments and results from the examination of any of the information referred to in Articles 7, 10.4 and 14 of Directive 98/8/EC. Such adaptations will be examined and finalised in connection with any amendment of the conditions for the inclusion of disodium tetraborate in Annex I to the Directive.

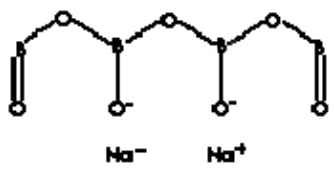
## Appendix I: List of endpoints

### Chapter 1: Identity, Physical and Chemical Properties, Classification and Labelling

|                                    |   |
|------------------------------------|---|
| Active substance (ISO Common Name) | Disodium tetraborate anhydrous<br>Disodium tetraborate pentahydrate<br>Disodium tetraborate decahydrate |
| Function ( <i>e.g.</i> fungicide)  | Fungicide, insecticide  |
| Rapporteur Member State            | The Netherlands   |

#### Identity for disodium tetraborate anhydrous (Annex IIA, point II.)

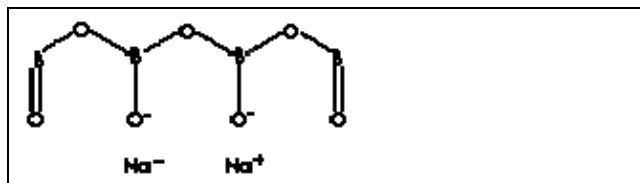
|  |  |
|--|--|
| Chemical name (IUPAC)  | disodium tetraborate anhydrous   |
| Chemical name (CA)   | disodium tetraborate anhydrous   |
| CAS No.  | Several CAS numbers exist for the same compound. <ul style="list-style-type: none"> <li>• disodium tetraborate anhydrous or boric acid disodium salt, CAS 1330-43-4</li> <li>• orthoboric acid sodium salt, CAS 13840-56-7, is an unspecified sodium salt and is listed under disodium tetraborate anhydrous.</li> <li>• tetraboron disodium heptaoxide hydrate CAS 12267-73-1, is an unspecified hydrate salt and is listed under disodium tetraborate anhydrous.</li> <li>• disodium tetraborate anhydrous, CAS 12447-40-4.</li> </ul> For the present CA-report, only disodium tetraborate anhydrous, CAS no 1330-43-4 is used. The other CAS numbers are not assessed in this CA-report. |
| EC No.   | Several EC numbers exist for the same compound. <ul style="list-style-type: none"> <li>• disodium tetraborate anhydrous or boric acid disodium salt, EC 215-540-4</li> <li>• orthoboric acid sodium salt, EC 237-560-2, is an unspecified sodium salt and is listed under disodium tetraborate anhydrous.</li> <li>• tetraboron disodium heptaoxide hydrate, EC 235-541-3 is an unspecified hydrate salt and is listed under disodium tetraborate anhydrous.</li> </ul> For the present CA-report, only disodium tetraborate anhydrous, EC 215-540-4 is used. The other EC numbers are not assessed in this CA-report.   |
| Other substance No.  | None   |
| Minimum purity of the active substance as manufactured (g/kg or g/l) | Based on impurity data and tests with the active substance, the minimum purity specification proposed by the RMS is 99.0% (w/w) expressed as Na <sub>2</sub> B <sub>4</sub> O <sub>7</sub>   |

|  |   |
|--|---|
| Identity of relevant impurities and additives (substances of concern) in the active substance as manufactured (g/kg) | (anhydrous) for both manufacturers.   |
| Molecular formula  | no additives<br>no (eco)toxicologically relevant impurities   |
| Molecular mass   | general formula $\text{Na}_2\text{B}_4\text{O}_7$ ;<br>another frequently used formula is $\text{Na}_2\text{O} \cdot 2\text{B}_2\text{O}_3$ (water free). |
| Structural formula   | 201.22<br>  |

### Identity for disodium tetraborate pentahydrate (Annex IIA, point II.)

|  |   |
|--|---|
| Chemical name (IUPAC)  | disodium tetraborate pentahydrate   |
| Chemical name (CA)   | disodium tetraborate pentahydrate   |
| CAS No.  | Several CAS numbers exist for the same compound. <ul style="list-style-type: none"> <li>disodium tetraborate pentahydrate or borax pentahydrate, CAS 12179-04-3.</li> <li>disodium tetraborate pentahydrate, CAS 12267-73-1</li> <li>disodium tetraborate pentahydrate, CAS 12045-88-4</li> <li>disodium tetraborate pentahydrate, CAS 11130-12-4</li> </ul> For the present CA-report, only disodium tetraborate pentahydrate, CAS no is 12179-04-3 is used. The other CAS numbers are not assessed in this CA-report. |
| EC No.   | Two EC numbers exist for the same compound. <ul style="list-style-type: none"> <li>disodium tetraborate pentahydrate or borax pentahydrate, EC 215-540-04.</li> <li>disodium tetraborate pentahydrate, EC 235-541-3.</li> </ul> For the present CA-report, only disodium tetraborate pentahydrate, EC no is 215-540-4 is used. The other EC numbers are not assessed in this CA-report.   |
| Other substance No.  | None  |
| Minimum purity of the active substance as manufactured (g/kg or g/l)   | Based on impurity data and tests with the active substance, the minimum purity specification proposed by the RMS is 99.0% (w/w) expressed as $\text{Na}_2\text{B}_4\text{O}_7 \cdot 5\text{H}_2\text{O}$ for both manufacturers.  |
| Identity of relevant impurities and additives (substances of concern) in the active substance as manufactured (g/kg) | no additives<br>no (eco)toxicologically relevant impurities   |
| Molecular formula  | general formula $\text{Na}_2\text{B}_4\text{O}_7 \cdot 5\text{H}_2\text{O}$ ;<br>another frequently used formula is $\text{Na}_2\text{O} \cdot 2\text{B}_2\text{O}_3 \cdot 5\text{H}_2\text{O}$ .   |
| Molecular mass   | 291.296   |

Structural formula

**Identity for disodium tetraborate decahydrate (Annex IIA, point II.)**

Chemical name (IUPAC)

disodium tetraborate decahydrate

Chemical name (CA)

disodium tetraborate decahydrate

CAS No.

Two CAS numbers exist for the same compound.

- disodium tetraborate decahydrate or borax decahydrate CAS 1303-96-4.
- disodium tetraborate decahydrate CAS 13840-56-7.

For the present CA-report, only disodium tetraborate decahydrate, CAS no is 1303-96-4 is used. The other CAS numbers are not assessed in this CA-report.

EC No.

Several EC numbers exist for the same compound.

- disodium tetraborate decahydrate or borax decahydrate, EC 215-540-04.
- disodium tetraborate decahydrate, EC 235-541-3
- disodium tetraborate decahydrate, EC 271-536-2

For the present CA-report, only disodium tetraborate decahydrate, EC no is 215-540-4 is used. The other EC numbers are not assessed in this CA-report.

Other substance No.

None

Minimum purity of the active substance as manufactured (g/kg or g/l)

Based on impurity data and tests with the active substance, the minimum purity specification proposed by the RMS is 99.0% (w/w) expressed as  $\text{Na}_2\text{B}_4\text{O}_7 \cdot 10\text{H}_2\text{O}$  for both manufacturers.

Identity of relevant impurities and additives (substances of concern) in the active substance as manufactured (g/kg)

no additives

no (eco)toxicologically relevant impurities

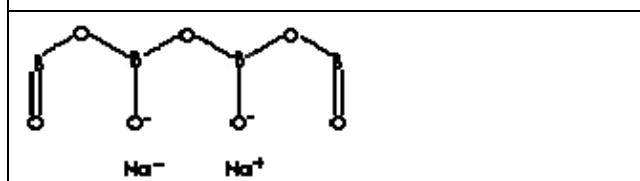
Molecular formula

general formula  $\text{Na}_2\text{B}_4\text{O}_7 \cdot 10\text{H}_2\text{O}$   
another frequently used formula is  $\text{Na}_2\text{O} \cdot 2\text{B}_2\text{O}_3 \cdot 10\text{H}_2\text{O}$ .

Molecular mass

381.373

Structural formula

**Physical and chemical properties for disodium tetraborate anhydrous (Annex IIA, point III, unless otherwise indicated)**

Melting point (state purity)

Melting point 737 °C at atmospheric pressure, deduced



|   |  |
|---|--|
|   | from pentahydrate data   |
| Boiling point (state purity)  | Not applicable   |
| Temperature of decomposition  | At 525/527 °C a phase transition occurs, as deduced from pentahydrate data.  |
| Appearance (state purity)   | Solid white odourless powder (purity not stated)   |
| Relative density (state purity)   | 2.354 at 26 °C (purity 99.0% (w/w) expressed as Na <sub>2</sub> B <sub>4</sub> O <sub>7</sub> (anhydrous))   |
| Surface tension   | Not applicable   |
| Vapour pressure (in Pa, state temperature)  | Not applicable, because the melting point lies above 300 °C and at ambient temperature vapour pressure is expected to be less than 10 <sup>-5</sup> Pa.  |
| Henry's law constant (Pa m <sup>3</sup> mol <sup>-1</sup> )   | Not applicable, because the melting point lies above 300 °C and at ambient temperature vapour pressure is expected to be less than 10 <sup>-5</sup> Pa.  |
| Solubility in water (g/l or mg/l, state temperature)  | pH_ 9.66-9.69: 27.0 g/L at 20.0°C, calculated from pentahydrate and decahydrate solubility<br>-----<br>The water solubility for disodium tetraborates as such cannot be determined because disodium tetraborates are converted into boric acid/borate upon dissolution in water.<br><br>Water solubility studies at pH = 5, 7, 9 are not possible, because of the strong buffering capacity of boric acid/borate solutions and ion-pair formation in the presence of alkali-metal ions like Na, K.<br><br>Temperature dependence of water solubility should be investigated  |
| Solubility in organic solvents (in g/l or mg/l, state temperature) (Annex IIIA, point III.1)                      | No reliable data available   |
| Stability in organic solvents used in biocidal products including relevant breakdown products (IIIA, point III.2) | Not relevant.  |
| Partition coefficient (log P <sub>ow</sub> ) (state temperature)  | pH_5: not investigated<br>-----<br>pH_7: not investigated<br>-----<br>pH_9: not investigated<br>-----<br>pH_7.5: -1.09 at 22°C in potassium/sodium phosphate buffer at a concentration of 0.0097 M boron (purity 99.0% w/w expressed as H <sub>3</sub> BO <sub>3</sub> ).<br>-----<br>pH_unknown: -0.757 at 25 °C in water at concentration levels between 0.16 - 0.89 M boron (purity not indicated)<br>-----<br>pH_unknown: -0.74 in 2 M KCl at 25 °C<br>pH_unknown: -0.56 in 3 M NaClO <sub>4</sub> at 25 °C<br>pH_unknown: -0.55 in 3 M NaClO <sub>4</sub> at 35 °C<br>-----<br>The log P <sub>ow</sub> for disodium tetraborates as such cannot be determined because disodium tetraborates are converted into boric acid/borate upon dissolution in water. The log |

|  |  |   |
|--|--|---|
| Dissociation constant (not stated in Annex IIA or IIIA; additional data requirement from TNsG) | <p><math>P_{ow}</math> given is the <math>\log P_{ow}</math> for boric acid.</p> <p>The difference between <math>\log P_{ow}</math> values obtained at different temperatures, different salinity, different concentration and different analysis, is only 0.5 <math>\log P_{ow}</math> unit. No further tests are required.</p>   |   |
|  | <p>The dissociation constant for disodium tetraborate anhydrous as such cannot be determined because disodium tetraborate anhydrous is converted into boric acid/borate upon dissolution in water: <math>\text{Na}_2\text{B}_4\text{O}_7 + 7 \text{H}_2\text{O} = 2 \text{NaB}(\text{OH})_4 + 2 \text{B}(\text{OH})_3</math>. The dissociation constant found will be the dissociation constant for boric acid in the presence of sodium ions</p> <p>Boric acid is a Lewis acid (hydroxide ion acceptor) rather than a Brønsted acid (proton donator). For this purpose the formula for boric acid is best written as <math>\text{B}(\text{OH})_3</math>.</p> <p><math>\text{pK}_a = 9.0</math> at 25 °C for boric acid in dilute solutions only (<math>\text{B} \leq 0.025 \text{ M}</math>).</p> <p>At higher boron concentrations, polynuclear complexes are formed and several dissociation/formation constants apply.</p> |   |
|  | <p>UV/VIS absorption (max.) (if absorption &gt; 290 nm state <math>\epsilon</math> at wavelength)</p>  | <p>No absorption maximum or minimum found in neutral, basic or acidic medium in the range 190-750 nm.</p> |
|  | <p>Flammability</p> <p>Explosive properties</p>  | <p>Not required; a.s. known for flame retardant properties.</p> <p>Not explosive.</p>                     |

**Physical and chemical properties for disodium tetraborate pentahydrate (Annex IIA, point III, unless otherwise indicated)**

|  |  |
|--|--|
| Melting point (state purity)               | No melting point can be defined because of decomposition of the active substance (purity 101.7% (w/w) expressed as $\text{Na}_2\text{B}_4\text{O}_7 \cdot 5\text{H}_2\text{O}$ )   |
| Boiling point (state purity)               | No boiling point can be defined because of decomposition of the active substance (see melting point)   |
| Temperature of decomposition               | When disodium tetraborate pentahydrate is heated, it gradually loses water of crystallisation, forming disodium tetraborate anhydrous, $\text{Na}_2\text{B}_4\text{O}_7$ . An endothermal peak is observed at 131 °C, probably due to the loss of water. Due to a phase transition an exothermal peak is observed at 524/527°C. The crystal form of $\text{Na}_2\text{B}_4\text{O}_7$ melts at 737°C |
| Appearance (state purity)                  | Solid white odourless powder (purity not stated)   |
| Relative density (state purity)            | 1.860 at 22 °C (purity 101.7% (w/w) expressed as $\text{Na}_2\text{B}_4\text{O}_7 \cdot 5\text{H}_2\text{O}$ )   |
| Surface tension                            | Not applicable   |
| Vapour pressure (in Pa, state temperature) | Not applicable, because the melting point lies above 300 °C and at ambient temperature vapour pressure is expected to be less than $10^{-5}$ Pa.   |

|   |   |
|---|---|
| Henry's law constant ( $\text{Pa m}^3 \text{ mol}^{-1}$ )   | Not applicable, because the melting point lies above 300 °C and at ambient temperature vapour pressure is expected to be less than 10 <sup>-5</sup> Pa.   |
| Solubility in water (g/l or mg/l, state temperature)  | <p>pH_ 9.66: 40.06 g/L at 20.0°C (purity 101.7% (w/w) expressed as Na<sub>2</sub>B<sub>4</sub>O<sub>7</sub>·5H<sub>2</sub>O)</p> <p>-----</p> <p>The water solubility for disodium tetraborates as such cannot be determined because disodium tetraborates are converted into boric acid/borate upon dissolution in water.</p> <p>Water solubility studies at pH = 5, 7, 9 are not possible, because of the strong buffering capacity of boric acid/borate solutions and ion-pair formation in the presence of alkali-metal ions like Na, K.</p> <p>Temperature dependence of water solubility should be investigated</p>   |
| Solubility in organic solvents (in g/l or mg/l, state temperature) (Annex IIIA, point III.1)                      | No reliable data available  |
| Stability in organic solvents used in biocidal products including relevant breakdown products (IIIA, point III.2) | Not relevant.   |
| Partition coefficient (log P <sub>ow</sub> ) (state temperature)  | <p>pH_5: not investigated</p> <p>-----</p> <p>pH_7: not investigated</p> <p>-----</p> <p>pH_9: not investigated</p> <p>-----</p> <p>pH_7.5: -1.09 at 22°C in potassium/sodium phosphate buffer at a concentration of 0.0097 M boron (purity 99.0% w/w expressed as H<sub>3</sub>BO<sub>3</sub>).</p> <p>-----</p> <p>pH_unknown: -0.757 at 25 °C in water at concentration levels between 0.16 - 0.89 M boron (purity not indicated)</p> <p>-----</p> <p>pH_unknown: -0.74 in 2 M KCl at 25 °C<br/> pH_unknown: -0.56 in 3 M NaClO<sub>4</sub> at 25 °C<br/> pH_unknown: -0.55 in 3 M NaClO<sub>4</sub> at 35 °C</p> <p>-----</p> <p>The log P<sub>ow</sub> for disodium tetraborates as such cannot be determined because disodium tetraborates are converted into boric acid/borate upon dissolution in water. The log P<sub>ow</sub> given is the log P<sub>ow</sub> for boric acid.</p> <p>The difference between log P<sub>ow</sub> values obtained at different temperatures, different salinity, different concentration and different analysis, is only 0.5 log P<sub>ow</sub> unit. No further tests are required.</p> |
| Dissociation constant (not stated in Annex IIA or IIIA; additional data requirement from TNsG)                    | <p>The dissociation constant for disodium tetraborate pentahydrate as such cannot be determined because disodium tetraborate pentahydrate is converted into boric acid upon dissolution in water: Na<sub>2</sub>B<sub>4</sub>O<sub>7</sub>·5H<sub>2</sub>O + 2 H<sub>2</sub>O = 2 NaB(OH)<sub>4</sub> + 2 B(OH)<sub>3</sub>. The dissociation constant found will be the dissociation constant for boric acid in the presence of sodium ions.</p> <p>Boric acid is a Lewis acid (hydroxide ion acceptor) rather than a Brønsted acid (proton donator). For this</p>   |

|  |  |
|--|--|
|  | <p>purpose the formula for boric acid is best written as <math>B(OH)_3</math>.</p> <p><math>pK_a = 9.0</math> at <math>25\text{ }^\circ\text{C}</math> for boric acid in dilute solutions only (<math>B \leq 0.025\text{ M}</math>).</p> <p>At higher boron concentrations, polynuclear complexes are formed and several dissociation/formation constants apply.</p> |
| UV/VIS absorption (max.) (if absorption > 290 nm state $\epsilon$ at wavelength) | No absorption maximum or minimum found in neutral, basic or acidic medium in the range 190-750 nm, partly deduced from anhydrous spectrum.   |
| Flammability   | Not required; a.s. known for flame retardant properties.   |
| Explosive properties   | Not explosive.   |

**Physical and chemical properties for disodium tetraborate decahydrate  
(Annex IIA, point III, unless otherwise indicated)**

|  |   |
|--|---|
| Melting point (state purity)                             | No melting point can be defined because of decomposition of the active substance (purity 99.0% (w/w) expressed as $Na_2B_4O_7 \cdot 10H_2O$ )   |
| Boiling point (state purity)                             | No melting point can be defined because of decomposition of the active substance (see melting point)  |
| Temperature of decomposition                             | When disodium tetraborate decahydrate is heated, it gradually loses water of crystallisation, first forming the pentahydrate $Na_2B_4O_7 \cdot 5H_2O$ , and on further heating forms disodium tetraborate anhydrous, $Na_2B_4O_7$ . Two small endothermic peaks are observed at $47/48^\circ\text{C}$ and $101/99^\circ\text{C}$ , which are most likely due to the loss of crystal water. The crystal form of $Na_2B_4O_7$ melts at $737^\circ\text{C}$  |
| Appearance (state purity)                                | Solid white odourless powder (purity not stated)  |
| Relative density (state purity)                          | 1.74 at $23\text{ }^\circ\text{C}$ (purity 99.0% (w/w) expressed as $Na_2B_4O_7 \cdot 10H_2O$ )   |
| Surface tension  | Not applicable  |
| Vapour pressure (in Pa, state temperature)               | Not applicable, because the melting point lies above $300\text{ }^\circ\text{C}$ and at ambient temperature vapour pressure is expected to be less than $10^{-5}\text{ Pa}$ .   |
| Henry's law constant ( $\text{Pa m}^3\text{ mol}^{-1}$ ) | Not applicable, because the melting point lies above $300\text{ }^\circ\text{C}$ and at ambient temperature vapour pressure is expected to be less than $10^{-5}\text{ Pa}$ .   |
| Solubility in water (g/l or mg/l, state temperature)     | <p><math>pH_{-9.69}</math>: 49.74 g/L at <math>20.0^\circ\text{C}</math> (purity 99.0% (w/w) expressed as <math>Na_2B_4O_7 \cdot 10H_2O</math>)</p> <hr style="border-top: 1px dashed black;"/> <p>The water solubility for disodium tetraborates as such cannot be determined because disodium tetraborates are converted into boric acid/borate upon dissolution in water.</p> <p>Water solubility studies at <math>pH = 5, 7, 9</math> are not possible, because of the strong buffering capacity of boric</p> |

|  |   |
|--|---|
| <p>Solubility in organic solvents (in g/l or mg/l, state temperature) (Annex IIIA, point III.1)</p> <p>Stability in organic solvents used in biocidal products including relevant breakdown products (IIIA, point III.2)</p> <p>Partition coefficient (log P<sub>ow</sub>) (state temperature)</p> | <p>acid/borate solutions and ion-pair formation in the presence of alkali-metal ions like Na, K.</p> <p>Temperature dependence of water solubility should be investigated</p>   |
|  | No reliable data available  |
|  | Not relevant.   |
|  | pH_5: not investigated  |
|  | pH_7: not investigated  |
|  | pH_9: not investigated  |
|  | pH_7.5: -1.09 at 22°C in potassium/sodium phosphate buffer at a concentration of 0.0097 M boron (purity 99.0% w/w expressed as H <sub>3</sub> BO <sub>3</sub> ).  |
|  | pH_unknown: -0.757 at 25 °C in water at concentration levels between 0.16 - 0.89 M boron (purity not indicated)   |
|  | pH_unknown: -0.74 in 2 M KCl at 25 °C   |
|  | pH_unknown: -0.56 in 3 M NaClO <sub>4</sub> at 25 °C  |
|  | pH_unknown: -0.55 in 3 M NaClO <sub>4</sub> at 35 °C  |
| <p>Dissociation constant (not stated in Annex IIA or IIIA; additional data requirement from TNsG)</p>  | <p>The log P<sub>ow</sub> for disodium tetraborates as such cannot be determined because disodium tetraborates are converted into boric acid/borate upon dissolution in water. The log P<sub>ow</sub> given is the log P<sub>ow</sub> for boric acid.</p> <p>The difference between log P<sub>ow</sub> values obtained at different temperatures, different salinity, different concentration and different analysis, is only 0.5 log P<sub>ow</sub> unit. No further tests are required.</p>   |
| <p>UV/VIS absorption (max.) (if absorption &gt; 290 nm state ε at wavelength)</p>  | <p>The dissociation constant for disodium tetraborate decahydrate as such cannot be determined because disodium tetraborate decahydrate is converted into boric acid upon dissolution in water: Na<sub>2</sub>B<sub>4</sub>O<sub>7</sub>·10H<sub>2</sub>O = 2NaB(OH)<sub>4</sub> + 2B(OH)<sub>3</sub> + 3H<sub>2</sub>O. The dissociation constant found will be the dissociation constant for boric acid in the presence of sodium ions.</p> <p>Boric acid is a Lewis acid (hydroxide ion acceptor) rather than a Brønsted acid (proton donator). For this purpose the formula for boric acid is best written as B(OH)<sub>3</sub>.</p> <p>pKa = 9.0 at 25 °C for boric acid in dilute solutions only (B ≤ 0.025 M).</p> <p>At higher boron concentrations, polynuclear complexes are formed and several dissociation/formation constants apply.</p> |
|  | No absorption maximum or minimum found in neutral, basic or acidic medium in the range 190-750 nm (partly deduced from anhydrous spectrum).   |

Flammability

Not required; a.s. known for flame retardant properties.

Explosive properties

Not explosive.

**Classification and proposed labelling for disodium tetraborate anhydrous, disodium tetraborate pentahydrate and disodium tetraborate decahydrate**

with regard to physical/chemical data

None

with regard to toxicological data

Toxic, R36-60-61

with regard to fate and behaviour data

None

with regard to ecotoxicological data

None

**Chapter 2: Methods of Analysis****Analytical methods for the active substance**Technical active substance (principle of method)  
(Annex IIA, point 4.1)

Method BS 5688 : Part 8 :1979 ISO 2216-1972 [ISO title: Crude sodium borates for industrial use- Determination of sodium oxide and boric oxide contents- Volumetric method]. The ISO method has been withdrawn on 27 May 2002 and is not supported by ISO anymore. Because the method is still in use in Australia and the ISO method was withdrawn for the sole reason that the method was not verified anymore every 5 years, the method is considered acceptable by the RMS.

Impurities in technical active substance (principle  
of method) (Annex IIA, point 4.1)

Not required.

No impurities > 1 g/kg and  
no (eco)toxicologically relevant impurities.

**Analytical methods for residues**

|   |   |
|---|---|
| Soil (principle of method and LOQ) (Annex IIA, point 4.2)   | Total boron can be extracted from soil by hot acid extraction with HNO <sub>3</sub> and HCl under reflux or by microwave digestion with HNO <sub>3</sub> and HF, with addition of HCl and H <sub>2</sub> O <sub>2</sub> when needed. Detection by ICP-AES (LOD ca. 4 µg B/L) or by photospectrometry after reaction with azomethine-H (LOD 0.01 – 1 mg B/L). LOQ depends on the extraction method |
| Air (principle of method and LOQ) (Annex IIA, point 4.2)  | Not required.   |
| Water (principle of method and LOQ) (Annex IIA, point 4.2)  | Same methods as for soil  |
| Body fluids and tissues (principle of method and LOQ) (Annex IIA, point 4.2)  | Although classified as Toxic, no method is required. The same method as for soil can be used.   |
| Food/feed of plant origin (principle of method and LOQ for methods for monitoring purposes) (Annex IIIA, point IV.1)  | Not applicable  |
| Food/feed of animal origin (principle of method and LOQ for methods for monitoring purposes) (Annex IIIA, point IV.1) | Not applicable  |

### Chapter 3: Impact on Human Health

#### Absorption, distribution, metabolism and excretion in mammals (Annex IIA, point 6.2)

|  |  |
|--|--|
| Rate and extent of oral absorption:    | 100%   |
| Rate and extent of dermal absorption:  | 0.5%   |
| Distribution:                          | Absorbed boron rapidly distributes throughout the body water in humans and animals.  |
| Potential for accumulation:            | Low  |
| Rate and extent of excretion:          | Excreted almost exclusively in the urine.<br>Half-life < 24 hours.   |
| Toxicologically significant metabolite | Does not metabolise<br><br>Most of the simple inorganic borates such as boric acid; boric oxide; disodium tetraborate and disodium octaborate tetrahydrate exist predominantly as un-dissociated boric acid in dilute aqueous solution at physiological pH, leading to the conclusion that the main species in the plasma of mammals is un-dissociated boric acid. Since other borates dissociate to form boric acid in aqueous solutions, they too can be considered to exist as un-dissociated boric acid under physiological conditions. For example disodium octaborate tetrahydrate a solid solution of boric acid and disodium tetraborate decahydrate |

#### Acute toxicity (Annex IIA, point 6.1)

|                           |                 |
|---------------------------|-----------------|
| Rat LD <sub>50</sub> oral | > 2500 mg/kg bw |
|---------------------------|-----------------|

|   |   |
|---|---|
| Rat LD <sub>50</sub> dermal   | > 2000 mg/kg bw   |
| Rat LC <sub>50</sub> inhalation                                       | > 2030 mg/m <sup>3</sup> (highest attainable concentration)   |
| Skin irritation   | Non Irritant  |
| Eye irritation  | Irritant  |
| Skin sensitization (test method used and result)                      | Non Sensitiser – Buehler and Human  |
| <b>Repeated dose toxicity</b> (Annex IIA, point 6.3)                  |   |
| Species/ target / critical effect                                     | Testis, blood   |
| Lowest relevant oral NOAEL / LOAEL                                    | 17.5 mg boron /kg bw/day  |
| Lowest relevant dermal NOAEL / LOAEL                                  | No data   |
| Lowest relevant inhalation NOAEL / LOAEL                              | No data   |
| <b>Genotoxicity</b> (Annex IIA, point 6.6)                            |   |
|   | Negative  |
| <b>Carcinogenicity</b> (Annex IIA, point 6.4)                         |   |
| Species/type of tumour  | Negative  |
| lowest dose with tumours  | Not relevant  |
| <b>Reproductive toxicity</b> (Annex IIA, point 6.8)                   |   |
| Species/ Reproduction target / critical effect                        | Rat; mouse and dog testis; Testicular atrophy   |
| Lowest relevant reproductive NOAEL / LOAEL                            | 17.5 mg boron/kg bw/day   |
| Species/Developmental target / critical effect                        | Rat: reduction in mean fetal body weight/litter, increased incidence in short rib X111)<br>Rabbit: increased incidence in major heart and/or great vessel malformations   |
| Lowest relevant developmental NOAEL / LOAEL                           | 9.6 mg boron/kg bw/day  |
| <b>Neurotoxicity / Delayed neurotoxicity</b> (Annex IIIA, point VI.1) |   |
| Species/ target/critical effect                                       | Not relevant  |
| Lowest relevant developmental NOAEL / LOAEL.                          | Not relevant  |
| <b>Other toxicological studies</b> (Annex IIIA, VI/XI)                |   |
|   | Not relevant  |
| <b>Medical data</b> (Annex IIA, point 6.9)                            |   |
|   | The human oral lethal dose is regularly quoted as 2-3 g boric acid for infants, 5-6 g boric acid for children and 15-30 g boric acid for adults. Acute effects of poisoning may include nausea, vomiting, gastric discomfort, skin flushing, excitation, convulsions, depression and vascular collapse. Multiple exposure to high levels of |



boron may result in dermatitis, alopecia, loss of appetite, nausea, vomiting, diarrhoea, and focal or generalised central nervous system irritation or convulsions.

Workers exposed to borax dust at about 4 mg/m<sup>3</sup> reported eye irritation, dry mouth, nose or throat, sore throat, acute and chronic respiratory irritation and productive cough.

In human populations exposed to high boron levels in drinking water or male workers of a borax mine no effects on reproduction were observed.

### Summary (Annex IIA, point 6.10)

ADI (if residues in food or feed)

AOEL (Operator/Worker Exposure)  
acute/semi-chronic and chronic

Drinking water limit

ARfD (acute reference dose)

| Value                       | Study  | Safety factor |
|-----------------------------|--|---------------|
| Not relevant                |  |               |
| Rounded 0,1* mg B/kg bw/day | developmental study rat  | 100           |
|                             | EU Council Directive 98/83/EC. Quality of Water Intended for Human Consumption |               |
| not relevant                |  |               |

\* A rounded (systemic) AOEL value of 0.1 mg B/kg bw/day was used for disodium tetraborates based on the NOAEL for embryotoxic/teratogenic effects of 9.6 mg B/kg bw/day and a standard assessment factor of 100 even though an AOEL value of 0.06 mg B/kg bw/day (based on a NOAEL of 17.5 mg B/kg bw/day in a 2-year study in the rat and an assessment factor of 300 due to serious effects) and an AOEL value of 0.07 mg B/kg bw/day (based on a NOAEL of 21.8 mg B/kg bw/day in a teratogenicity study in the rabbit and an assessment factor of 300 due to serious effects) could be derived from the critical effects.

### Acceptable exposure scenarios (including method of calculation)

Professional users

Adverse health effects for the unprotected professional user due to the combined dermal and respiratory exposure of boric acid cannot be excluded. By using PPE sufficient reduction of the exposure can be achieved.

Non-professional users

Adverse health effects for the unprotected non-professional user due to the combined dermal and respiratory exposure of boric acid, as a result of spraying or brushing can be excluded. However, biocidal products containing more than 4.5% disodium tertaborate anhydrous and classified with R60-61 shall not be authorised for marketing to, or use by the general public.  
Biocidal products containing more than 6.5% disodium tertaborate pentahydrate and classified with R60-61 shall not be authorised for marketing to, or use by the general public.  
Biocidal products containing more than 8.5% disodium tertaborate anhydrous and classified with R60-61 shall not be authorised for marketing to, or use by the general public

Indirect exposure as a result of use

No adverse health effects for the non-professional user and the professional user due to indirect exposure are

expected. For a child playing on a playground structure outdoors a health risk as a consequence of exposure to borates can be excluded.

## Chapter 4: Fate and Behaviour in the Environment

### Route and rate of degradation in water (Annex IIA, point 7.6, IIIA, point XII.2.1, 2.2)

|  |                         |
|--|-------------------------|
| Hydrolysis of active substance and relevant metabolites (DT <sub>50</sub> ) (state pH and temperature) | hydrolytically stable   |
| Photolytic / photo-oxidative degradation of active substance and resulting relevant metabolites        | photolytically stable   |
| Quantum yield of direct phototransformation in water at $\lambda > 290$ nm (point VII.7.6.2.2)         | not applicable          |
| Readily biodegradable (yes/no)   | No                      |
| Biodegradation in seawater   | not applicable          |
| Non-extractable residues   | Not applicable          |
| Distribution in water / sediment systems (active substance)  | no information supplied |
| Distribution in water / sediment systems (metabolites)   | not applicable          |

### Route and rate of degradation in soil (Annex IIIA, point VII.4, XII.1.1, XII.1.4; Annex VI, para. 85)

|  |   |
|--|---|
| Mineralization (aerobic)   | not applicable  |
| Laboratory studies (range or median, with number of measurements, with regression coefficient) | DT <sub>50lab</sub> (20°C, aerobic): not applicable   |
|  | DT <sub>90lab</sub> (20°C, aerobic): not applicable   |
|  | DT <sub>50lab</sub> (10°C, aerobic): not applicable   |
|  | DT <sub>50lab</sub> (20°C, anaerobic): not applicable |
|  | degradation in the saturated zone: not applicable     |
|  | DT <sub>50f</sub> : not applicable                    |
| Field studies (state location, range or median with number of measurements)                    | DT <sub>90f</sub> : not applicable                    |
|  | not applicable  |
| Anaerobic degradation  | not applicable  |
| Soil photolysis  | not applicable  |
| Non-extractable residues   | not applicable  |
| Relevant metabolites - name and/or code, % of applied a.i. (range and maximum)                 | not applicable  |
| Soil accumulation and plateau concentration  | not available   |

**Adsorption/desorption** (Annex IIA, point XII.7.7; Annex IIIA, point XII.1.2)K<sub>a</sub> , K<sub>d</sub>Average K<sub>F</sub> 2.6 L/kg (range 0.4 – 8.41 L/kg; n = 9);  
average 1/n 0.83 (range 0.726 – 0.955)K<sub>aoc</sub> , K<sub>doc</sub>

no clear relationship with OC

pH dependence (yes / no) (if yes type of dependence)

no

**Fate and behaviour in air** (Annex IIIA, point VII.3, VII.5)

Direct photolysis in air

does not photolyse

Quantum yield of direct photolysis

not applicable

Photo-oxidative degradation in air

not applicable

Volatilization

Negligible – vapour pressure 9.9 x 10<sup>-6</sup> Pa at 25°C**Monitoring data, if available** (Annex VI, para. 44)

Soil (indicate location and type of study)

Range 45-124 mg/kg worldwide

Surface water (indicate location and type of study)

Range &lt; 0.001 – 0.632 mg B/L in surface water (review of monitoring values for 15 EU countries)

Ground water (indicate location and type of study)

not available

Air (indicate location and type of study)

Estimated as 20 ng/m<sup>3</sup> (monitoring values near coastlines)**Chapter 5: Effects on Non-target Species**

**Toxicity data for aquatic species (most sensitive species of each group)**

(Annex IIA, point 8.2, Annex IIIA, point 10.2)

| Species  | Time-scale       | Endpoint                      | Toxicity    |
|--|------------------|-------------------------------|-------------|
| <b>Fish</b>  |                  |                               |             |
| <i>Catostomus latipinnis</i>   | acute (96 h)     | LC50                          | 125 mg B/L  |
| <i>Brachydanio rerio</i>   | chronic (34 d)   | NOEC                          | 1.8 mg B/L  |
| <b>Invertebrates</b>   |                  |                               |             |
| <i>Daphnia magna</i>   | acute (48 h)     | L(E)C50                       | 141 mg B/L  |
| <i>Daphnia magna</i>   | chronic (21 d)   | NOEC                          | 10 mg B/L   |
| <b>Algae</b>   |                  |                               |             |
| <i>Selenastrum capricornutum</i>   | chronic (74.5 h) | NOEC (growth inhibition)      | 17.5 mg B/L |
| <b>Microorganisms</b>  |                  |                               |             |
| <i>Activated sludge</i>  | chronic (3 h)    | NOEC (respiration inhibition) | 17.5 mg B/L |
| An assessment factor of 10 was applied to the lowest chronic NOEC of 1.8 mg B/l. Resulting in a PNEC <sub>add, aquatic</sub> of 0.18 mg B/l. |                  |                               |             |

**Effects on earthworms or other soil non-target organisms (most sensitive species for each group)**Acute toxicity for earthworms  
(Annex IIIA, point XIII.3.2)14-days LC<sub>50</sub>*Lumbricus terrestris*: 473 mg B/kg dwt (geomean of 501 and 447)Reproductive toxicity for earthworms  
(Annex IIIA, point XIII.3.2)

63-days NOEC

*Eisenia andrei*: 54 mg B/kg dwt soil (geomean of 39, 79, 52, 52)

Reproductive toxicity for springtails

28-days NOEC Juvenile reproduction

*Folsomia candida*: 14 mg B/kg dwt soil (geomean of 14 and 14)

Toxicity to plants

120-days NOEC root/shoot ratio

*Hordeum vulgare*: 2 mg B/kg dwt soilAn assessment factor of 5 was applied to the lowest chronic NOEC of 2 mg B/kg dwt. Resulting in a PNEC<sub>add, soil</sub> of 0.4 mg B/kg dwt or 0.35 mg B/kg wwt.

**Effects on soil micro-organisms** (Annex IIA, point 7.4)

|                         |  |
|-------------------------|--|
| Nitrogen mineralization | 20-days EC <sub>10</sub> : 54 mg B/kg dwt soil |
| Carbon mineralization   | no data available                              |

**Effects on terrestrial vertebrates**

|  |   |
|--|---|
| Acute toxicity to mammals<br>(Annex IIIA, point XIII.3.3)      | Oral LD <sub>50</sub><br>Rat: 3450 mg/kg bw   |
| Acute toxicity to birds<br>(Annex IIIA, point XIII.1.1)        | LD <sub>50</sub><br><i>Colinus virginianus</i> : > 527 mg B/kg bw   |
| Dietary toxicity to birds<br>(Annex IIIA, point XIII.1.2)      | 5-days LC50<br><i>Colinus virginianus</i> : > 983 mg B/kg fd; > 571 mg B/kg bw.d<br><i>Anas platyrhynchos</i> : > 2100 mg B/kg fd |
| Reproductive toxicity to birds<br>(Annex IIIA, point XIII.1.3) | no data available   |

**Effects on honeybees** (Annex IIIA, point XIII.3.1)

|                        |                   |
|------------------------|-------------------|
| Acute oral toxicity    | no data available |
| Acute contact toxicity | no data available |

**Effects on other beneficial arthropods** (Annex IIIA, point XIII.3.1)

|                        |                   |
|------------------------|-------------------|
| Acute oral toxicity    | no data available |
| Acute contact toxicity | no data available |
| Acute toxicity to      | no data available |

**Bioconcentration** (Annex IIA, point 7.5)

|  |  |
|--|--|
| Bioconcentration factor (BCF)  | BAF < 10 L/kg (fish)<br>BAF < 30 L/kg (plankton and invertebrates) |
| Depuration time (DT <sub>50</sub> )<br>(DT <sub>90</sub> )             | virtually all boron was eliminated within 24 days                  |
| Level of metabolites (%) in organisms accounting for > 10% of residues | no data available  |

## Appendix II: List of Intended Uses

| Object and/or situation                             | Member State or Country | Product name                                       | Organisms controlled             | Formulation                 |            | Application   |      |                               | Applied amount, assuming a wood density of 500 kg/m <sup>3</sup> (pine wood) |                      |                                   |                                 |
|---|-------------------------|--|----------------------------------|-----------------------------|------------|---|------|-------------------------------|--|----------------------|-----------------------------------|---------------------------------|
|   |                         |  |                                  | Type                        | Conc of as | Method kind   | No   | Interval between applications | kg/m <sup>3</sup> , BAE in wood  | % (w/w), BAE in wood | % (w/v) BAE in treatment solution | kg/m <sup>2</sup> , BAE on wood |
| Wood preservation (PT08)<br>Preventive,<br>UC 1,2,3 | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product | > 99.0%    | industrial; vacuum pressure; closed mixing process; ambient temp        | 1    | na                            | 2.0  | 0.4                  | 6-12 (a)                          | na                              |
|   |                         |  | Termites                         | powder; stand alone product | > 99.0%    | industrial; vacuum pressure; closed mixing process; ambient temp        | 1    | na                            | 8.5  | 1.7                  | 6-12 (a)                          | na                              |
| Wood preservation (PT08)<br>Preventive,<br>UC 1,2,3 | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product | > 99.0%    | industrial; double vacuum pressure; closed mixing process; ambient temp | 1    | na                            | 2.0  | 0.4                  | 6-12 (a)                          | na                              |
|   |                         |  | Termites                         | powder; stand alone product | > 99.0%    | industrial; double vacuum pressure; closed mixing process; ambient temp | 1    | na                            | 8.5  | 1.7                  | 6-12 (a)                          | na                              |
| Wood preservation (PT08)<br>Preventive, UC 1,2,3    | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product | > 99.0%    | industrial; automated dipping; closed mixing process temp 40-60 °C      | 1    | na                            | n.a.   | n.a.                 | 12-35 (a)                         | 0.05                            |
| Wood preservation (PT08)<br>Preventive,<br>UC 1,2,3 | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product | > 99.0%    | industrial; deluge; closed mixing process temp 40-60 °C                 | 1    | na                            | n.a.   | n.a.                 | 12-35 (a)                         | 0.05                            |
| Wood preservation (PT08)<br>Preventive,<br>UC 1,2,3 | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product | > 99.0%    | industrial; automated spraying; closed mixing process temp 40-60 °C     | 1    | na                            |  |                      | 12-35 (a)                         | 0.05                            |
| Wood preservation (PT08)<br>Remedial,<br>UC 4a      | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product | > 99.0%    | professional; in-situ injection; on-site mixing; ambient temp           | 1-10 | 1 hr to 1 yr                  | 2.0  | 0.4                  | 6-12 (a)                          | na                              |

| Object and/or situation                        | Member State or Country | Product name                                       | Organisms controlled             | Formulation                                |            | Application  |      |                               | Applied amount, assuming a wood density of 500 kg/m <sup>3</sup> (pine wood) |                      |                                   |                                 |
|--|-------------------------|--|----------------------------------|--|------------|--|------|-------------------------------|--|----------------------|-----------------------------------|---------------------------------|
|  |                         |  |                                  | Type                                       | Conc of as | Method kind  | No   | Interval between applications | kg/m <sup>3</sup> , BAE in wood  | % (w/w), BAE in wood | % (w/v) BAE in treatment solution | kg/m <sup>2</sup> , BAE on wood |
| Wood preservation (PT08)<br>Remedial,<br>UC 4a | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product                | > 99.0%    | professional; in-situ brushing; on-site mixing; ambient temp | 1-10 | 1 hr to 1 yr                  | n.a.   | na                   | 6-12 (a)                          | 0.05                            |
| Wood preservation (PT08)<br>Remedial,<br>UC 4a | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | powder; stand alone product                | > 99.0%    | professional; in-situ spraying; on-site mixing; ambient temp | 1-10 | 1 hr to 1 yr                  | n.a.   | na                   | 6-12 (a)                          | 0.05                            |
| Wood preservation (PT08)<br>Remedial,<br>UC 4a | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | concentrated dilution; stand alone product | 63         | amateur; in-situ brushing; ambient temp                      | 1    | na                            | na   | na                   | 6-12 (a)                          | 0.05                            |
| Wood preservation (PT08)<br>Remedial,<br>UC 4a | All Member States       | model product containing disodium tetraborates (b) | decay fungi; wood boring insects | Concentrated dilution; stand alone product | 63         | amateur; in-situ spraying; ambient temp                      | 1    | na                            | n.a.   | n.a.                 | 6-12 (a)                          | 0.05                            |

na = not applicable

BAE = boric acid equivalents. For boric acid the conversion factor from boric acid weight units (e.g. gram) to boric acid equivalent weight units (e.g. gram) is 1. The conversion factor from BAE to boron equivalent is 0.175.

UC1• Use Class 1 timber under cover including indoor joinery (preventive)

UC2 Use Class 2 timber under cover including indoor roofing timbers – risk of wetting (preventive)

UC3 Use Class 3 exterior timbers out of ground contact, including joinery protected with a surface coating (preventive)

UC4a Use Class 4a remedial application to timbers in service in ground contact (curative).

(a) Solubility for boric acid is about 50 g/L, or 5% (w/v) BAE; therefore concentrations of 6-35% (w/v) for treatment solutions are not possible

**Appendix III: List of studies**

Data protection is claimed by the applicant in accordance with Article 12.1(c) (i) and (ii) of Council Directive 98/8/EC for all study reports marked "Y" in the "Data Protection Claimed" column of the table below. For studies marked Yes(i) data protection is claimed under Article 12.1(c) (i), for studies marked Yes(ii) data protection is claimed under Article 12.1(c) (ii). These claims are based on information from the applicant. It is assumed that the relevant studies are not already protected in any other Member State of the European Union under existing national rules relating to biocidal products. It was however not possible to confirm the accuracy of this information.

BEU = Borax Europe Limited

**Reference list of studies on the active substance**

| Section No / Reference No                           | Author(s)                              | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner |
|---|--|------|---|----------------------------------|-------|
| A2.1/01   | Merck Index                            | 1996 | Merck Index on CD-rom, version 12.1, 1996   | No                               |       |
| A2.5/01   | CRC                                    | 1999 | CRC Handbook of Chemistry and Physics, electronic version 1999.<br><br>Non-GLP<br>Published   | No                               |       |
| A2.10/01  | Smith, R.A,<br>Ascherl, F.M            | 1999 | Issues concerning the measurement of borate in occupational environments. Am. Ind. Hyg. Assoc. J., 60, No. 5, p.651-658. (September - October 1999)   | No                               |       |
| A3.1.1/01<br>(anhydrous, pentahydrate, decahydrate) | Kirk-Othmer Encyclopedia               | 1992 | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4th Edition, Volume 4, pp 368-386.<br><br>Non-GLP<br>Published   | No                               |       |
| A3.1.1/02<br>(anhydrous, pentahydrate)              | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Neobor, Rijswijk, The Netherlands: TNO Prins Maurits Laboratory, report PML 2002-C41rr, August 2003<br><br>Borax Europe Ltd<br>GLP, provisional: unauthorised version<br>Unpublished | Yes                              | BEU   |
| A3.1.1/03<br>(decahydrate)                          | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Borax Decahydrate, Rijswijk, The Netherlands, TNO Prins Maurits Laboratory, report PML 2002-C43rr, August 2003,<br><br>Borax Europe Ltd<br>GLP<br>Unpublished                        | Yes                              | BEU   |
| A3.1.2/01<br>(anhydrous)                            | CRC                                    | 1999 | CRC Handbook of Chemistry and Physics, electronic version 1999.<br><br>Non-GLP<br>Published   | No                               |       |



| Section No / Reference No            | Author(s)                              | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner |
|--------------------------------------|--|------|---|----------------------------------|-------|
| A3.1.3/01<br>(anhydrous)             | Spruit WET, Van Baar BLM and Wils ERJ  | 2005 | Determination of some physical chemical properties of sodium tetraborate anhydrous. Rijswijk, The Netherlands, TNO Defence Security and Safety. Report TNO-DV2 2005-PU003.<br><br>Borax Europe Ltd.<br><br>GLP<br><br>Unpublished                 | Yes                              | BEU   |
| A3.1.3/02<br>(pentahydrate)          | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Neobor, Rijswijk, The Netherlands: TNO Prins Maurits Laboratory, report PML 2002-C41rr, August 2003<br><br>Borax Europe Ltd<br><br>GLP, provisional: unauthorised version<br><br>Unpublished | Yes                              | BEU   |
| A3.1.3/03<br>(decahydrate)           | Kirk-Othmer Encyclopedia               | 1992 | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4 <sup>th</sup> Edition, Volume 4, pp 368-386.<br><br>Non-GLP<br><br>Published   | No                               |       |
| A3.1.3/04<br>(decahydrate)           | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Borax Decahydrate, Rijswijk, The Netherlands, TNO Prins Maurits Laboratory, report PML 2002-C43rr, August 2003,<br><br>Borax Europe Ltd<br><br>GLP<br><br>Unpublished                        | Yes                              | BEU   |
| A3.2/01<br>(anhydrous, pentahydrate) | Tremain SP                             | 1998 | Boric acid: determination of vapour pressure. Derby, UK: Safepharm Laboratories Ltd, SPL project number 1231/002.<br><br>Borax Europe Ltd.<br><br>GLP<br><br>Unpublished  | Yes                              | BEU   |
| A3.2/02<br>(anhydrous, pentahydrate) | Howarth R, Tremain SP, Bartlett AJ     | 1995 | Disodium octaborate tetrahydrate determination of vapour pressure. Derby, UK: SafePharm Laboratories Ltd, Project number 801/010<br><br>Borax Consolidated Ltd.<br><br>GLP<br><br>Unpublished   | Yes                              | BEU   |
| A3.2/03<br>(decahydrate)             | Kirk-Othmer Encyclopedia               | 1992 | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4 <sup>th</sup> Edition, Volume 4, pp 368-386.<br><br>Non-GLP<br><br>Published   | No                               |       |

| Section No / Reference No                         | Author(s)                              | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner |
|---|--|------|---|----------------------------------|-------|
| A3.4/01<br>(anhydrous)                            | Spruit WET, Van Baar BLM and Wils ERJ  | 2005 | Determination of some physical chemical properties of sodium tetraborate anhydrous. Rijswijk, The Netherlands, TNO Defence Security and Safety. Report TNO-DV2 2005-PU003.<br><br>Borax Europe Ltd.<br><br>GLP<br><br>Unpublished   | Yes                              | BEU   |
| A3.4/02<br>(pentahydrate)                         | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Neobor, Rijswijk, The Netherlands: TNO Prins Maurits Laboratory, report PML 2002-C41rr, August 2003<br><br>Borax Europe Ltd<br><br>GLP, provisional: unauthorised version<br><br>Unpublished                                       | Yes                              | BEU   |
| A3.4/03<br>(pentahydrate)                         | Spruit WET                             | 2005 | Determination of some physical chemical properties of sodium tetraborate pentahydrate. Rijswijk, The Netherlands: TNO Defence, Security and Safety. Report TNO-DV2 2005-PU005.<br><br>Borax Europe Lts<br><br>GLP<br><br>Unpublished  | Yes                              | BEU   |
| A3.4/04<br>(decahydrate)                          | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Borax Decahydrate. Rijswijk, The Netherlands, TNO Prins Maurits Laboratory, report PML 2002-C43rr, August 2003,<br><br>Borax Europe Ltd<br><br>GLP<br><br>Unpublished  | Yes                              | BEU   |
| A3.4/05<br>(decahydrate)                          | Spruit WET                             | 2005 | Determination of some physical chemical properties of sodium tetraborate decahydrate. Rijswijk, The Netherlands: TNO Defence, Security and Safety, report TNO-DV2 2005-PU006.<br><br>Borax Europe Ltd.<br><br>GLP<br><br>Unpublished.   | Yes                              | BEU   |
| A3.5/01<br>(anhydrous, pentahydrate, decahydrate) | Mellor's Comprehensive                 | 1980 | Supplement to Mellor's comprehensive treatise on inorganic and theoretical chemistry, Volume V, Boron, Part A: Boron-Oxygen Compounds, Longman London and New York, ISBN 0-582-46277-0, pp 170-213, pp 224-320, pp 343-344, pp 356-387, and pp 409-500.<br><br>Non-GLP<br><br>Published | No                               |       |

| Section No / Reference No                         | Author(s)                              | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published  | Data Protection Claimed (Yes/No) | Owner |
|---|--|------|---|----------------------------------|-------|
| A3.5/02<br>(anhydrous, pentahydrate, decahydrate) | Kirk-Othmer Encyclopedia               | 1992 | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4 <sup>th</sup> Edition, Volume 4, pp 368-386.<br><br>Non-GLP<br>Published   | No                               |       |
| A3.5/03<br>(pentahydrate)                         | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Neobor, Rijswijk, The Netherlands: TNO Prins Maurits Laboratory, report PML 2002-C41rr, August 2003<br><br>Borax Europe Ltd<br>GLP, provisional: unauthorised version<br>Unpublished | Yes                              | BEU   |
| A3.5/04<br>(decahydrate)                          | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Borax Decahydrate, Rijswijk, The Netherlands, TNO Prins Maurits Laboratory, report PML 2002-C43rr, August 2003,<br><br>Borax Europe Ltd<br>GLP<br>Unpublished                        | Yes                              | BEU   |
| A3.6/01<br>(anhydrous, pentahydrate, decahydrate) | Bell RP, Edwards JO and Jones RB       | 1967 | The structure and acidity of boric acid and their relation to reaction mechanisms. In: The chemistry of boron and its compounds.<br>pp 209-221<br><br>Non GLP<br>Published  | No                               |       |
| A3.6/02<br>(anhydrous, pentahydrate, decahydrate) | Kankaanpera A and Salomaa P.           | 1969 | The structure of boric acid in aqueous solution. Acta Chem. Scand. 23, No. 2, 712-713<br><br>Non-GLP<br>Published   | No                               |       |
| A3.6/03<br>(anhydrous, pentahydrate, decahydrate) | Jenkins HO                             | 1945 | Transactions of the Faraday Society 41, 138-140<br><br>Non-GLP<br>Published   | No                               |       |
| A3.6/04<br>(anhydrous, pentahydrate, decahydrate) | WHO.                                   | 1998 | Guidelines for drinking water quality. 2nd Edition Addendum to Vol 2 Boron, page 15, World Health Organisation, Geneva.<br><br>Non-GLP<br>Published   | No                               |       |
| A3.6/05<br>(anhydrous, pentahydrate, decahydrate) | Hahn FL and Klockmann R                | 1930 | Die höheren Dissoziationsstufen der Phosphorsäure und der Borsäure<br>Z physik. Chem., 151, 80-86.<br><br>Non-GLP<br>Published  | No                               |       |

| Section No / Reference No                         | Author(s)                             | Year    | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner |
|---|---------------------------------------|---------|--|----------------------------------|-------|
| A3.6/06<br>(anhydrous, pentahydrate, decahydrate) | Ingri N                               | 1963    | Equilibrium studies of polyanions containing B <sup>III</sup> , Si <sup>IV</sup> , Ge <sup>IV</sup> , and V <sup>V</sup> . Sven. Kem. Tidskr. 75(4):199-230.<br><br>Non-GLP<br>Published   | No                               |       |
| A3.6/07<br>(anhydrous, pentahydrate, decahydrate) | Maeda M                               | 1979    | Raman spectra of polyborate ions in aqueous solution. J Inorg. Nucl. Chem. vol 41: 1217-1220<br><br>Non-GLP<br>Published   | No                               |       |
| A3.6/08<br>(anhydrous, pentahydrate, decahydrate) | Farmer J                              | 1982    | Structural chemistry in the borate industry,<br><br>Chem and Ind<br><br>Non-GLP<br>Published   | No                               |       |
| A3.6/09<br>(anhydrous, pentahydrate, decahydrate) | Kirk-Othmer Encyclopedia              | 1992    | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4th Edition, Volume 4, pp 368-386.<br><br>Non-GLP<br>Published  | No                               |       |
| A3.6/10<br>(anhydrous, pentahydrate, decahydrate) | Holleman AF and Wiberg E and Wiberg N | 1995    | Lehrbuch der anorganischen Chemie.<br><br>101 <sup>st</sup> ed. De Gruyter, Berlin   | No                               |       |
| A3.6/11<br>(anhydrous, pentahydrate, decahydrate) | De Vette HQM, Otto C, Schoonmade MA   | 2001    | Hydrolysis as a function of pH and identification of breakdown products. A study on the identification and comparison of the dissociation products of Polybor tech, Borax Manufacturing grade and Boric acid manufacturing grade in aqueous solution using Raman spectroscopy.<br><br>TNO report no v99-1153 (TX-2001-001) | Yes                              | BEU   |
| A3.7/01<br>(anhydrous)                            | Kirk-Othmer Encyclopedia              | 1992    | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4th Edition, Volume 4, pp 368-386.<br><br>Non-GLP<br>Published  | No                               |       |
| A3.7/02<br>(pentahydrate)                         | Borax Europe Ltd                      | no date | Product Profile Neobor,<br><br>Borax Europe Ltd, PP2-JH1-3-EU.<br><br>Non-GLP<br>Published   | Yes                              | BEU   |
| A3.7/03<br>(decahydrate)                          | Borax Europe Ltd                      | 1999    | Product Profile Borax Decahydrate,<br><br>Borax Europe , PP1-JJ9-11-WW.<br><br>Non-GLP<br>Published  | Yes                              | BEU   |

| Section No / Reference No                          | Author(s)                              | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published   | Data Protection Claimed (Yes/No) | Owner |
|--|--|------|--|----------------------------------|-------|
| A3.9/01<br>(anhydrous, pentahydrate, decahydrate)  | Barres M                               | 1967 | Contribution to the study of the isopolycondensation of alkaline borates by electrometry and partition<br><br>Rev. Chim. Miner., 4, 803-838;<br><br>Non-GLP<br>Published   | No                               |       |
| A3.9/02<br>(anhydrous, pentahydrate, decahydrate)  | Cordia JA, Bal EA, Mak WA, Wils ERJ.   | 2003 | Determination of some physico-chemical properties of Optibor EP. Rijswijk, The Netherlands: TNO Prins Maurits Laboratory, report PML 2002-C42rr, August 2003<br><br>Borax Europe Ltd.<br><br>GLP, provisional: unauthorised version<br>Unpublished | Yes                              | BEU   |
| A3.9/03<br>(decahydrate)                           | Cordia JA, Bal EA, Mak WA and Wils ERJ | 2003 | Determination of some physico-chemical properties of Borax Decahydrate, Rijswijk, The Netherlands, TNO Prins Maurits Laboratory, report PML 2002-C43rr, August 2003,<br><br>Borax Europe Ltd<br><br>GLP<br>Unpublished                             | Yes                              | BEU   |
| A3.10/01<br>(anhydrous, pentahydrate)              | Kirk-Othmer Encyclopedia               | 1992 | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4th Edition, Volume 4, pp 368-386.<br><br>Not GLP<br>Published  | No                               |       |
| A3.13/01<br>(anhydrous, pentahydrate, decahydrate) | Wurster ED                             | 1963 | Surface tension of aqueous 5-mol borax solutions. Anaheim, California, USA: US Borax Research Corporation, Technical Service Report No. 29A-1.<br><br>Non-GLP<br>Unpublished   | Yes                              | BEU   |
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| A3.16/01<br>(anhydrous, pentahydrate, decahydrate) | Mak WA                                 | 2004 | Statement 014.16017/01.35. Rijswijk, The Netherlands: TNO Prins Maurits Laboratory<br><br>Non-GLP<br>Unpublished   | Yes                              | BEU   |

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| A 4.1/01                  | BSI                                 | 1979 | BS 5688: Part 8: 1979, ISO 2216-1972<br><br>British standard methods of test for boric acid, boric oxide, disodium tetraborates, sodium perborates and crude sodium borates for industrial use.<br><br>Part 8. Determination of sodium oxide and boric oxide contents of crude sodium borates<br><br>(ISO title: Crude Sodium Borates For Industrial Use-Determination Of Sodium Oxide And Boric Oxide Contents- Volumetric Method)<br><br>Non-GLP<br><br>Published | No                               | BSI/ISO |
| A5/01                     | Ingri N                             | 1963 | Equilibrium studies of polyanions containing B <sup>III</sup> , Si <sup>IV</sup> , Ge <sup>IV</sup> , and V <sup>V</sup> . Sven. Kem. Tidskr. 75(4):199-230.<br><br>Non-GLP<br><br>Published  | No                               |         |
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| A5/03                     | De Vette HQM, Otto C, Schoonmade MA | 2001 | Hydrolysis as a function of pH and identification of breakdown products. A study on the identification and comparison of the dissociation products of Polybor tech. Borax Manufacturing grade and Boric acid manufacturing grade in aqueous solution using Raman spectroscopy.<br><br>TNO report no v99-1153 (TX-2001-001)  | Yes                              | BEU     |
| A5/04                     | Maeda M                             | 1979 | Raman spectra of polyborate ions in aqueous solution. J Inorg. Nucl. Chem. vol 41: 1217-1220<br><br>Non-GLP<br><br>Published  | No                               |         |
| A5/05                     | Lloyd JD                            | 1993 | The mechanisms of action of boron containing preservatives. Thesis submitted for degree of Doctor of Philosophy of the University of London and the Diploma of Membership of Imperial College (page 45 and 47 Tables 4 and 5)<br><br>Non-GLP<br><br>Published   | No                               |         |
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| A5.3/07                   | Bechgaard C, Borup L, Henningsson B and Jermer J                 | 1979 | Remedial treatment of creosoted railway sleepers of redwood by selective application of boric acid.<br><br>Stockholm, Sweden: Swedish Wood Preservation Institute, report no 135E, ISSN 0346-7090.<br><br>Non-GLP<br>Published   | No                               |       |
| A5.3/08                   | Doi S, Mori M and Mineki Y                                       | 1994 | Preservative efficacy of boric acid triethanol amine solution against wood decay fungi.<br><br>Stockholm, Sweden: The International Research Group on Wood Preservation, document IRG/WP 94-30050<br><br>Paper prepared for the 25th Annual Meeting, Bali, Indonesia, May-June, 1994<br><br>Non-GLP<br>Published | No                               |       |

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| A5.3/10                   | Cummins JE and Wilson HB | 1936 | The preservation of timber against the attacks of powder post borer ( <i>Lyctus brunneus</i> Stephens) by impregnation with various chemicals.<br>J. Counc. Sci. Ind. Res., 9(1):37-56.<br>Non-GLP<br>Published                                     | No                               |       |
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| A5.3/17                   | Bravery AF and Carey JK              | 1983 | A review of the data on the toxicity of boric acid to fungi and insects attacking wood.<br><br>Building Research Advisory Service, Job no. P820648<br><br>Non-GLP<br>Published  | No                               |       |
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| A5.4.1/01                 | Lloyd JD                             | 1998 | Borates and their biological applications.<br><br>Stockholm, Sweden: The International Research Group on Wood Preservation. Doc no. IRG/WP98-30178.<br><br>Paper prepared for the 29th annual meeting , Maastricht, The Netherlands, June 1998<br><br>Non-GLP<br>Published.   | No                               |       |
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| A6.4.1/01                 | Weir RJ.  | 1996 | Two-Year Dietary Feeding -- Dogs. Borax (Sodium Tetraborate Decahydrate). Final Report. Hazleton Laboratories, Inc. Report TX-66-20. July 8, 1966. (1967)<br><br>No GLP<br><br>Published  | Yes                              | BEU   |
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| A6.4.1/04                 | IPCS  | 1998 | Environmental Health Criteria 204. Boron. P. 86.<br><br>No GLP<br><br>Published   | No                               |       |
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| A6.2-10/01                | Farmer J  | 1982 | Structural chemistry in the borate industry,<br><br>Chem and Ind<br><br>Non-GLP<br>Published   | No                               |       |
| A6.2-10/02                | Ingri, N  | 1963 | Equilibrium studies of polyanions containing BIII, SiIV, GeIV, and VV. Sven. Kem. Tidskr. 75(4):199-230.<br><br>Non-GLP<br>Published   | No                               |       |
| A6.2-10/03                | Kirk-Othmer Encyclopedia  | 1992 | Kirk-Othmer Encyclopedia of Chemical Technology, John Wiley & Sons Inc., 4th Edition, Volume 4, pp 368-386.<br><br>Non-GLP<br>Published  | No                               |       |
| A6.2-10/04                | Holleman AF and Wiberg E and Wiberg N   | 1995 | Lehrbuch der anorganischen Chemie.<br><br>101st ed. De Gruyter, Berlin   | No                               |       |
| A6.2-10/05                | De Vette HQM, Otto C, Schoonmade MA   | 2001 | Hydrolysis as a function of pH and identification of breakdown products. A study on the identification and comparison of the dissociation products of Polybor tech, Borax Manufacturing grade and Boric acid manufacturing grade in aqueous solution using Raman spectroscopy.<br><br>TNO report no v99-1153 (TX-2001-001) | Yes                              | BEU   |
| A6.2-10/06                | Maeda, M  | 1979 | Raman spectra of polyborate ions in aqueous solution. J Inorg. Nucl. Chem. vol 41: 1217-1220<br><br>Non-GLP<br>Published   | No                               |       |
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| A7.1.1.1.1/01             | De Vette HQM, Otto C, Schoonmade MA   | 2001 | Hydrolysis as a function of pH and identification of breakdown products. A study on the identification and comparison of the dissociation products of Polybor tech, Borax Manufacturing grade and Boric acid manufacturing grade in aqueous solution using Raman spectroscopy.<br><br>TNO report no v99-1153 (TX-2001-001) | Yes                              | BEU   |
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| A7.1.1.1.1/03             | Holleman AF and Wiberg E and Wiberg N   | 1995 | Lehrbuch der anorganischen Chemie.<br><br>101st ed. De Gruyter, Berlin   | No                               |       |
| A7.1.1.1.1/04             | Kemp, P.H.  | 1956 | "The Chemistry of Borates Part 1", copyright   | No                               |       |
| A7.1.1.1.1/05             | Maeda, M  | 1979 | Raman spectra of polyborate ions in aqueous solution. J Inorg. Nucl. Chem. vol 41: 1217-1220<br><br>Non-GLP<br><br>Published   | No                               |       |
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| Section No / Reference No | Author(s)             | Year | Title.<br>Source (where different from company)<br>Company, Report No.<br>GLP (where relevant) / (Un)Published                | Data Protection Claimed (Yes/No) | Owner |
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| B5.10/01                  | Cookson LJ and Pham K | 1996 | Relative tolerance of twenty basidiomycetes to boric acid.<br><br>Mat und Org 29 (3) 187-196.<br><br>Non-GLP<br><br>Published | No                               | CSIRO |

**Reference list of literature and studies that were added to the dossier after submission of the summary dossier by the applicant**

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| Doc IIA                   | Adelhardt, M. and Fogh, A.                                 | 1983  | Enquiries to a centre for information on poisoning during a period of 12 years (English translation). Boraks – er de farligt (12 ars forespørgsler til giftinformationscentralen) Ugeskr. Laeger. 145 3808 – 3810. | No                               |       |
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| Doc IIIA                  | Alexander, G.V., Nusbaum, R.E. and MacDonald, N.S.         | 1951  | The boron and lithium content of human bones, J. Biol. Chem. 192, 489-496.   | No                               |       |
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| Doc IIA                   | Birge, W.J. and Black, J.A.                                | 1977  | Sensitivity of vertebrate embryos to boron compounds EPA-560/1-76-008, PB 267 085. Office of toxic substances, US EPA, Washington D.C. 20460, USA  | No                               |       |
| Doc IIA                   | Black, J.A., Barnum J.B. and Birge, W.J.                   | 1993  | An integrated assessment of the biological effects of boron to the rainbow trout. Chemosphere 26, 1383-1413.   | No                               |       |
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|                               | Bringmann, G. and Kühn, R.   | 1976  | Vergleichende Befunde der Schadwirkung wassergefährdender Stoffe gegen Bakterien (Pseudomonas putida) und Blaualgen (Microcystis aeruginosa). Gwf-wasser/abwasser 117, H.9, 410-413   | No                               |       |
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|                               | Bringmann, G. and Kühn, R.   | 1980b | Bestimmung der biologischen Schadwirkung wassergefährdender Stoffe gegen Protozoen. II. Bakterienfressende Ciliaten. Z. f. Wasser- und Abwasser-Forsch. 13, 26-31.  | No                               |       |
| Doc IIA                       | Brown, T.F., McCormick, M.E., Morris, D.R. and Zeringue, L.K.                      | 1989  | Effects of dietary boron on mineral balance in sheep. Nutr. Res. 9, 503-521.  | No                               |       |
| Doc IIIA (sodium tetraborate) | Bruze, M., Hradil, E., Eriksohn, I-L., Grubberger, B. and Widstrom, L.             | 1995  | Occupational allergic contact dermatitis from alkanolamineborates in metalworking fluids, Contact Dermatitis 32, 24 -27.  | No                               |       |
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| Doc IIIA (sodium tetraborate) | Cerven, D.R.   | 2000  | Acute eye irritation on rabbits: EPA Reg. No 1624-1, Borax 5 mol., Sodium tetraborate penthydrate Lot #OE26. Study no MB 00-8677.04 MB Research Laboratories, Spinnerstown PA 18968. Unpublished report to US Borax (TX2000005.pdf) | No                               |       |

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## Appendix IV: List of standard terms and abbreviations

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| A                          | ampere   |
| ACh                        | acetylcholine  |
| AChE                       | acetylcholinesterase                                 |
| ADI                        | acceptable daily intake                              |
| ADME                       | administration distribution metabolism and excretion |
| ADP                        | adenosine diphosphate                                |
| AE                         | acid equivalent                                      |
| AF                         | assessment factor                                    |
| AFID                       | alkali flame-ionisation detector or detection        |
| A/G                        | albumin/globulin ratio                               |
| ai                         | active ingredient                                    |
| ALD <sub>50</sub>          | approximate median lethal dose, 50%                  |
| ALT                        | alanine aminotransferase (SGPT)                      |
| <i>Ann.</i>                | Annex  |
| AOEL                       | acceptable operator exposure level                   |
| AMD                        | automatic multiple development                       |
| ANOVA                      | analysis of variance                                 |
| AP                         | alkaline phosphatase                                 |
| approx                     | approximate  |
| ARC                        | anticipated residue contribution                     |
| ARfD                       | acute reference dose                                 |
| as                         | active substance                                     |
| AST                        | aspartate aminotransferase (SGOT)                    |
| ASV                        | air saturation value                                 |
| ATP                        | adenosine triphosphate                               |
| BAF                        | bioaccumulation factor                               |
| BCF                        | bioconcentration factor                              |
| bfa                        | body fluid assay                                     |
| BOD                        | biological oxygen demand                             |
| bp                         | boiling point  |
| BPD                        | Biocidal Products Directive                          |
| BSAF                       | biota-sediment accumulation factor                   |
| BSE                        | bovine spongiform encephalopathy                     |
| BSP                        | bromosulphophthalein                                 |

| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
| Bt                         | <i>Bacillus thuringiensis</i>   |
| Bti                        | <i>Bacillus thuringiensis israelensis</i>   |
| Btk                        | <i>Bacillus thuringiensis kurstaki</i>  |
| Btt                        | <i>Bacillus thuringiensis tenebrionis</i>   |
| BUN                        | blood urea nitrogen   |
| bw                         | body weight   |
| c                          | centi- ( $\times 10^{-2}$ )   |
| °C                         | degrees Celsius (centigrade)  |
| CA                         | controlled atmosphere   |
| CAD                        | computer aided design   |
| CADDY                      | computer aided dossier and data supply (an electronic dossier interchange and archiving format) |
| cd                         | candela   |
| CDA                        | controlled drop(let) application  |
| cDNA                       | complementary DANN  |
| CEC                        | cation exchange capacity  |
| <i>cf</i>                  | confer, compare to  |
| CFU                        | colony forming units  |
| ChE                        | cholinesterase  |
| CI                         | confidence interval   |
| CL                         | confidence limits   |
| cm                         | centimetre  |
| CNS                        | central nervous system  |
| COD                        | chemical oxygen demand  |
| CPK                        | creatinine phosphatase  |
| cv                         | coefficient of variation  |
| Cv                         | ceiling value   |
| d                          | day(s)  |
| DES                        | diethylstilboestrol   |
| DIS                        | draft international standard ( <i>ISO</i> )   |
| DMSO                       | dimethylsulfoxide   |
| DNA                        | deoxyribonucleic acid   |
| dna                        | designated national authority   |
| DO                         | dissolved oxygen  |
| DOC                        | dissolved organic carbon  |
| dpi                        | days post inoculation   |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| DRP                        | detailed review paper ( <i>OECD</i> )  |
| DT <sub>50(lab)</sub>      | period required for 50 percent dissipation (under laboratory conditions) (define method of estimation) |
| DT <sub>90(field)</sub>    | period required for 90 percent dissipation (under field conditions) (define method of estimation)      |
| dw                         | dry weight   |
| DWQG                       | drinking water quality guidelines  |
| ε                          | decadic molar extinction coefficient   |
| EC <sub>50</sub>           | median effective concentration   |
| ECD                        | electron capture detector  |
|                            |  |
| ED <sub>50</sub>           | median effective dose  |
| EDI                        | estimated daily intake   |
| EINECS                     | European inventory of existing commercial substances   |
| ELINCS                     | European list of notified chemical substances  |
| ELISA                      | enzyme linked immunosorbent assay  |
| e-mail                     | electronic mail  |
| EMDI                       | estimated maximum daily intake   |
| EN                         | European norm  |
| EPMA                       | electron probe micro-analysis  |
| ERL                        | extraneous residue limit   |
| ESPE46/51                  | evaluation system for pesticides   |
| EUSES                      | European Union system for the evaluation of substances   |
| F                          | field  |
| F <sub>0</sub>             | parental generation  |
| F <sub>1</sub>             | filial generation, first   |
| F <sub>2</sub>             | filial generation, second  |
| FBS                        | full base set  |
| FELS                       | fish early-life stage  |
| FIA                        | fluorescence immuno-assay  |
| FID                        | flame ionisation detector  |
| F <sub>mol</sub>           | fractional equivalent of the metabolite's molecular weight compared to the active substance            |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| FOB                        | functional observation battery                               |
| f <sub>oc</sub>            | organic carbon factor (compartment dependent)                |
| fp                         | freezing point   |
| FPD                        | flame photometric detector                                   |
| FPLC                       | fast protein liquid chromatography                           |
| g                          | gram(s)  |
| GAP                        | good agricultural practice                                   |
| GC                         | gas chromatography   |
| GC-EC                      | gas chromatography with electron capture detector            |
| GC-FID                     | gas chromatography with flame ionisation detector            |
| GC-MS                      | gas chromatography-mass spectrometry                         |
| GC-MSD                     | gas chromatography with mass-selective detection             |
| GEP                        | good experimental practice                                   |
| GFP                        | good field practice  |
| GGT                        | gamma glutamyl transferase                                   |
| GI                         | gastro-intestinal  |
| GIT                        | gastro-intestinal tract                                      |
| GL                         | guideline level  |
| GLC                        | gas liquid chromatography                                    |
| GLP                        | good laboratory practice                                     |
| GM                         | geometric mean   |
| GMO                        | genetically modified organism                                |
| GMM                        | genetically modified micro-organism                          |
| GPC                        | gel-permeation chromatography                                |
| GPS                        | global positioning system                                    |
| GSH                        | glutathione  |
| GV                         | granulosevirus   |
| h                          | hour(s)  |
| H                          | Henry's Law constant (calculated as a unitless value)        |
| ha                         | hectare(s)   |
| Hb                         | haemoglobin  |
| HC5                        | concentration which will be harmless to at least 95 % of the |

| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
|                            | species present with a given level of confidence (usually 95 %)               |
| HCG                        | human chorionic gonadotropin  |
| Hct                        | haematocrit   |
| HDT                        | highest dose tested   |
| hL                         | hectolitre  |
| HEED                       | high energy electron diffraction  |
| HID                        | helium ionisation detector  |
| HPAEC                      | high performance anion exchange chromatography                                |
| HPLC                       | high pressure liquid chromatography or high performance liquid chromatography |
| HPLC-MS                    | high pressure liquid chromatography - mass spectrometry                       |
| HPPLC                      | high pressure planar liquid chromatography                                    |
| HPTLC                      | high performance thin layer chromatography                                    |
| HRGC                       | high resolution gas chromatography  |
| H <sub>s</sub>             | Shannon-Weaver index  |
| Ht                         | haematocrit   |
| HUSS                       | human and use safety standard   |
| I                          | indoor  |
| I <sub>50</sub>            | inhibitory dose, 50%  |
| IC <sub>50</sub>           | median immobilisation concentration or median inhibitory concentration 1      |
| ICM                        | integrated crop management  |
| ID                         | ionisation detector   |
| IEDI                       | international estimated daily intake  |
| IGR                        | insect growth regulator   |
| im                         | intramuscular   |
| inh                        | inhalation  |
| INT                        | 2-p-iodophenyl-3-p-nitrophenyl-5-phenyltetrazoliumchloride testing method     |
| ip                         | intraperitoneal   |
| IPM                        | integrated pest management  |
| IR                         | infrared  |

| Stand. term / Abbreviation  | Explanation   |
|-----------------------------|---|
| ISBN                        | international standard book number  |
| ISSN                        | international standard serial number  |
| IUCLID                      | International Uniform Chemical Information Database   |
| iv                          | intravenous   |
| IVF                         | <i>in vitro</i> fertilisation   |
| k ( <i>in combination</i> ) | kilo  |
| k                           | rate constant for biodegradation  |
| K                           | Kelvin  |
| K <sub>a</sub>              | acid dissociation constant  |
| K <sub>b</sub>              | base dissociation constant  |
| K <sub>ads</sub>            | adsorption constant   |
| K <sub>des</sub>            | apparent desorption coefficient   |
| K <sub>F</sub>              | Freundlich distribution constant, indicating the relationship between de amount sorbed and equilibrium concentration in in water. |
| kg                          | kilogram  |
| K <sub>H</sub>              | Henry's Law constant (in atmosphere per cubic metre per mole)   |
| K <sub>oc</sub>             | organic carbon adsorption coefficient   |
| K <sub>om</sub>             | organic matter adsorption coefficient   |
| K <sub>ow</sub>             | octanol-water partition coefficient   |
| K <sub>p</sub>              | solid-water partition coefficient   |
| kPa                         | kilopascal(s)   |
| l, L                        | litre   |
| LAN                         | local area network  |
| LASER                       | light amplification by stimulated emission of radiation   |
| LBC                         | loosely bound capacity  |
| LC                          | liquid chromatography   |
| LC-MS                       | liquid chromatography- mass spectrometry  |
| LC <sub>50</sub>            | lethal concentration, median  |
| LCA                         | life cycle analysis   |
| LC-MS-MS                    | liquid chromatography with tandem mass spectrometry   |

| Stand. term / Abbreviation | Explanation                                    |
|----------------------------|--|
| LD <sub>50</sub>           | lethal dose, median; dosis letalis media       |
| LDH                        | lactate dehydrogenase                          |
| ln                         | natural logarithm                              |
| LOAEC                      | lowest observable adverse effect concentration |
| LOAEL                      | lowest observable adverse effect level         |
| LOD                        | limit of detection                             |
| LOEC                       | lowest observable effect concentration         |
| LOEL                       | lowest observable effect level                 |
| log                        | logarithm to the base 10                       |
| LOQ                        | limit of quantification (determination)        |
| LPLC                       | low pressure liquid chromatography             |
| LSC                        | liquid scintillation counting or counter       |
| LSD                        | least squared denominator multiple range test  |
| LSS                        | liquid scintillation spectrometry              |
| LT                         | lethal threshold                               |
| m                          | metre  |
| M                          | molar  |
| µm                         | micrometre (micron)                            |
| MAC                        | maximum allowable concentration                |
| MAK                        | maximum allowable concentration                |
| MC                         | moisture content                               |
| MCH                        | mean corpuscular haemoglobin                   |
| MCHC                       | mean corpuscular haemoglobin concentration     |
| MCV                        | mean corpuscular volume                        |
| MDL                        | method detection limit                         |
| MFO                        | mixed function oxidase                         |
| µg                         | microgram                                      |
| mg                         | milligram                                      |
| MHC                        | moisture holding capacity                      |
| MIC                        | minimum inhibitory concentration               |
| min                        | minute(s)                                      |

| Stand. term / Abbreviation | Explanation                              |
|----------------------------|--|
| MKC                        | minimum killing concentration            |
| mL                         | millilitre                               |
| MLT                        | median lethal time                       |
| MLD                        | minimum lethal dose                      |
| mm                         | millimetre                               |
| MMAD                       | mass median aerodynamic diameter         |
| mo                         | month(s)                                 |
| MOE                        | margin of exposure                       |
| mol                        | mole(s)                                  |
| MOS                        | margin of safety                         |
| mp                         | melting point                            |
| MRE                        | maximum residue expected                 |
| MRL                        | maximum residue level or limit           |
| mRNA                       | messenger ribonucleic acid               |
| MS                         | mass spectrometry                        |
| MSDS                       | material safety data sheet               |
| MTD                        | maximum tolerated dose                   |
| MT                         | material test                            |
| MW                         | molecular weight                         |
| n.a.                       | not applicable                           |
| n-                         | normal (defining isomeric configuration) |
| n                          | number of observations                   |
| NAEL                       | no adverse effect level                  |
| nd                         | not detected                             |
| NEDI                       | national estimated daily intake          |
| NEL                        | no effect level                          |
| NERL                       | no effect residue level                  |
| ng                         | nanogram                                 |
| nm                         | nanometre                                |
| NMR                        | nuclear magnetic resonance               |
| no, n <sup>o</sup>         | number                                   |
| NOAEC                      | no observed adverse effect concentration |
| NOAEL                      | no observed adverse effect level         |
| NOEC                       | no observed effect concentration         |
| NOED                       | no observed effect dose                  |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| NOEL                       | no observed effect level                               |
| NOIS                       | notice of intent to suspend                            |
| NPD                        | nitrogen-phosphorus detector or detection              |
| NPV                        | nuclear polyhedrosis virus                             |
| NR                         | not reported   |
| NTE                        | neurotoxic target esterase                             |
| OC                         | organic carbon content                                 |
| OCR                        | optical character recognition                          |
| ODP                        | ozone-depleting potential                              |
| ODS                        | ozone-depleting substances                             |
| OEL                        | occupational exposure limit                            |
| OH                         | hydroxide  |
| OJ                         | Official Journal                                       |
| OM                         | organic matter content                                 |
| Pa                         | pascal   |
| PAD                        | pulsed amperometric detection                          |
| 2-PAM                      | 2-pralidoxime  |
| pc                         | paper chromatography                                   |
| PC                         | personal computer                                      |
| PCV                        | haematocrit (packed corpuscular volume)                |
| PEC                        | predicted environmental concentration                  |
| PEC <sub>A</sub>           | predicted environmental concentration in air           |
| PEC <sub>S</sub>           | predicted environmental concentration in soil          |
| PEC <sub>SW</sub>          | predicted environmental concentration in surface water |
| PEC <sub>GW</sub>          | predicted environmental concentration in ground water  |
| PED                        | plasma-emissions-detector                              |
| pH                         | pH-value   |
| PHED                       | pesticide handler's exposure data                      |
| PIC                        | prior informed consent                                 |
| pic                        | phage inhibitory capacity                              |
| PIXE                       | proton induced X-ray emission                          |
| pKa                        | negative logarithm (to the base 10)                    |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
|                            | of the acid dissociation constant  |
| pKb                        | negative logarithm (to the base 10) of the base dissociation constant    |
| PNEC                       | predicted no effect concentration (compartment to be added as subscript) |
| po                         | by mouth   |
| POP                        | persistent organic pollutants  |
| ppb                        | parts per billion (10 <sup>-9</sup> )                                    |
| PPE                        | personal protective equipment  |
| ppm                        | parts per million (10 <sup>-6</sup> )                                    |
| PPP                        | plant protection product   |
| ppq                        | parts per quadrillion (10 <sup>-24</sup> )                               |
| ppt                        | parts per trillion (10 <sup>-12</sup> )                                  |
| PSP                        | phenolsulfophthalein   |
| PrT                        | prothrombin time   |
| PRL                        | practical residue limit  |
| PT                         | product type   |
| PT(CEN)                    | project team CEN   |
| PTDI                       | provisional tolerable daily intake                                       |
| PTT                        | partial thromboplastin time  |
| QA                         | quality assurance  |
| QAU                        | quality assurance unit   |
| (Q)SAR                     | quantitative structure-activity relationship                             |
| r                          | correlation coefficient  |
| r <sup>2</sup>             | coefficient of determination   |
| RA                         | risk assessment  |
| RBC                        | red blood cell   |
| REI                        | restricted entry interval  |
| RENI                       | Registry Nomenclature Information System                                 |
| Rf                         | retardation factor   |
| RfD                        | reference dose   |
| RH                         | relative humidity  |
| RL <sub>50</sub>           | median residual lifetime   |
| RNA                        | ribonucleic acid   |
| RP                         | reversed phase   |

| Stand. term / Abbreviation | Explanation                                    |
|----------------------------|--|
| rpm                        | revolutions per minute                         |
| rRNA                       | ribosomal ribonucleic acid                     |
| RRT                        | relative retention time                        |
| RSD                        | relative standard deviation                    |
| s                          | second   |
| S                          | solubility                                     |
| SAC                        | strong adsorption capacity                     |
| SAP                        | serum alkaline phosphatase                     |
| SAR                        | structure/activity relationship                |
| SBLC                       | shallow bed liquid chromatography              |
| sc                         | subcutaneous                                   |
| sce                        | sister chromatid exchange                      |
| SCAS                       | semi-continuous activated sludge               |
| SCTER                      | smallest chronic toxicity exposure ratio (TER) |
| SD                         | standard deviation                             |
| se                         | standard error                                 |
| SEM                        | standard error of the mean                     |
| SEP                        | standard evaluation procedure                  |
| SF                         | safety factor                                  |
| SFC                        | supercritical fluid chromatography             |
| SFE                        | supercritical fluid extraction                 |
| SIMS                       | secondary ion mass spectroscopy                |
| S/L                        | short term to long term ratio                  |
| SMEs                       | small and medium sized enterprises             |
| SOP                        | standard operating procedures                  |
| sp                         | species (only after a generic name)            |
| SPE                        | solid phase extraction                         |
| SPF                        | specific pathogen free                         |
| spp                        | subspecies                                     |
| SSD                        | sulphur specific detector                      |
| SSMS                       | spark source mass spectrometry                 |
| STEL                       | short term exposure limit                      |
| STER                       | smallest toxicity exposure ratio (TER)         |
| STMR                       | supervised trials median residue               |
| STP                        | sewage treatment plant                         |

| Stand. term / Abbreviation | Explanation  |
|----------------------------|--|
| t                          | tonne(s) (metric ton)  |
| $t_{1/2}$                  | half-life (define method of estimation)                          |
| T <sub>3</sub>             | tri-iodothyroxine  |
| T <sub>4</sub>             | thyroxine  |
| T <sub>25</sub>            | tumorigenic dose that causes tumours in 25 % of the test animals |
| TADI                       | temporary acceptable daily intake                                |
| TBC                        | tightly bound capacity   |
| TCD                        | thermal conductivity detector                                    |
| TG                         | technical guideline, technical group                             |
| TGD                        | Technical guidance document                                      |
| TID                        | thermionic detector, alkali flame detector                       |
| TDR                        | time domain reflectometry  |
| TER                        | toxicity exposure ratio  |
| TER <sub>I</sub>           | toxicity exposure ratio for initial exposure                     |
| TER <sub>ST</sub>          | toxicity exposure ratio following repeated exposure              |
| TER <sub>LT</sub>          | toxicity exposure ratio following chronic exposure               |
| tert                       | tertiary (in a chemical name)                                    |
| TEP                        | typical end-use product  |
| TGGE                       | temperature gradient gel electrophoresis                         |
| TIFF                       | tag image file format  |
| TLC                        | thin layer chromatography  |
| Tlm                        | median tolerance limit   |
| TLV                        | threshold limit value  |
| TMDI                       | theoretical maximum daily intake                                 |
| TMRC                       | theoretical maximum residue contribution                         |
| TMRL                       | temporary maximum residue limit                                  |
| TNsG                       | technical notes for guidance                                     |
| TOC                        | total organic carbon   |
| Tremcard                   | transport emergency card   |
| tRNA                       | transfer ribonucleic acid  |
| TSH                        | thyroid stimulating hormone (thyrotropin)                        |



| Stand. term / Abbreviation | Explanation   |
|----------------------------|---|
| TTC                        | 2,3,5-triphenylterazoliumchloride testing method                                    |
| TWA                        | time weighted average   |
| UDS                        | unscheduled DNA synthesis   |
| UF                         | uncertainty factor (safety factor)  |
| ULV                        | ultra low volume  |
| UR                         | unit risk   |
| UV                         | ultraviolet   |
| UVC                        | unknown or variable composition, complex reaction products                          |
| UVCB                       | undefined or variable composition, complex reaction products in biological material |
| v/v                        | volume ratio (volume per volume)  |

| Stand. term / Abbreviation | Explanation                 |
|----------------------------|-----------------------------|
| vis                        | visible                     |
| WBC                        | white blood cell            |
| wk                         | week                        |
| wt                         | weight                      |
| w/v                        | weight per volume           |
| ww                         | wet weight                  |
| w/w                        | weight per weight           |
| XRFA                       | X-ray fluorescence analysis |
| yr                         | year                        |
| <                          | less than                   |
| ≤                          | less than or equal to       |
| >                          | greater than                |
| ≥                          | greater than or equal to    |

### Abbreviations of Organisations and Publications

| Abbreviation | Explanation   |
|--------------|---|
| ASTM         | American Society for Testing and Materials              |
| BA           | Biological Abstracts (Philadelphia)                     |
| BART         | Beneficial Arthropod Registration Testing Group         |
| BBA          | German Federal Agency of Agriculture and Forestry       |
| CA(S)        | Chemical Abstracts (System)                             |
| CAB          | Centre for Agriculture and Biosciences International    |
| CAC          | Codex Alimentarius Commission                           |
| CAS          | Chemical Abstracts Service                              |
| CCFAC        | Codex Committee on Food Additives and Contaminants      |
| CCGP         | Codex Committee on General Principles                   |
| CCPR         | Codex Committee on Pesticide Residues                   |
| CCRVDF       | Codex Committee on Residues of Veterinary Drugs in Food |
| CE           | Council of Europe                                       |

| Abbreviation | Explanation   |
|--------------|---|
| CEC          | Commission of the European Communities                                  |
| CEFIC        | European Chemical Industry Council                                      |
| CEN          | European Committee for Normalisation                                    |
| CEPE         | European Committee for Paints and Inks                                  |
| CIPAC        | Collaborative International Pesticides Analytical Council Ltd           |
| CMA          | Chemicals Manufacturers Association                                     |
| COREPER      | Comite des Representants Permanents                                     |
| COST         | European Co-operation in the field of Scientific and Technical Research |
| DG           | Directorate General   |
| DIN          | German Institute for Standardisation                                    |
| EC           | European Commission   |
| ECB          | European Chemicals Bureau   |
| ECCO         | European Commission Co-ordination                                       |

| Abbreviation | Explanation  |
|--------------|--|
| ECDIN        | Environmental Chemicals Data and Information Network of the European Communities |
| ECDIS        | European Environmental Chemicals Data and Information System                     |
| ECE          | Economic Commission for Europe   |
| ECETOC       | European Chemical Industry Ecology and Toxicology Centre                         |
| EDEXIM       | European Database on Export and Import of Dangerous Chemicals                    |
| EEC          | European Economic Community  |
| EHC          | Environmental Health Criteria  |
| EINECS       | European Inventory of Existing Commercial Chemical Substances                    |
| ELINCS       | European List of New Chemical Substances   |
| EMIC         | Environmental Mutagens Information Centre  |
| EPA          | Environmental Protection Agency  |
| EPAS         | European Producers of Antimicrobial Substances                                   |
| EPFP         | European Producers of Formulated Preservatives                                   |
| EPO          | European Patent Office   |
| EPPO         | European and Mediterranean Plant Protection Organization                         |
| ESCORT       | European Standard Characteristics of Beneficials Regulatory Testing              |
| EU           | European Union   |
| EUPHIDS      | European Pesticide Hazard Information and Decision Support System                |
| EUROPOEM     | European Predictive Operator Exposure Model                                      |
| EWMP         | European Wood Preservation Manufacturers   |
| FAO          | Food and Agriculture Organization of the UN                                      |
| FOCUS        | Forum for the Co-ordination of Pesticide Fate Models and their Use               |
| FRAC         | Fungicide Resistance Action Committee  |
| GATT         | General Agreement on Tariffs and Trade   |
| GAW          | Global Atmosphere Watch  |
| GIFAP        | Groupement International des   |

| Abbreviation     | Explanation   |
|------------------|---|
|                  | Associations Nationales de Fabricants de Produits Agrochimiques (now known as GCPF)                 |
| GCOS             | Global Climate Observing System   |
| GCPF             | Global Crop Protection Federation (formerly known as GIFAP)   |
| GEDD             | Global Environmental Data Directory   |
| GEMS             | Global Environmental Monitoring System  |
| GRIN             | Germplasm Resources Information Network   |
| IARC             | International Agency for Research on Cancer   |
| IATS             | International Academy of Toxicological Science  |
| ICBP             | International Council for Bird Preservation   |
| ICCA             | International Council of Chemical Associations  |
| ICES             | International Council for the Exploration of the Seas   |
| ILO              | International Labour Organization   |
| IMO              | International Maritime Organisation   |
| IOBC             | International Organization for Biological Control of Noxious Animals and Plants                     |
| IPCS             | International Programme on Chemical Safety  |
| IRAC             | Insecticide Resistance Action Committee   |
| ISCO             | International Soil Conservation Organization  |
| ISO              | International Organization for Standardisation  |
| IUPAC            | International Union of Pure and Applied Chemistry   |
| JECFA<br>FAO/WHO | Joint Expert Committee on Food Additives  |
| JFCMP            | Joint FAO/WHO Food and Animal Feed Contamination Monitoring Programme                               |
| JMP              | Joint Meeting on Pesticides (WHO/FAO)   |
| JMPR             | Joint Meeting of the FAO Panel of Experts on Pesticide Residues in Food and the Environment and the |

| Abbreviation | Explanation  |
|--------------|--|
|              | WHO Expert Group on Pesticide Residues (Joint Meeting on Pesticide Residues)                               |
| MITI         | Ministry of International Trade and Industry, Japan  |
| NATO         | North Atlantic Treaty Organization   |
| NAFTA        | North American Free Trade Agreement  |
| NCI          | National Cancer Institute (USA)  |
| NCTR         | National Center for Toxicological Research (USA)   |
| NGO          | non-governmental organisation  |
| NTP          | National Toxicology Program (USA)  |
| OECD         | Organization for Economic Co-operation and Development   |
| OLIS         | On-line Information Service of OECD  |
| OPPTS        | Office of Prevention, Pesticides and Toxic Substances (US EPA)   |
| OSPAR        | Oslo Paris Convention (Convention for the Protection of the Marine Environment of the North-East Atlantic) |
| PAN          | Pesticide Action Network   |
| RIVM         | Netherlands National Institute of Public Health and Environmental Protection                               |
| RNN          | Re-registration Notification Network   |
| RTECS        | Registry of Toxic Effects of Chemical Substances (USA)   |
| SETAC        | Society of Environmental Toxicology and Chemistry  |
| SI           | Système International d'Unités   |
| SITC         | Standard International Trade Classification  |
| TOXLINE      | Toxicology Information On-line   |
| UBA          | German Environmental Protection Agency   |
| UN           | United Nations   |
| UNEP         | United Nations Environment Programme   |
| WFP          | World Food Programme   |
| WHO          | World Health Organization  |
| WPRS         | West Palearctic Regional Section   |

| Abbreviation | Explanation              |
|--------------|--------------------------|
| WTO          | World Trade Organization |
| WWF          | World Wildlife Fund      |