



Beyond REACH: DG GROW perspective for moving towards animal-free regulations

New approach methodologies workshop: Towards an animal free regulatory system for industrial chemicals

Helsinki – 1 June 2023

Georg Streck, European Commission

Disclaimer: All views expressed are purely personal and should not be considered as representative of the European Commission's official position. Neither the European Commission nor any person acting on behalf of the Commission is responsible for the use which might be made of the information provided.

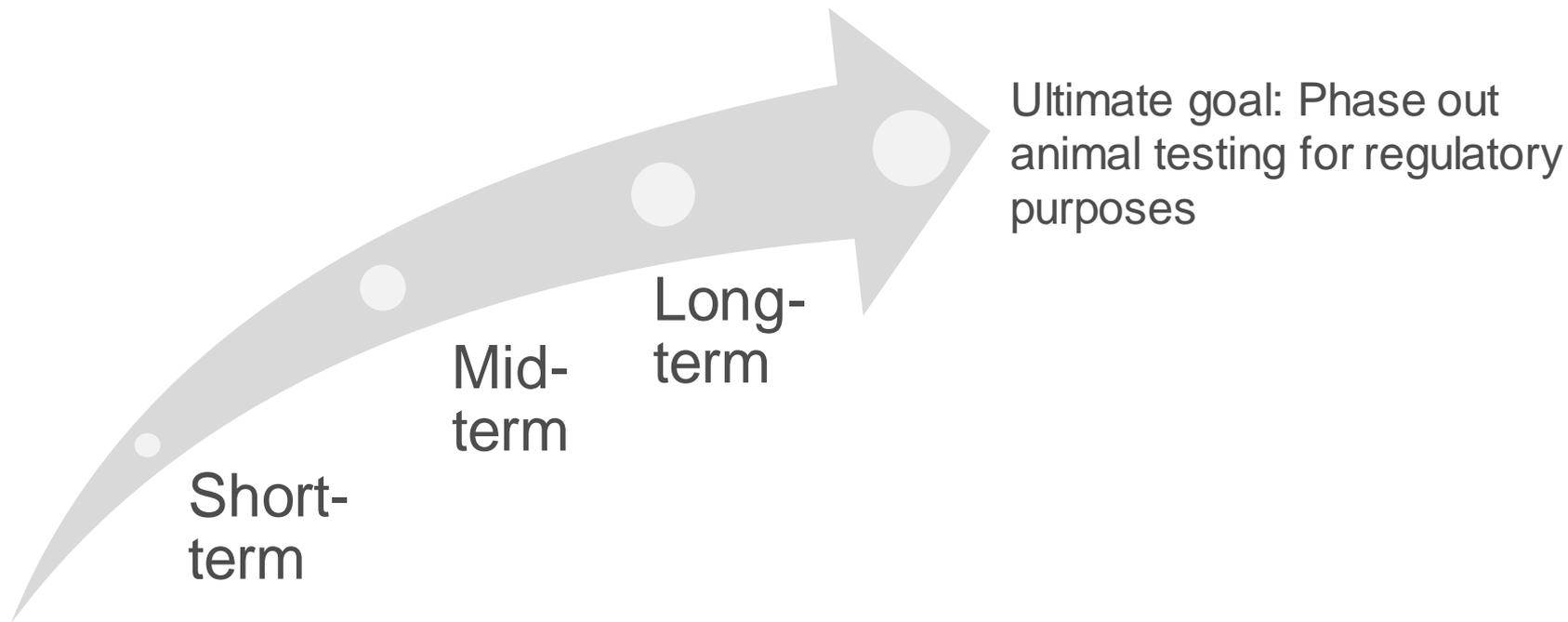
Content

- NAMs – a definition
- Moving towards animal-free regulations
- Other possibilities to reduce or to phase out animal testing
- Mutual acceptance of data + UN GHS
- Legal certainty
- Moving forward/next steps

NAMs – a definition

- No formally or legally accepted definition for the term ‘New Approach Methodologies’
- NAM is used in a broad sense as any methodology, approach or technology that provides information for the hazard or risk assessment of chemicals **without using intact animals** or that has **the aim to reduce animal testing**. That includes e.g.
 - In silico (incl. read-across, QSARs...), in chemico and in vitro approaches
 - Integrated approaches to testing and assessment (IATA) and defined approaches (DA)
 - Omics approaches or omic-enhanced studies
- Animal testing corresponding to the scope of Directive 2010/63/EU

Moving towards animal-free regulations



Moving towards animal-free regulations

- REACH: Animal testing needed to fulfil Standard Information Requirements (SIR) (Annexes VII-X)
- Similarly, other pieces of chemical legislations (Biocidal Product Regulation, Plant Protection Products Regulation, ...) based on Information Req. (IR)

Acute toxicity (oral,
dermal, by inhalation)

Carcinogenicity study

Short-term repeated dose
toxicity study (28-days)

Short-term toxicity
on fish

OECD TG 421/422

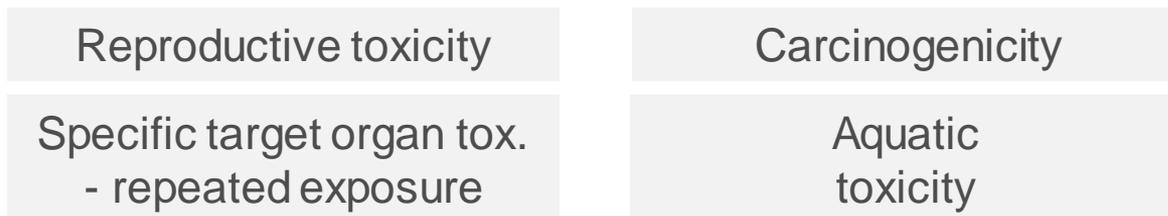
Long-term toxicity
on fish

EOGRTS
(OECD TG 443)

Bioaccumulation in
aquatic species, pref. fish

Moving towards animal-free regulations

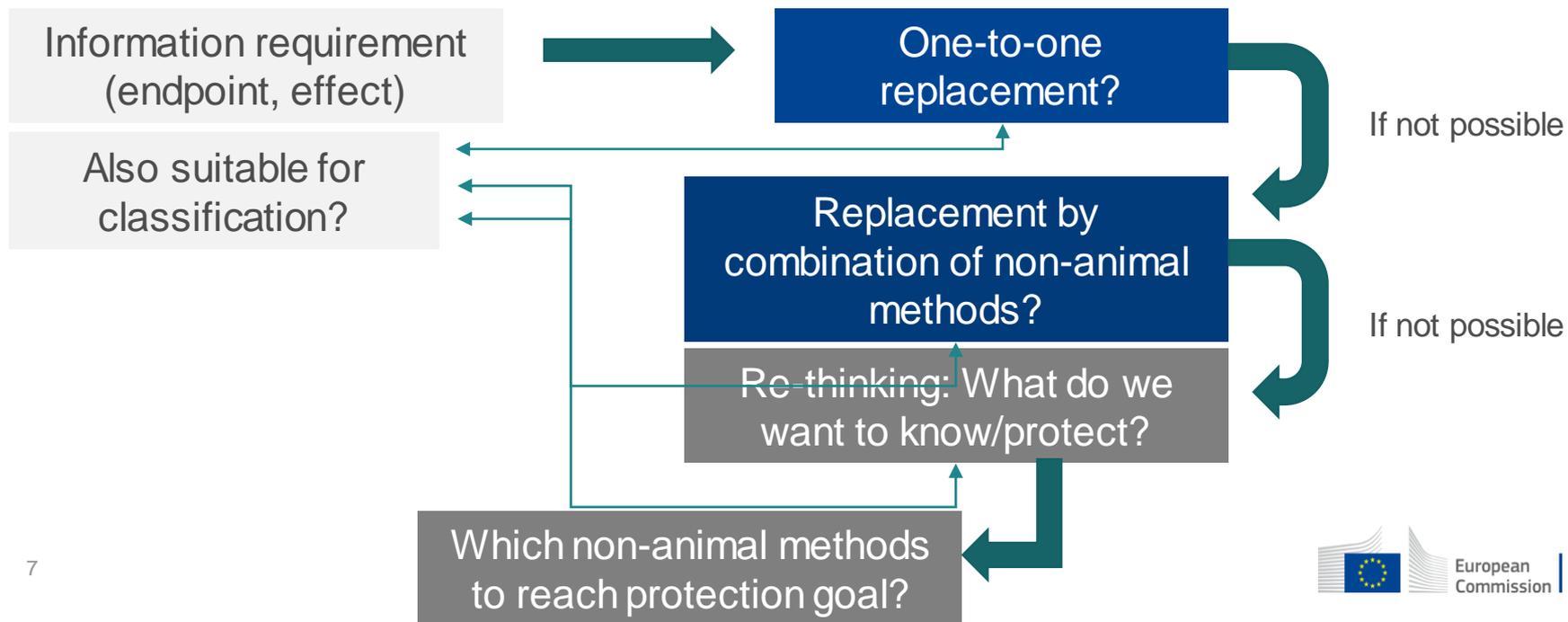
- Basing CLP hazard classes on NAMs/non-animal methods?
 - Classification based on available information
 - Information gathered under REACH (or other legislations) feed into classification



- Both CLP hazard classes and IRs to be taken into account when considering replacing animal testing
- Or do we need to ask why do we need the information, what do we want to protect?

Moving towards animal-free regulations

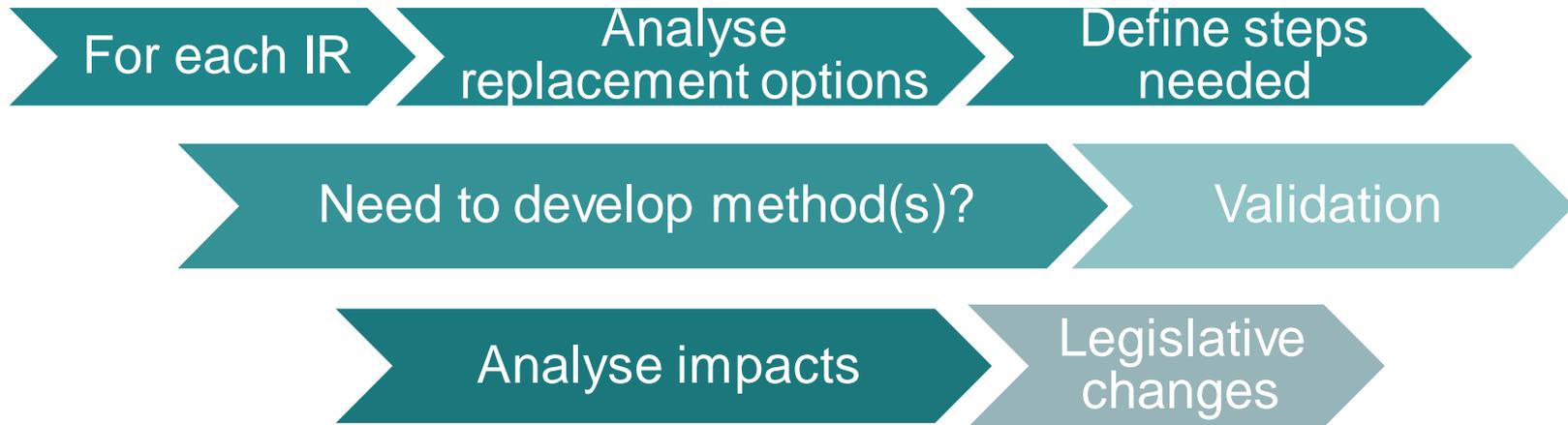
- Stepwise approach for each information requirement



Moving towards animal-free regulations

- One-to-one replacement
 - Skin sensitisation, skin and eye irritation
 - Hyalella Azteca bioconcentration test (HYBIT) instead of fish bioaccumulation test
 - ...
- Combination of methods/complex approaches
 - Skin sensitisation, skin and eye irritation
 - Weight-of-Evidence approaches
 - ...

Moving towards animal-free regulations



- One-substance-one-assessment – need to look beyond REACH/CLP
- Involvement of Agencies, Member State authorities and stakeholders necessary

Other possibilities to reduce/phasing out animal testing

- Substance-tailored exposure driven testing
- REACH Annex XI, section 3: Testing may be omitted for specific tests (OECD 421/422); 28-day repeated dose test (for < 100 tonnes)
 - If no significant exposure **and** DNELs/PNECs are available relevant for the omitted information and for risk assessment **and** exposure < DNELs/PNECs
 - For substances not incorporated in articles: strictly controlled conditions throughout life-cycle
 - For substances in articles, in which it is embedded/contained: no release during life-cycle; negligible exposure; conditions for transported isolated intermediate applies

Other possibilities to reduce/phasing out animal testing

- Lower tonnages manufactured/imported might lead to lower emissions/exposure
 - For environmental hazards: Relationship normally assumed
 - For human health hazards: Link between tonnage level and emission/exposure might depend greatly on uses
 - Refinements possible by taking into account uses and physico-chemical properties
- Potential for reducing animal testing for lower tonnages by including waivers

Other possibilities to reduce/phasing out animal testing

- Use-based triggering/waiving
 - Triggering of testing for uses with high potential for emissions/exposure/risks
 - Triggering/waiving based on consumer/professional/industrial uses (in connection with proportionality or prioritisation considerations)
- Exposure driven, emission/exposure- and use-based waiving/triggering underemployed due to database architecture, challenges for checking compliance etc.
- Further analysis required of what would be needed to more often apply such approaches, overcome challenges etc.

Other possibilities to reduce/phasing out animal testing

- Grouping: Require animal testing for some group members (+ read-across or other methods, e.g. Omics)
 - Need to clarify how biological information (e.g. Omics) can support grouping based on structural similarity hypothesis
 - Base grouping approaches only on biological information?
 - Which group members to test, cost sharing, data sharing rules...
 - Templates for reporting biological information (see OECD Omics Reporting Framework)
 - Guidance on the use of biological information

Mutual acceptance of data/UN GHS

- Using NAM data under different jurisdictions (outside EU) → Mutual Acceptance of Data (MAD)
 - Crucial for reducing/phasing out animal testing globally
 - Important for exporting companies/international trade
 - OECD system of Mutual Acceptance of data
 - Multilateral agreement as a basis for OECD members (and several non members) to share data using OECD methods and principles
 - > 150 OECD Test Guidelines (validated), principles of Good Laboratory Practice (GLP); guidance on GLP and compliance monitoring
- Need to work under the OECD umbrella to reach mutual acceptance of NAMs

Mutual acceptance of data/UN GHS

- UN Globally Harmonized System of Classification and Labelling of Chemicals (GHS)
 - Crucial for reducing/phasing out animal testing globally
 - Important for facilitating international trade
- Harmonises globally classification criteria and communication tools on chemicals
 - Importance to move forward on UN GHS level for changing classification criteria/introducing NAMs for classification

Legal certainty

- Clarity for industry how to fulfil their obligations and the conditions for acceptance by authorities (information requirements; waivers and adaptations; testing proposals)
 - Clarity for authorities that data fulfil requirements – facilitates checking of compliance/enforcement
 - Importance of legal certainty for industry and authorities for
 - Predictability
 - Replacing/avoiding animal testing
 - Avoiding delays in providing information for the assessment of chemicals
- Description of IR/classification criteria as clear as necessary
- Reporting templates, guidance

Moving forward

- European citizens' initiative '*Save cruelty-free cosmetics - Commit to a Europe without animal testing*' submitted to EU Commission on 25 January
- Communication replying to ECI will outline legal and political conclusions as well as action(s) the Commission intends to take (adoption by 25 July)

Moving forward

- Need for a process to define steps for replacing animal testing



- Short-term, mid-term, long-term actions?
- Involvement of all stakeholders: Member States, Agencies, industry, NGOs, scientific community

Thank you



© European Union 2023

Unless otherwise noted the reuse of this presentation is authorised under the [CC BY 4.0](https://creativecommons.org/licenses/by/4.0/) license. For any use or reproduction of elements that are not owned by the EU, permission may need to be sought directly from the respective right holders.

Slide xx: [element concerned](#), source: e.g. [Fotolia.com](#); Slide xx: [element concerned](#), source: e.g. [iStock.com](#)





*Accelerating the transition
to an animal-free regulatory system:
Let's make it happen together!*

*ECHA NAM workshop Helsinki
1 June 2023*

Merel Ritskes-Hoitinga

Prof in Evidence-Based Transition to Animal-Free Innovations
Institute for Risk Assessment Sciences (IRAS)TOX

Overview

- How did I get here?
- Where is the evidence?
- Can we act more upon scientific evidence / using systematic reviews?
- Accelerating the transition to animal-free innovations – transition science
- Setting goals and leadership

tpi.



Utrecht University

ARTERY 16(1):25-50 (1988)

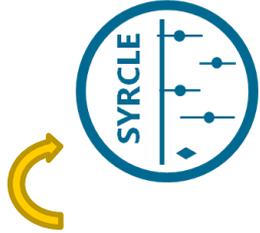
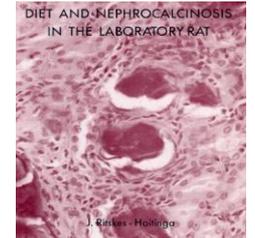
ATHEROSCLEROSIS IN THE RAT

J. Ritsken-Hoitinga and A.C. Beynen

Department of Laboratory Animal Science, State University, P.O.Box 80.166,
3508 TD Utrecht (The Netherlands)



Utrecht University



www.ritskes-hoitinga.eu

The story of my life:
from Refinement to Replacement



Radboudumc
university medical center



charles river

Where and what is the evidence behind drug legislation?

pubmed.ncbi.nlm.nih.gov/35983829/

An official website of the United States government

NIH National Library of Medicine
National Center for Biotechnology Information

Log in

PubMed®

Ritskes-hoitinga

Advanced Search User Guide

Search results

Save Email Send to Display options

> Altern Lab Anim. 2022 Sep;50(5):322-329. doi: 10.1177/0261192922118001. Epub 2022 Aug 19.

A History of Regulatory Animal Testing: What Can We Learn?

Doortje Swaters¹, Anne van Veen², Wim van Meurs¹, Janette Ellen Turner³, Merel Ritskes-Hoitinga⁴

Affiliations + expand
PMID: 35983829 DOI: 10.1177/0261192922118001

Free article

Abstract

The contemporary pharmaceutical industry is voicing growing concerns about the translatability and reproducibility of animal models. In addition, the usefulness of certain of the required regulatory safety tests in animals is being increasingly questioned. It remains difficult, however, to make the move toward alternative testing methods, not least because of legislative demands. A historical analysis was performed, in order to study how the mandatory animal studies in legislative requirements came about. This article reflects on the role that specific public health disasters played in the creation of (more) regulatory requirements for animal testing. It will show how the

FULL TEXT LINKS

SAGE journals
Open access full text

NEXT RESULT 3 of 120

ACTIONS

Cite

Collections

SHARE

Twitter Facebook LinkedIn

PAGE NAVIGATION

< Title & authors

Abstract

Flexible approaches possible

animals

MDPI

Communication

The Promises of Speeding Up: Changes in Requirements for Animal Studies and Alternatives during COVID-19 Vaccine Approval—A Case Study

Merel Ritskes-Hoitinga^{1,2,*}, Yari Barella³ and Tineke Kleinhou-Vliek⁴

- 1 Department of Population Health Sciences, Institute for Risk Assessment Sciences (IRAS), Faculty of Veterinary Medicine, Utrecht University, Postbus 80163, 3508 TD Utrecht, The Netherlands
- 2 Department of Clinical Medicine, Faculty of Health Sciences, Aarhus University Hospital, Palle Juul Jensens Boulevard 99, 8200 Aarhus N, Denmark
- 3 Faculty of Science, Radboud University, Postbus 9010, 6500 GL Nijmegen, The Netherlands; yariabella.94@gmail.com
- 4 Copernicus Institute of Sustainable Development, Utrecht University, Postbus 80.115, 3508 TC Utrecht, The Netherlands; t.h.kleinhou-vliek@uu.nl

* Correspondence: j.ritskes-hoitinga@uu.nl

nature

Explore content About the journal Publish with us Subscribe Sign up for alerts

nature > world view > article

WORLD VIEW | 27 April 2022

Medical regulators: look beyond animal tests

Flexible approaches used to accelerate COVID-19 vaccines deserve wider uptake.

Merel Ritskes-Hoitinga

Twitter Facebook Email

Access this article via University of Utrecht

Access through your institution

Change institution

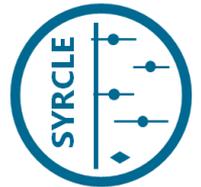
Related Articles

Software beats animal tests at predicting toxicity of chemicals

Can we use evidence more?

What are systematic reviews?

**Systematic reviews bring us the most
objective and complete scientific evidence
and lead to better evidence-based decision making**



Systematic Review results:

low publication quality

and low translation of animal studies to

humans is made transparent.

Scientific need for change.

Changes coincide with resistance.

*It needs perseverance and
managing transitions:*

Transition science

Multi-level perspective transition analysis

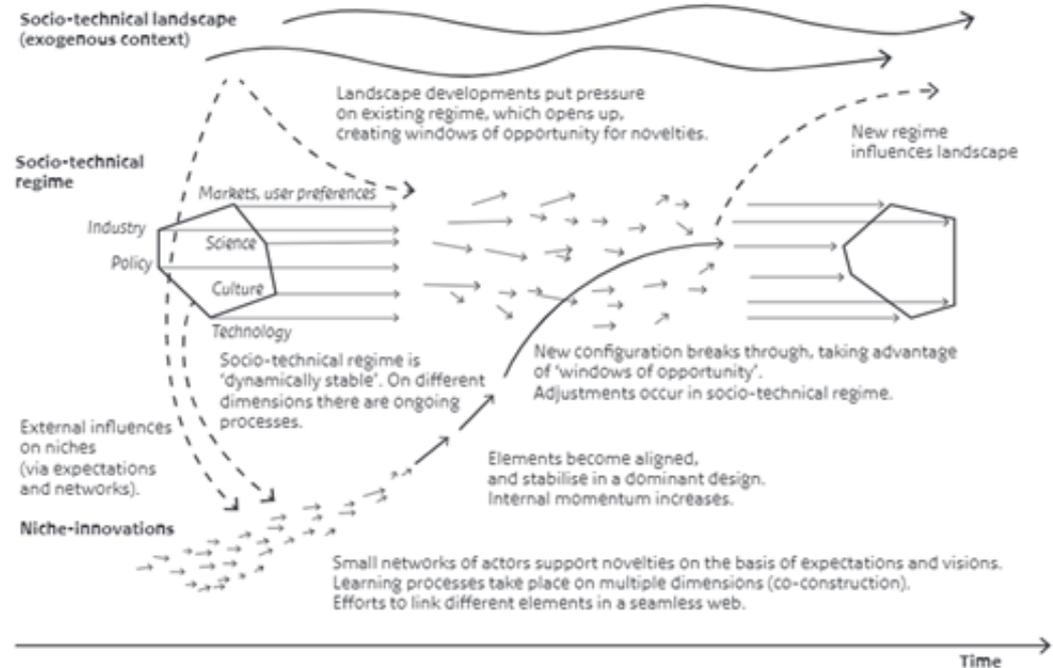
Identify:
Barriers, leverages and
opportunities

Niche: Alternative system

Regime: Dominant system

Landscape: Societal trends

Goal:
Identify
opportunities to
accelerate the
transition





The Dutch Transition Program to animal-free Innovations

Mission:

Better predictions without (lab) animals

Ambition:

The Netherlands with TPI as a catalyst of the (inter)national transition towards animal-free innovations

Partner program founded in 2018: 11 partners (including a young TPI!)

TPI-policy is in conjunction with 3Rs policy, to ensure animal-welfare as long as animals are needed.

tpi.



Universities of
The Netherlands }



National Institute for Public Health
and the Environment
Ministry of Health, Welfare and Sport



Netherlands National Committee
for the protection of animals
used for scientific purposes



Ministry of Agriculture, Nature and
Food Quality of the Netherlands

tpi. International ambition and activities

European/international approach is crucial to validate, accept and implement non-animal methods (NAMs).

TPI:

- Plans to start conversations with other EU member states about validation of NAMs;
- To find common ground on what is needed to improve validation;
- Together we can accelerate our national efforts and improve our chances to a European approach!



Interested or questions ?

Feel free to contact our program manager:

Erica van Oort, PhD
e.vanoort@minlnv.nl
Ministry of agriculture, nature and food quality

www.animalfreeinnovationtpi.nl



Education: Multi-level perspective transition analysis

Co-creation

Interdisciplinary learning



Commentary

Identifying Key Factors for Accelerating the Transition to Animal-Testing-Free Medical Science through Co-Creative, Interdisciplinary Learning between Students and Teachers

Fatima Zohra Abarkan ¹, Anna M. A. Wijen ², Rebecca M. G. van Eijden ³, Fr derique Struijs ¹, Phoebe Dennis ³, Merel Rijskes-Hoitinga ^{4,5,*} and Ingrid Visseren-Hamakers ⁶

- ¹ Faculty of Science, Radboud University, Radboud Honours Academy, 6525 AJ Nijmegen, The Netherlands
- ² Faculty of Medical Science, Radboud University, Radboud Honours Academy, 6525 AJ Nijmegen, The Netherlands
- ³ Institute for Management Research, Radboud University, Radboud Honours Academy, 6525 AJ Nijmegen, The Netherlands
- ⁴ Faculty of Veterinary Medicine, Utrecht University, 3584 CL Utrecht, The Netherlands
- ⁵ Department of Clinical Medicine, Aarhus University, 8000 Aarhus C, Denmark
- ⁶ Institute for Management Research, Radboud University, 6525 AJ Nijmegen, The Netherlands
- * Correspondence: j.rijskes-hoitinga@uu.nl



News & events News Three consortia awarded funding for acceptance and implementation of animal-free models in safety assessment

Three consortia awarded funding for acceptance and implementation of animal-free models in safety assessment

25 August 2022

Within the Dutch Research Agenda (NWA) 'Non-animal models: acceptance and implementation', three consortia will research on the acceptance and implementation of existing animal-free models. A total of about € 2.9mIn has been awarded for this research. This programme is a collaboration between the Dutch Ministries of Infrastructure and Water Management (I&W), Public Health,



Characteristics

Research programme

[Animal-free assessment models: acceptance and implementation](#)

Themes

[Knowledge Utilisation](#)

[Health](#)

[Open Science](#)

[Key Technologies](#)

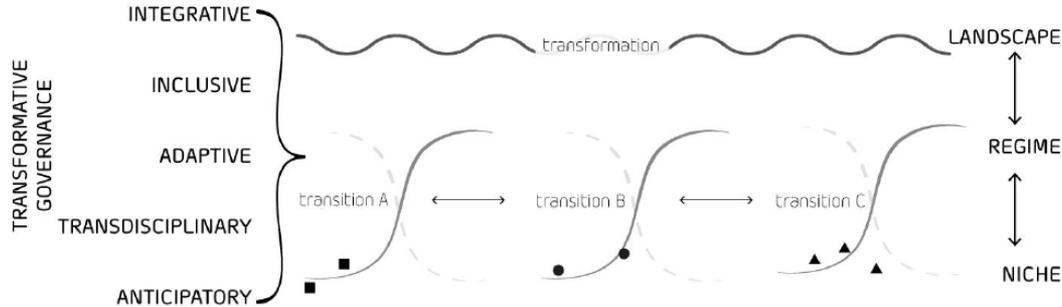
[Safety](#)

[Food](#)

Type

[Awards](#)

INTEGRATING TRANSFORMATIONS AND TRANSITIONS THROUGH TRANSFORMATIVE GOVERNANCE



Visseren-Hamakers, I.J. et al. 2022. How to save a million species? Transformative governance through prioritization. In: Visseren-Hamakers, I.J. and Kok, M. (Eds.) 2022. *Transforming Biodiversity Governance*. Cambridge University Press.

Climate crisis

Energy crisis

Biodiversity crisis

Covid pandemic crisis

Transitions inform and influence one another. Study the underlying causes.

We need mixed methods research and flexible approaches: combining quantitative and qualitative research

We need inter- and transdisciplinary research

Providing scientific evidence is clearly not sufficient to make real changes

Pyrogen testing revisited on occasion of the 25th anniversary of the whole blood monocyte activation test



Thomas Hartung
Johns Hopkins Bloomberg School of Public Health, Center for Alternatives to Animal Testing, Baltimore, MD, USA; Center for Alternatives to Animal Testing, CAAT-Europe, University of Konstanz, Konstanz, Germany
<https://orcid.org/0000-0003-1359-7689>

Abstract

The whole blood pyrogen test invented 25 years ago, and its variant based on cryopreserved blood one year later, brought momentum into the field of pyrogen testing, which, despite the broad application of the Limulus amoebocyte lysate (LAL) assay, aka bacterial endotoxin test (BET), consumed several hundred thousand rabbits per year world-wide. The resulting international validation and lengthy acceptance and implementation process of what are called now monocyte activation tests (MATs) finally is impacting on animal numbers – at least in Europe – reducing them by more than 70% and counting. The author sees no reason for continuing any regulatory rabbit testing for pyrogens except the lack of acceptance of MATs in some regions of the world. The availability of MATs has opened also the discussion about the shortcomings of LAL/BET, namely its restriction to Gram-negative pyrogens, non-reflection of the

pdf

Published: Jan 12, 2021

DOI:
<https://doi.org/10.14573/altext.2101051>

Keywords:
rabbit pyrogen test alternative methods lipopolysaccharide

Impact Factor 2021: 6.250
5-Year Impact Factor: 6.645

Email Alerts

Make a Submission

ADVERTISEMENT

Monocyte activation test was validated 25 yrs ago, now finally incorporated in the European Pharmacopoeia to replace rabbit pyrogen test.

Why has this taken so long?!

Promising recent developments – transition *is* happening

Multi stakeholder article on building scientific confidence in New Approach Methods – van der Zalm A et al. Archives Toxicology 2022

European Citizen's initiative

European Parliament asking for a roadmap

Food and Drug Administration modernisation act

European Medicines Agency 3R working party

European Food Safety Authority roadmap

This European Chemicals Agency New Approach Methods workshop

THE CHALLENGE

HUMAN-RELEVANT SCENARIOS

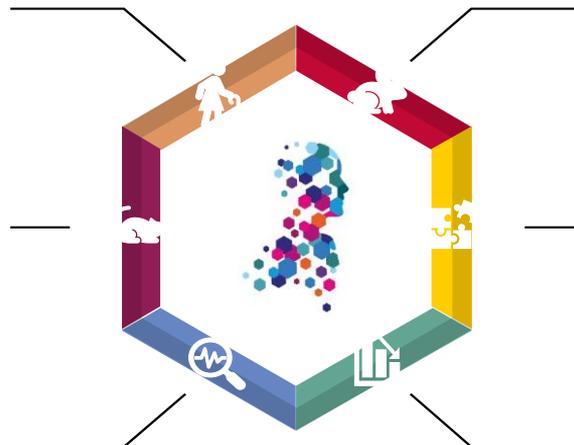
Current animal tox testing regimes do not reflect human-relevant scenarios, such as differences in susceptibility due to age, gender, timing of exposure, or disease state.

REPRODUCIBILITY

Only 25% of published results obtained with animal data can be reproduced.

PREDICTIVE VALUE

The results of a laboratory animal can only predict the results in reproductive toxicity of another species by 60%.



9,338,162 ANIMALS

Were still being used for research and testing in the EU in 2017 (**about one third for toxicity testing**).

INTERDISCIPLINARY APPROACH

Integrating data from new in vitro and in silico methods, data sciences and social sciences

SLOW DECREASE

In 10-years time, the use of animals is only decreased by 22% with the current approach to gradually refine, reduce and replace animal testing.

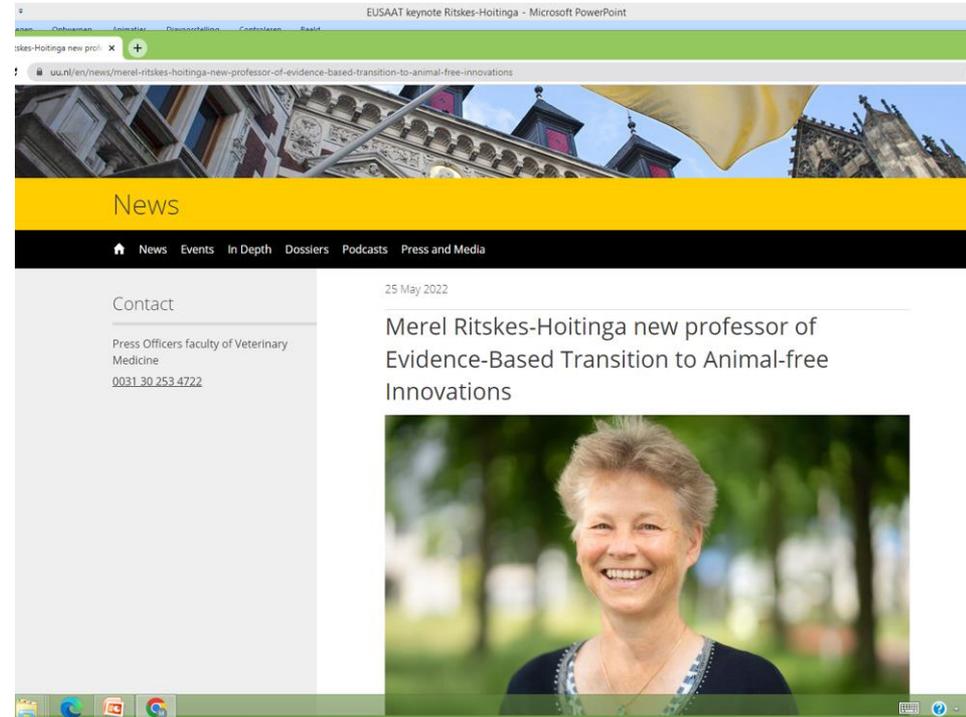
Let's make it happen together!
Phase out animal studies and embrace alternatives
asap for the benefit of animals and humans.
The science and technology *are* here!

New (academic) pathways:

More evidence-based decision making

Transdisciplinary research and
education – connecting stakeholders

Multilevel perspectives, transition
science and transformative governance



The screenshot shows a Microsoft PowerPoint slide titled "EUSAAT keynote Ritskes-Hoitinga - Microsoft PowerPoint". The slide content is a screenshot of a web browser displaying a news article from Utrecht University. The browser address bar shows the URL: uu.nl/en/news/merel-ritskes-hoitinga-new-professor-of-evidence-based-transition-to-animal-free-innovations. The article title is "Merel Ritskes-Hoitinga new professor of Evidence-Based Transition to Animal-free Innovations", dated 25 May 2022. A photograph of Merel Ritskes-Hoitinga, a smiling woman with short grey hair, is visible below the title. The browser's taskbar at the bottom shows icons for Windows, Edge, and other applications.

We choose to go to the moon...

..in this decade, not because it's easy, but because it's hard, it will serve to organise and measure the best of our energies and skills, a challenge we are willing to accept, unwilling to postpone and intend to win



We choose to go for New Approach Methods (NAM) only

..in this decade, not because it's easy, but because it's hard, we will only use NAMs because it will serve to organise and measure the best of our energies and skills in a challenge we are willing to accept and unwilling to postpone in the interest of all living creatures and our environment





The European Partnership
for Alternative Approaches to Animal Testing



European
Commission

EPAA Perspective

Dr Gavin Maxwell, EPAA industry co-chair
gavin.maxwell@unilever.com

European Partnership for Alternative Approaches to Animal Testing (EPAA)



The European Partnership
for Alternative Approaches to Animal Testing

Collaboration between European Commission and Industry stakeholders from 8 sectors (est. 2005)

Vision: The replacement, reduction and refinement (3Rs) of animal use for meeting regulatory requirements through better & more predictive science (e.g. New Approach Methodologies (NAMs)).

To join EPAA e-mail:

GROW-EPAA@ec.europa.eu

38 Companies (including 1 SME)



8 Sectoral Associations



European Commission



DG GROW
DG ENV
DG SANTE
DG JRC
DG RTD

Including Partner Agencies



Mirror Group (Advisory body)

Emily McIvor (Chair), Julia Baines, Emma Grange, Tuula Heinonen, Christiane Hohensee, Monique Janssen, Helena Kandarova, Winfried Neuhaus, Sirpa Pietikäinen (MEP), Vera Rogiers

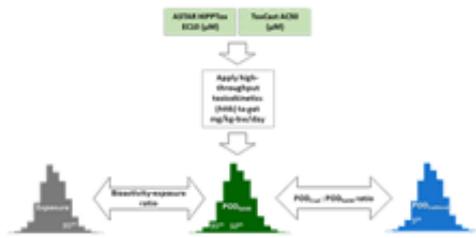
Goal: Safe & Sustainable Chemicals without Animal Testing

Chemical regulatory testing can evolve...

A paradigm shift in chemical regulatory testing is underway.

New tiered, chemical safety assessment frameworks ensure animal testing is a 'last resort' through early use of NAMs.

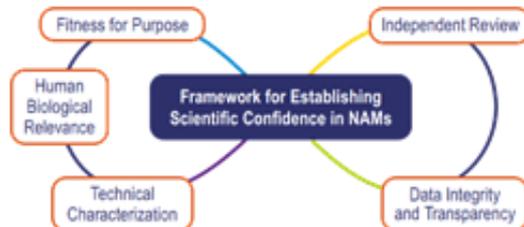
Let's use NAMs to reduce and replace chemical regulatory animal testing.



...to better protect people & our planet

Increased use of NAM data, exposure information and/or computational approaches should allow us to set, and assess against, more meaningful human health & environmental protection goals.

Let's use NAMs to strengthen confidence in chemical safety.



...and support new chemical innovation

Work is ongoing to update our chemical safety frameworks to better assess green chemistry / sustainable chemicals.

Let's use NAMs to ensure new chemicals are Safe & Sustainable by Design without Animal Testing.



epaa

Applying NAMs to regulatory testing of chemicals: global paradigm shift

Paul Friedman et al. 2020 APCRA 'proof-of-concept' case study demonstrated the feasibility of applying a high throughput NAM-based approach for screening-level assessments

- $POD_{NAM\ 95}$ value was less than or equal to the $POD_{traditional}$ value (derived from *in vivo* toxicology data) value for 89% chemicals
- Bioactivity-exposure ratio is a useful data-driven metric

for chemical prioritization



APCRA
ACCELERATING THE PACE OF
CHEMICAL RISK ASSESSMENT

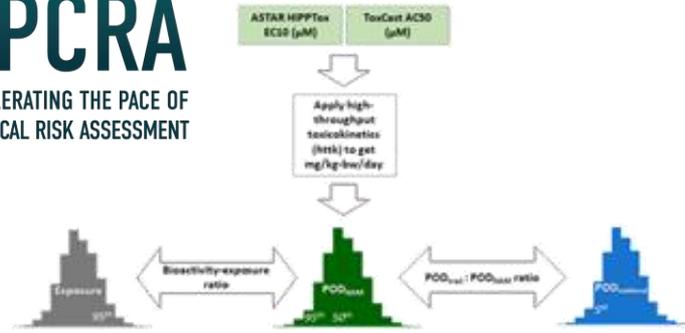


Figure 1. Overall workflow of the case study. This case study includes 440 substances with exposure predictions, *in vitro* assay data, HPTX information using the *in silico* package, and *in vivo* hazard information. The 95th and 99th percentiles from the Monte Carlo simulation of individualized toxicokinetic variability were used to estimate administered equivalent doses (AEDs), and the minimums of either the ToxCast or HPPTox-based AEDs were selected as the POD_{95}^{NAM} or POD_{99}^{NAM} . The $POD_{95}^{traditional}$ estimates were compared with the 95th percentile of the POD_{95}^{NAM} values obtained from multiple sources to obtain the log_{10} PCCD-ratio. The log_{10} bioactivity-exposure ratio (BER) was obtained by comparing the POD_{95}^{NAM} estimates to exposure predictions. All values used for computation were in log_{10} -mg/kg-body-day units.

OXFORD | SOT Society of Toxicology academic.oxp.com/toxsci | Spotlight | TOXICOLOGICAL SCIENCES, VOLUME 389, 202-225
doi: 10.1093/toxsci/kfz004
Advance Access Publication Date: September 18, 2020
0894-6559

Utility of *In Vitro* Bioactivity as a Lower Bound Estimate of *In Vivo* Adverse Effect Levels and in Risk-Based Prioritization

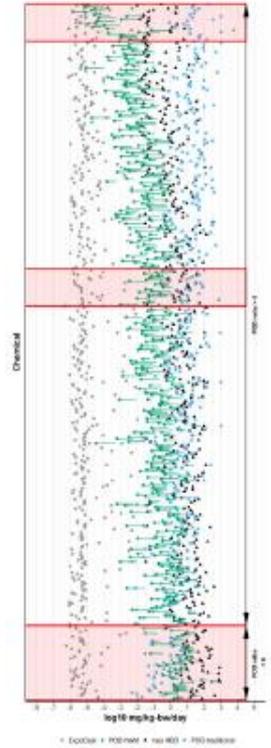
Katie Paul Friedman ^{1,2,3}, Matthew Gagne ², Lit-Hsin Loo ³, Panagiotis Karamertzanis ⁴, Tatiana Netzeva ⁵, Tomasz Sobanski ⁶, Jill A. Franzosa ⁸, Ann M. Richard ⁹, Ryan R. Lougee ¹⁰, Andrea Gissi ¹¹, Jia-Ying Joey Lee ¹², Michelle Angrish ¹³, Jean Lou Dorne ¹⁴, Steven Foster ¹⁵, Kathleen Raffaele ¹⁶, Tina Bahadori ¹⁷, Maureen R. Gwin ¹⁸, Jason Lambert ¹⁹, Maurice Whelan ²⁰, Mike Raserberg ²¹, Tara Barton-Maclaren ²², and Russell S. Thomas ²³

¹National Center for Computational Toxicology, Office of Research and Development, US Environmental Protection Agency, Research Triangle Park, NC, 27711; ²Health, Environment and Consumer Safety Branch, Health Canada, Government of Canada, Ottawa, Ontario, Canada, K1A 0K9; ³International In Vitro and Chemical Safety Programme and Risk Assessment Institute, Agency for Science, Technology and Research, Singapore, 138671, Singapore; ⁴Computational Assessment Unit, European Chemicals Agency, European Chemicals Agency Annamachi 18, P.O. Box 400, IT-20121 Milan, Ontario, Finland; ⁵National Health and Environmental Effects Research Laboratory, Office of Research and Development, US Environmental Protection Agency, Research Triangle Park, NC, 27711; ⁶Oak Ridge Institute for Science and Education, US Department of Energy, Oak Ridge, TN 37831, USA; ⁷National Center for Environmental Assessment, Office of Research and Development, US Environmental Protection Agency, Washington, DC, 20004 and Research Triangle Park, NC 27711; ⁸Scientific Committee and Emerging Risks Unit, Department of Risk Assessment and Scientific Assistance, Via Carlo Magno 1A, 41100 Parma, Italy; ⁹Office of Land and Emergency Management, US Environmental Protection Agency, Washington, DC, 20004 and ¹⁰European Commission, Joint Research Centre (JRC), Via Enrico Fermi, 2749, I-21102 Ispra, Italy

For correspondence, please write to: katie.friedman@epa.gov | lit-hsin.loo@ec.europa.eu | matt.gagne@ec.europa.eu | ann.richard@ec.europa.eu | tom.sobanski@ec.europa.eu | jill.franzosa@ec.europa.eu | joey.lee@ec.europa.eu | michelle.angrish@ec.europa.eu | steven.foster@ec.europa.eu | maurice.whelan@ec.europa.eu | tara.barton-maclaren@ec.europa.eu | russell.thomas@ec.europa.eu

ABSTRACT
Use of high-throughput, *in vitro* bioactivity data in setting a pace-of-departure rate of human health safety evaluation by informing screening-level assess to compare risk based on a high-throughput prediction of bioactivity, exposure information for 440 chemicals, risk-informed from a new approach combining using the 95th POD_{95}^{NAM} and the 95th $POD_{95}^{traditional}$ and percentiles and the ratio

Published by Oxford University on behalf of the Society of Toxicology 2020
This work is written by US Government employees and is in the public domain in the US.



Applying NAMs to regulatory testing of chemicals: global paradigm shift



Figure 1. Five work plan objectives for reducing the use of vertebrate animals in the EPA's regulatory, compliance, enforcement and research activities while remaining fully protective of human health and the environment.

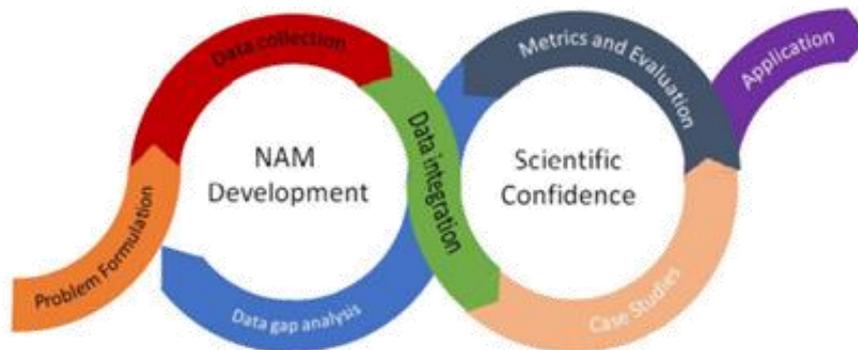
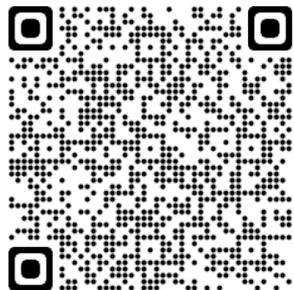
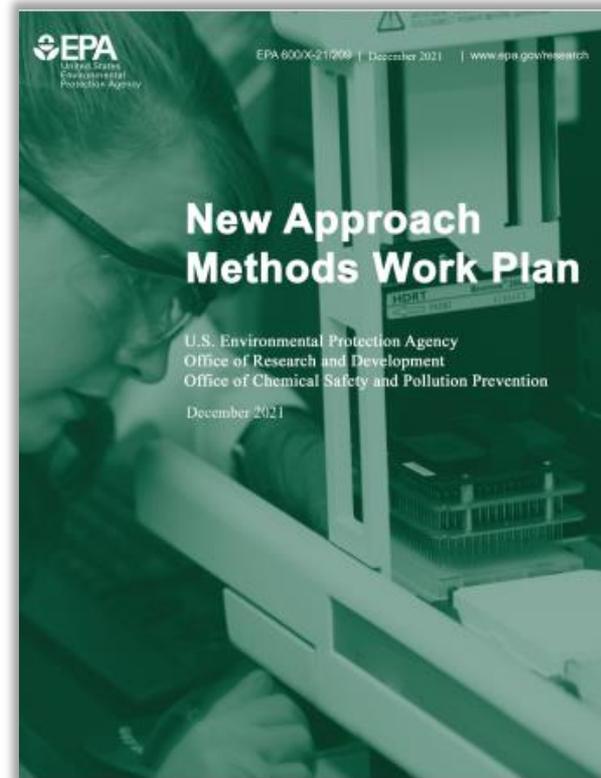


Figure 2. Problem-focused research planning and implementation process at EPA.



Transitioning Europe to Animal-free, Sustainable Innovation

EU Parliament resolution

On 15th Sept 2021 the EU Parliament resolution adopted to **'Accelerate a Transition to Innovation without the use of Animals in Research, Regulatory Testing and Education'** calling for an action plan with ambitious objectives, reduction targets & replacement timelines



EU Commission response

EU Commission response to EP resolution stated that:

- **'ultimate goal of full replacement is enshrined in EU legislation'**
- **'transition to innovation without the use of animals is best supported by focusing on & intensifying current efforts'**



EPAA is accelerating the transition to animal-free, sustainable innovation through:

1. Helping identify & evaluate NAM-based frameworks that address regulatory testing needs
2. Creating a forum for scientific dialogue between industry & regulatory safety assessors
3. Helping implement an EU roadmap to replace regulatory animal testing of chemicals

Key EPAA 2022/23 NAM activities

- EPAA '**Non-animal science (NAMs) in Regulatory Decisions for Chemical Safety**' project:
 - **WG1: NAM Designathon Challenge** with ECETOC
 - **WG2: NAM User-Forum:** case study-led workshop to share experience of applying NAMs for regulatory decision-making, building on **Skin Sen. User Forum**
- EPAA **Partners Fora:** '**Exposure Considerations in Human Health Safety Assessment**' (6th May & 14th Nov 2022) and "**Use of NAMs in Environmental Safety Assessment**" (13th-14th Nov 2023), hosted by CEFIC and organised with ECETOC, SETAC and ICCS.
- Helping implement an **EU roadmap to replace regulatory animal testing of Chemicals**
 - **EFSA One Conference** (21st-24th June 2022)
 - **ECHA NAMs workshop** (31st May – 1st June 2023)
 - EPAA supports PARC via membership of the **PARC Synergy Network (SYNnet)**
 - **EPAA EU Parliament debate** (13th Sept 2023) & **exhibition** (12th Sept 2023) on 'Accelerating Transition to Animal-Free Innovation'

ece^ooc



PARC



EPAA 'Use of NAMs in Regulatory Decisions for Chemical Safety' workshop

In November 2021, EPAA organised a deep-dive workshop on **Use of New Approach Methodologies (NAMs) in regulatory decisions for chemical safety**.

The workshop identified opportunities to advance use of NAMs through **addressing scientific needs, regulatory needs and opportunities & education & training**:

Science

- Building trust through defining criteria for robust, reliable and reproducible use of NAMs and level of acceptable variability
- Sharing NAMs experience for a wide coverage of substances / exposure situations
- Increasing applicability and reliability of *in vitro* ADME and QIVIVE.
- Defining curated data sets that could be used to evaluate the performance of NAMs including qualitative/ quantitative human data
- Taking advantage of human-based NAMs across appropriate doses vs. predicting NOAELs/LOAELs from animal studies
- Developing a transparent scientific approach to characterise sensitivity/specificity and avoid potential over/under-classification with NAMs
- Better defining exposure information across the lifecycle of chemicals and progressing work on exposure classification
- Building on achievements of use of NAMs (link to survey) and addressing complex areas that currently have fewer NAM approaches (e.g., DART)
- Ensuring new approaches provide Points of Departure for risk assessments AND hazard classification schemes, including repurposing existing NAM data
- Consider applicability domain for NAMs-based approaches including future chemical classes (e.g., nanomaterials, polymers)

epaa

Regulatory Frameworks

- Existing regulation could be revised to further explore tiered schemes that include exposure and NAMs without seeing animal studies as the gold standard.
- Increasing opportunities to use NAMs that are fit for regulatory needs (e.g. Annexes of REACH) such as sharpening the text to better facilitate the use of NAMs
- Striving to seek balance between flexibility/adaptation and prescribing defined test approaches in regulations, retaining the goal of protecting humans and the environment
- Ensuring that scientifically valid NAMs/strategies are horizontally applied across different legislative frameworks
- Exploring whether a cross-sector approach for use of NAMs is conceivable for OSOA
- Increasing formal channels for scientific dialogue between decision-making regulators and industry on bespoke use of NAMs for filling information requirements

Education & Training

- Raise awareness and provide relevant expertise and training
- Industry and regulators to find ways to explore more NAM assessments in regulatory submissions to increase confidence in use of NAMs in regulatory discussions
- Build common understanding with other stakeholders: NGOs, wider society – role for EPAA
- Identify opportunities to leverage NAMs for the EU Chemicals Strategy for Sustainability

epaa

An EPAA project was created in 2022 to progress priority activities with two initial working groups.



2. EPAA 'Non-animal science (NAMs) in Regulatory Decisions for Chemical Safety'

EPAA NAM project working group 1 have focussed on addressing the **scientific challenges** identified during the workshop through reflecting on how the conceptual **ECETOC framework for chemical safety assessment incorporating NAMs within REACH** could be implemented.

EPAA 'NAM Designathon 2023' Challenge for human systemic toxicity seeks to identify classification systems capable of categorising chemicals into three levels of concern based on the intrinsic toxicodynamic and toxicokinetic properties (see figure) and will be launched soon on the EPAA website.

		Activity (NAM-based toxicodynamics)		
		High	Medium	Low
Potential Systemic Availability (NAM-based toxicokinetics, based on ADME properties)	High	H	H	M
	Medium	H	M	L
	Low	M	L	L



Science

- a) Building trust through defining criteria for robust, reliable and reproducible use of NAMs and level of acceptable variability
- b) Sharing NAMs experience for a wide coverage of substances / exposure situations
- c) Increasing applicability and reliability of *in vitro* ADME and QIVIVE.
- d) Defining curated data sets that could be used to evaluate the performance of NAMs including qualitative/ quantitative human data
- e) Taking advantage of human-based NAMs across appropriate doses vs. predicting NOAELs/LOAELs from animal studies
- f) Developing a transparent scientific approach to characterise sensitivity/specificity and avoid potential over/under-classification with NAMs
- g) Better defining exposure information across the lifecycle of chemicals and progressing work on exposure classification
- h) Building on achievements of use of NAMs (link to survey) and addressing complex areas that currently have fewer NAM approaches (e.g., DART)
- i) Ensuring new approaches provide Points of Departure for risk assessments AND hazard classification schemes, including repurposing existing NAM data
- j) Consider applicability domains for NAMs-based approaches including future chemical classes (e.g., nanomaterials, polymers)

epaa

Articles of Toxicology (2023) 9(1):26-38
<https://doi.org/10.1002/txto.1422>

ecetoc

REGULATORY TOXICOLOGY

A framework for chemical safety assessment incorporating new approach methodologies within REACH

Nicholas Ball¹, Suresh Baner², Philip A. Barham³, Andrea Carlucci⁴, Mark T. D. Cronin⁵, John T. Dear⁶, Tatjana Dostir⁷, Timothy W. Gee⁸, Mueliet Laine⁹, Bernard von Borstel¹⁰

Received: 11 October 2021 | Accepted: 21 December 2021 | Published online: 1 February 2022
 © The Author(s) 2022

Abstract:
 The long-term investment in new approach methodologies (NAMs) within the EU and other parts of the world is beginning to result in an emerging consensus of how to use information from *in silico*, *in vitro* and *in vivo* sources to assess the safety of chemicals. However, this methodology is being adopted very slowly for regulatory purposes. Here, we have developed a framework incorporating *in silico*, *in vitro* and *in vivo* methods developed to meet the requirements of REACH in which both hazard and exposure can be assessed using a tiered approach. The outputs from each tier are classification categories, with down- and risk assessments, and progress through the tiers depends on the output from previous tiers. We have extended the use of the framework with three examples. The outputs were the same or more conservative than parallel assessments based on conventional studies. The framework allows a transparent and phased introduction of NAMs to chemical safety assessment and enables science-based safety decisions which provide the same level of public health protection using fewer animals, taking less time, and using less financial and expert resources. Furthermore, it would also allow new methods to be incorporated as they develop through continuous selective evaluation rather than periodic evaluation.

Keywords: Chemical risk assessment · Toxicity · New approach methodology · Tiered assessment · Regulatory framework

Correspondence: John T. Dear, jtd@ecetoc.com; Nicholas Ball, nball@ecetoc.com

Keywords: John T. Dear, Suresh Baner, Philip A. Barham, Andrea Carlucci, Mark T. D. Cronin, John T. Dear, Tatjana Dostir, Timothy W. Gee, Mueliet Laine, Bernard von Borstel

EPAA 'Non-animal science (NAMs) in Regulatory Decisions for Chemical Safety'

EPAA NAM project working group 2 have focussed on addressing the **regulatory frameworks and education & training challenges identified during the NAM deep-dive workshop.**

EPAA has provided a forum to discuss **use of Skin Sensitisation NAMs, defined approaches (DAs) and Integrated Approaches for Testing & Assessment (IATA)** for regulatory testing since it's inception.

EPAA's series of '**Applying non-animal strategies for assessing Skin Sensitisation**' workshops (2013, 2015 & 2019) have evolved into a **Skin Sensitisation NAM User Forum** (leads: Dr Katrin Schutte, DG ENV & Dr Petra Kern, P&G) to support ongoing knowledge sharing

Expanding the **EPAA NAM User Forum** format an initial kick-off workshop (7th-8th Dec 2023, hosted by ECHA) will host scientific, case study-led discussions on use of NAMs to address other priority regulatory needs (e.g. repeat dose, systemic toxicity, carcinogenicity, developmental & reproductive toxicity, endocrine disruption).

Regulatory Frameworks

- 4) Existing regulation could be revised to further explore tiered schemes that include exposure and NAMs without seeing animal studies as the gold standard.
- 5) Increasing opportunities to use NAMs that are fit for regulatory needs (e.g. Annexes of REACH) such as sharpening the text to better facilitate the use of NAMs
- 6) Striving to seek balance between flexibility/adaptation and prescribing defined test approaches in regulations, remaining the goal of protecting humans and the environment
- 6) Ensuring that scientifically valid NAMs/strategies are horizontally applied across different legislative frameworks
- 6) Exploring whether a cross-sector approach for use of NAMs is conceivable for COSA
- 7) Increasing formal channels for scientific dialogue between decision-making regulators and industry on bespoke use of NAMs for filling information requirements

Education & Training

- 4) Raise awareness and provide relevant expertise and training
- 5) Industry and regulators to find ways to explore more NAM assessments in regulatory submissions to increase confidence in use of NAMs in regulatory discussions
- 6) Build common understanding with other stakeholders: NGOs, wider society – role for EPAA
- 6) Identify opportunities to leverage NAMs for the EU Chemicals Strategy for Sustainability

epaa

ECHA

**Training and knowledge-sharing workshop:
Applying non-animal strategies
for assessing skin sensitisation**

7-8 February 2019
ECHA, Helsinki

**Towards an animal-free regulatory
system for industrial chemicals**

31 May - 1 June
Helsinki

ECHA
EUROPEAN CHEMICALS AGENCY

Key EPAA 2022/23 NAM activities

- EPAA ‘**Non-animal science (NAMs) in Regulatory Decisions for Chemical Safety**’ project:
 - **WG1: NAM Designathon Challenge** with ECETOC
 - **WG2: NAM User-Forum:** case study-led workshop to share experience of applying NAMs for regulatory decision-making, building on **Skin Sen. User Forum**
- EPAA **Partners Fora: ‘Exposure Considerations in Human Health Safety Assessment’** (6th May & 14th Nov 2022) and “**Use of NAMs in Environmental Safety Assessment**” (13th-14th Nov 2023), hosted by CEFIC and organised with ECETOC, SETAC and ICCS.
- Helping implement an **EU roadmap to replace regulatory animal testing of Chemicals**
 - **EFSA One Conference** (21st-24th June 2022)
 - **ECHA NAMs workshop** (31st May – 1st June 2023)
 - EPAA supports PARC via membership of the **PARC Synergy Network (SYNnet)**
 - **EPAA EU Parliament debate** (13th Sept 2023) & **exhibition** (12th Sept 2023) on ‘Accelerating Transition to Animal-Free Innovation’

ecetoc



PARC



EPAA Partners Fora: Exposure (2022) & Environmental Safety (2023)

EPAA **Partners Fora** are annual events that allow the membership to review a priority topic or theme to identify opportunities for EPAA to advance use of the 3Rs through:

- identifying priority research gaps/challenges
- facilitate industry: regulator dialogue
- foster cross-sector collaboration

Last year EPAA held two partners fora on ‘**Exposure considerations for Human Safety Assessment**’ (6th May & 14th Nov 2022) that identified several opportunities to standardise use of exposure information, tools and exposure-based safety assessment frameworks across sectors to enable greater use of NAMs (manuscript in prep).

This year EPAA will discuss ‘**Use of NAMs in Environmental Safety Assessment**’ (13th-14th Nov 2023) to identify where EPAA can help accelerate the adoption of Environmental NAMs. Forum will be hosted by CEFIC and organised in partnership with SETAC, ECETOC & ICCS.



Key EPAA 2022/23 NAM activities

- EPAA '**Non-animal science (NAMs) in Regulatory Decisions for Chemical Safety**' project:
 - **WG1: NAM Designathon Challenge** with ECETOC
 - **WG2: NAM User-Forum:** case study-led workshop to share experience of applying NAMs for regulatory decision-making, building on **Skin Sen. User Forum**
- EPAA **Partners Fora:** '**Exposure Considerations in Human Health Safety Assessment**' (6th May & 14th Nov 2022) and "**Use of NAMs in Environmental Safety Assessment**" (13th-14th Nov 2023), hosted by CEFIC and organised with ECETOC, SETAC and ICCS.
- Helping implement an **EU roadmap to replace regulatory animal testing of Chemicals**
 - **EFSA One Conference** (21st-24th June 2022)
 - **ECHA NAMs workshop** (31st May – 1st June 2023)
 - EPAA supports PARC via membership of the **PARC Synergy Network (SYNnet)**
 - **EPAA EU Parliament debate** (13th Sept 2023) & **exhibition** (12th Sept 2023) on 'Accelerating Transition to Animal-Free Innovation'

eceioc



PARC



Helping implement an EU replacement roadmap for regulatory animal testing of chemicals

In 2022, EFSA published their 'Development of a Roadmap for Action on NAMs in Risk Assessment' scientific report and hosted the **One Conference (21st-24th June 2022)** to discuss the recommendations.

This year, EPAA helped organise ECHA's '**Towards an animal-free regulatory system for industrial chemicals**' workshop.

We need to now work together to implement an **EU replacement roadmap for regulatory animal testing of chemicals.**



EXTERNAL SCIENTIFIC REPORT    

APPROVED: 2 May 2022
doi:10.2905/sp.efsa.2022.EN.7543

**Development of a Roadmap for Action on
New Approach Methodologies in Risk Assessment**

Sylvia E. Escher¹, Falko Partosch¹, Sebastian Konzok¹, Paul Jennings¹, Mirjam Luitjen¹, Anne Kienhuis¹, Victoria de Loeuw¹, Rozennane Roussé¹, Katrina-Magdalena Lindemann¹, Susanne Hougaard Bennike¹

¹ Fraunhofer ITEM, ² Vrije Universiteit Amsterdam, ³ National Institute for Public Health and the Environment, ⁴ Bura AG, ⁵ The National Food Institute Denmark

Abstract

While whole animal studies have their place in risk assessment of food and feed components, it is thought that more modern approaches such as human focused new approach methodologies (NAMs) would bring advantages including a greater focus to the human species, a focus on molecular mechanism and kinetics and the possibility of addressing susceptible populations. This report outlines the thinking from the authors and culminated in activity proposals in seven distinct but interacting scientific areas i.e. development of additional ADPs/AOP networks (ADPs), advanced cell culture models including Organ on a chip (OoC), toxicokinetic assessment with a focus on physiological based kinetic modelling (PBK), exposome, human susceptibility, data integration and new concepts in human risk assessment. Furthermore, the development of a Forum is proposed to facilitate the implementation of new approaches and concepts in risk assessment. The report was compiled by the project team, renowned experts in the various areas, and recommendations were discussed with EFSA and further refined following consultation with external experts via a dedicated workshop. The authors are convinced that if the recommendations are taken up, there will be a significant impact in the field, resulting in increasing the uptake and utilisation of these emerging technologies by all stakeholders involved.

© European Food Safety Authority, 2022
Key words: Next Generation Risk Assessment, N1

Question number: EFSA-Q-2022-00231
Correspondence: SPIDDO@efsa.europa.eu



 Public
May 2023

Towards an animal-free regulatory system for industrial chemicals

ECHA New Approach Methodologies Workshop background paper

The NAMs workshop "Towards an animal-free regulatory system for industrial chemicals" will provide the space for collecting feedback and commitments from all stakeholders on how to accelerate the transition to a regulatory system with no or minimal reliance on animal testing.

Organised in four main sessions, the workshop aims to discuss the critical needs within the current regulatory system bringing perspectives from different stakeholders. The workshop will also explore opportunities to increase the use of NAMs in the short term, looking at both regulatory and scientific aspects; it will look into how research can support the transition in the longer term and how other considerations, besides the scientific ones, could play a role when introducing changes in the regulatory system. The main objective is to identify next steps in accelerating the transition to non-animal testing.

This document outlines the key elements that should be considered for a transition towards a regulatory system with no reliance on animal testing for hazard assessment of industrial chemicals to enable comprehensive risk management and ensure a similar or higher level of protection as the current system.

1. Introduction

The use of new approach methodologies (NAMs) to evaluate the effects of chemicals on humans and the environment is a topic of increasing interest. Several roadmaps have been developed recently (e.g., US EPA, EFSA) to support the implementation of NAMs and aiming towards a full replacement of animal testing. There is however no consensus on how to best increase the use of NAMs in regulatory decision-making on chemicals. The lack of consensus stems largely from the differences in the regulatory frameworks and requirements under the different legislations and jurisdictions.

In this context and according to ECHA, NAMs denote alternatives to traditional toxicity methods that typically involve animal testing. These alternatives are useful for predicting and assessing chemical risks and hazards, by providing mechanistic information for biologically complex endpoints. They include, e.g., in vitro, ex chemico methods and in silico computational models, which may be used alone or in combination with other methods and have the potential to be quicker, cheaper and use less animals.

2. The EU regulatory context

The primary objective of EU legislation regulating level of protection of human health and the environment is to ensure that the use of alternative methods and maintaining competitiveness on the identification of hazardous properties of substances under the two key horizontal EU Regulations.

Since its entry into force in 2007, REACH is the regulatory framework for the management of risks arising from the use of chemicals.



31 May - 1 June
Helsinki 

EPAA EU Parliament events



Last year EPAA held a lunch debate in the EU Parliament with key MEPs to discuss EPAA's contribution to '**Accelerating the transition to animal-free, sustainable innovation**' (13th Sept 2022).

This year a range of EPAA partners will hold a follow-up **EU Parliament exhibition** to share progress & discuss opportunities / challenges (12th Sept 2023).

PARC Synergy Network



Partnership for the Assessment of Risks from Chemicals (PARC) is a collaborative network of 200 partners from 28 EU countries that aims to develop next-generation chemical risk assessment to protect human health and the environment.

EPAA is proud member of PARC's Synergy Network (SYNet), a programme designed to facilitate collaboration and knowledge sharing with other initiatives.

Summary: EPAA is accelerating the transition to animal-free, sustainable innovation through:

1. Helping identify & evaluate NAM-based frameworks that address regulatory testing needs

- EPAA NAM Designathon 2023 Challenge

eceioc



		Activity (NAM-based toxicodynamics)		
		High	Medium	Low
Potential Systemic Availability (NAM-based toxicokinetics, based on ADME properties)	High	H	H	M
	Medium	H	M	L
	Low	M	L	L

2. Creating a forum for scientific dialogue between industry & regulatory safety assessors

- EPAA NAM User Fora
- EPAA Partners Fora
 - Exposure
 - Env. Safety



eceioc ICCS

3. Helping implement an EU roadmap to replace regulatory animal testing of chemicals

- EFSA ONE conference
- ECHA NAM workshop
- EU Parliament events
- PARC SYNet



PARC

epaa

EPAA 2022 Annual Report



Table of contents:

1

Foreword

2

Overview of the Project Platform in 2022

- a. Clostridial Vaccines for veterinary use
- b. Human Rabies Vaccines
- c. Acute Toxicity
- d. Harmonisation of 3Rs in Biologicals
- e. Monoclonal Antibody Safety
- f. Carcinogenicity of Agrochemicals
- g. Applying Non-Animal Strategies for assessing Skin Sensitisation (Forum)
- h. PBK Modelling in Safety assessments
- i. Non-animal science in regulatory decisions for chemical safety

3

Dissemination and Communication

4

Future prospects

5

Membership update

6

Acronyms and Abbreviations

a. Projects in 2022

- a. Clostridial Vaccines for veterinary use
- b. Human Rabies Vaccines
- c. Acute Toxicity
- d. Harmonisation of 3Rs in Biologicals
- e. Monoclonal Antibody Safety
- f. Carcinogenicity of Agrochemicals
- g. Skin Sensitisation Dissemination (User Forum on use of NAMs)
- h. PBK Modelling in Safety assessments
- i. Non-animal science (NAMs) in regulatory decisions for chemical safety

Available on EPAA website: [here](#)

Thank you – EPAA partners, collaborators & secretariat

38 Companies (including 1 SME)



European Commission



DG GROW
DG ENV
DG SANTE
DG JRC
DG RTD



@EPAA3Rs



Including Partner Agencies



LinkedIn



Mirror Group (Advisory body)

Emily McIvor (Chair), Julia Baines, Emma Grange, Tuula Heinonen, Christiane Hohensee, Monique Janssen, Helena Kandarova, Winfried Neuhaus, Sirpa Pietikäinen (MEP), Vera Rogiers

8 Sectoral Associations



EPAA website: https://ec.europa.eu/growth/sectors/chemicals/epaa_en

E-mail: GROW-EPAA@ec.europa.eu

Challenges and opportunities for implementing NAMs in a regulatory context: Perspectives from current research initiatives:

An Academic's Viewpoint

Mark R. Viant

Professor of Metabolomics, University of Birmingham, UK

Co-Founder, Michabo Health Science Ltd.

ECHA NAMs Workshop

1 June 2023



UNIVERSITY OF
BIRMINGHAM



Discussion topics

- **General** reflection on challenges for **academics** to contribute to NAMs 'pipeline'
- **Focus** on '**omics to support grouping/read-across** to highlight progress and challenges in the NAMs pipeline
- 3 take-home messages

NAMs pipeline



NAMs pipeline



UNIVERSITY OF
BIRMINGHAM

2003-present

Develop & apply metabolomics to (eco)toxicology

- Academic **fund**ers – almost exclusively fund innovative research, not applied
- Expected **product**s – research discoveries, high impact-factor papers (*Nature, Science*)
- Personal **motivation** – curiosity for blue-skies innovative research
- Academics prefer to ask deeper questions, not provide definitive answers!
- **How do some academics genuinely cross that chasm?...**



NAMs pipeline



spin-out company



2018-present

Evaluate 'omics approaches in regulatory (eco)toxicology

- Contracts with progressive companies/REACH consortia
- Contracts with regulators – e.g. *Services related to metabolomics measurements and multi-omics data interpretation (2018-2023)*



- **Message 1:** 99% of academics 'locked' in innovation zone (most are happy with that!)
- Illusion (through grant proposals) of considerable academic focus on applied NAM science

NAMs pipeline



Challenges and opportunities in application
of 'omics to support chemical grouping

'Omics to support chemical grouping: progress in the 'process'

In vivo / in vitro exposures

Sample collection
for 'omics analysis

'Omics data generation
& processing

Statistical & mechanistic
analyses to build
chemical categories

- Knowledge exists
(fragmented)

- Future: Integrate into *in vivo*, *in vitro* OECD TGs

- Well established
(e.g. biomedical)

- Future: OECD guidance

- Well established;
- New OECD Omics Reporting
Framework (OORF)

- OECD GD 194 **being** updated;
- OORF actively **being** extended

- Reliability has just been demonstrated



LRI C8 – MATCHING

MetAbolomics ring-Trial for CHemical groupING

Five of 6 *blinded* ring-trial participants derived an identical set of results



UNIVERSITY OF
BIRMINGHAM

BASF
We create chemistry



syngenta

Imperial College
London

VU
VRIJE UNIVERSITEIT
AMSTERDAM

From 'process' to NAMs in legislation

- **Challenge arises** when we attempt to embed the 'applied science process' into an existing regulatory 'machine'
 - Regulatory machine already has its own processes, outputs, legal timelines
- It's new (NAM), so by definition, insufficient **exemplar regulatory case studies**
- Remains unclear what adaptations (REACH Annex XI) may be assessed as (in)sufficient
- Lack of transparency – but expected for a **new** approach...
- **Message 2:** We need exemplar case studies
- **Message 3 (to 'early adopter' companies/REACH consortia using NAMs):** Keep taking risks, your role in advancing NAMs is essential!

Helsinki, 01 June 2023

Implementing NAMs

Challenges and opportunities in the Regulatory context

Dr. Blanca Serrano

Regulatory acceptance & data availability

Regulatory agencies require assurance that NAMs can produce reliable and accurate results that are equivalent to traditional animal testing methods.

Challenge

The regulatory acceptance of NAMs varies across different regions, with some agencies incorporating them into their guidelines and others still evaluating their reliability and relevance.

Developing new NAMs without the input of Regulators might need to the tools not being accepted or fit for purpose.

Proposal

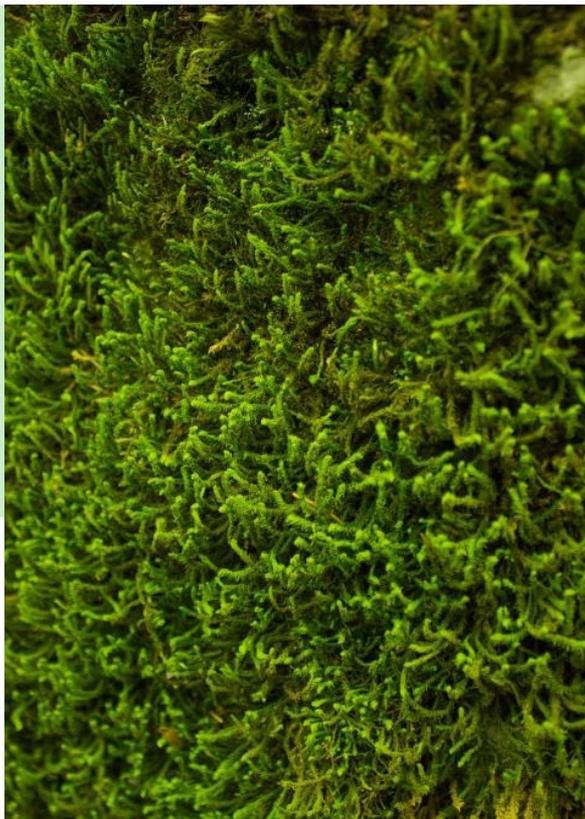
Multistakeholder platform to define scientific and regulatory needs to move forward, collaborate in the development phase, obtain feedback, and provide faster access to data and scientific evidence can help build confidence in NAMs and accelerate regulatory acceptance.

Develop a framework to incorporate NAMs into chemical legislation¹

Cooperation between regions, the US, Canada, OECD in order to build scientific confidence in NAMS.

¹Framework for chemical safety assessment incorporating new approach methodologies
<https://www.ecetoc.org/publication/a-f-framework-for-chemical-safety-assessment-incorporating-new-approach-methodologies-within-reach/>

The long-term investment in new approach methodologies (NAMs) is beginning to result in an emerging consensus of how to use information from in silico, in vitro and targeted in vivo sources to assess the safety of chemicals.



ECETOC Framework

Incorporates in silico, in vitro and in vivo methods designed to meet the requirements of REACH

Both hazard and exposure can be assessed using a tiered approach.

The outputs from each tier are classification categories, safe doses, and risk assessments, and progress through the tiers depends on the output from previous tiers.

Results show a more conservative than parallel assessments based on conventional studies

Allows a transparent and phased introduction of NAMs in chemical safety assessment

Enables science-based safety decisions which provide the same level of public health protection using fewer animals, taking less time and using less expert resource

It would also allow new methods to be incorporated as they develop through continuous selective evolution rather than periodic revolution.

Validation & Standardisation

There is a growing need to expedite the validation process to keep pace with the demand for new approach methodologies

Challenge

Rigorous validation studies are required to assess sensitivity, specificity, and reproducibility.

Standardisation of NAMs is required to ensure consistency in quality of data.

Both processes are complex and slow.

Proposal

Develop international collaborations to establish standardization protocols and validation strategies.

Identify the most promising NAMs and prioritize their development and validation.

Create a repository of available test and applicability scope.

Cost and Resource Constraints

Capacity building and accessibility will be key to ensure a smooth staged transition to new approach methodologies

Challenge

Implementing NAMs can be costly and require significant resources, which may not be readily available or affordable for all actors involved

Contract Research organisations need time and resources to modify their installations and implement NAMs. Capacity might be limited.

Proposal

Staged implementation, starting with readily available methodologies, incorporate transitional periods and review progress.

Developing cost-effective NAMs and increasing the availability of infrastructure and resources for NAMs can make them more accessible.

Conclusions

- NAMs offer numerous opportunities for reducing animal testing and improving the efficiency of regulatory testing.
- Several challenges must be overcome, including validation and standardization, regulatory acceptance, and cost and resource constraints.
- Developing international collaborations, engaging with regulators, academia, industry and NGOs, and optimizing NAMs workflows and data management systems can help overcome these challenges and foster the development and adoption of NAMs.
- The continuous improvement of the predictive power and specificity of NAMs, as well as the enhancement of their efficiency and speed, can further advance their potential and increase their reliability and relevance for regulatory purposes.



Thank you.

CHALLENGES AND OPPORTUNITIES FOR IMPLEMENTING NAMs:

Food & Feed Regulatory Context

George Kass
Lead Expert



SETTING THE EFSA SCENE (I)



SETTING THE EFSA SCENE (II)

L 354/16

EN

of the European Union

31.12.2008

REGULATION (F

REGULATION (EU) No 609/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 12 June 2013 on food intended for infants and young children, food for special medical purposes, and total diet replacement for weight control and repealing Council Directive 92/52/EEC, Commission Directives 96/8/EC, 1999/21/EC, 2006/125/EC and 2006/141/EC, Directive 2009/39/EC of the European Parliament and of the Council and Commission Regulations (EC) No 41/2009 and (EC) No 953/2009 (Text with EEA relevance)

REGULATIONS

COMMISSION REGULATION (EU) No 283/2013

AND OF THE COUNCIL

REGULATION (EC) No 1927

on the addition of vitamins and

foods

, in accordance with Regulation (EC) No 1831/2003 of the European Parliament and of the Council concerning the placing of plant protection products on the market

REGULATION (EC) No 1831/2003

on additives for use in animal feed (Text with EEA relevance)

REGULATIONS

AND OF THE COUNCIL

COMMISSION REGULATION (EU) No 10/2011

of 14 January 2011

on plastic materials and articles intended to come into contact with food

(Text with EEA relevance)

ent and of the
of the Council



SETTING THE EFSA SCENE (III)



EFSA Journal 2012;10(7):2760

GUIDANCE

doi:10.2903/j.efsa.2021.6555

GUIDANCE

ADOPTED: 26 January 2021
doi: 10.2903/j.efsa.2021.643

Guidance on the preparation and application for authorisation of a novel food (EU) 2015/2283

EFSA Panel on Dietetic Products, Nutrition and Physical Activity (NDA Panel):
Susan Fairweather-Tait, Marina Heinonen, Kare
Harry J McArdle, Yolanda Sanz, Monika
Kristina Pentieva, Peter Willatts, Karl-Heinz Engel, Morten Poulsen, Seppo Salminen, Josef Schlatter, Agnès de Sesmaisons-Lecarré, Hans Verhagen

Scientific Guidance for the submission of dossiers on Food Enzymes

EFSA Panel on Food Contact Materials, Enzymes and Processing Aids (EFSA CEP Panel):
Claude Lambré, José Manuel Barat Baviera, Claudia Bolognesi, Pier Sandro Cocconcelli, Riccardo Crebelli, David Michael Gott, Konrad Grob, Evgenia Lampi, Marcel Mengelers, Alicja Mortensen, Gilles Rivière, Inger-Lise Steffensen, Christina Tlustos, Henk Van Loveren, Laurence Vernis, Holger Zorn, Boet Glandorf, Lieve Herman, Jaime Aguilera, Magdalena Andryszkiewicz, Ana Gomes, Natalia Kovalkovicova, Yi Liu, Sandra Rainieri and Andrew Chesson

GUIDANCE

ADOPTED: 15 September 2021
doi: 10.2903/j.efsa.2021.6851

Scientific Guidance for the submission of dossiers on Food Enzymes

EFSA Panel on Food Contact Materials, Enzymes and Processing Aids (EFSA CEP Panel), Claude Lambré, José Manuel Barat Baviera, Claudia Bolognesi, Pier Sandro Cocconcelli, Riccardo Crebelli, David Michael Gott, Konrad Grob, Evgenia Lampi, Marcel Mengelers, Alicja Mortensen, Gilles Rivière, Inger-Lise Steffensen, Christina Tlustos, Henk Van Loveren, Laurence Vernis, Holger Zorn, Boet Glandorf, Lieve Herman, Jaime Aguilera, Magdalena Andryszkiewicz, Ana Gomes, Natalia Kovalkovicova, Yi Liu, Sandra Rainieri and Andrew Chesson



NAMs AND EFSA

SCIENCE-POLICY INTERFACE

The Commission will:

- foster multidisciplinary research and digital innovations for **advanced tools, methods and models, and data analysis capacities**¹⁰² to also move away from animal testing:



EC policy

EFSA strategy
2027

STRATEGIC OBJECTIVE 2

Ensure preparedness for future risk analysis needs

KEY ACTIONS

- ▶ Develop and integrate new approach methodologies (NAMs) and omics for regulatory risk assessment



NAMs
landscape

Safety testing and chemical risk assessment need to innovate in order to reduce dependency on animal testing but also to improve the quality, efficiency and speed of chemical hazard and risk assessments.

WHERE ARE WE WITH NAMs?

Investment in NAMs

- ✓ 32 EFSA-launched projects
- ✓ Areas addressed: Toxicokinetics, toxicodynamics, systems toxicology, modelling, read-across, data management: Emphasis on case studies
- ✓ Many collaborations: ECHA, JRC, MS
- ✓ Many project collaborations: PARC, ASPIS, APCRA, etc

EFSA Guidance documents

- ✓ Grouping of chemicals
- ✓ Mixture assessment
- ✓ Pesticide residues
- ✓ Read-across (under development)



3 Guidance on the Use of the Read-
4 across Approach in Food Safety
5 Assessment

6 EFSA Scientific Committee



OUTSOURCED PROJECTS - EXAMPLES

SCIENTIFIC OPINION



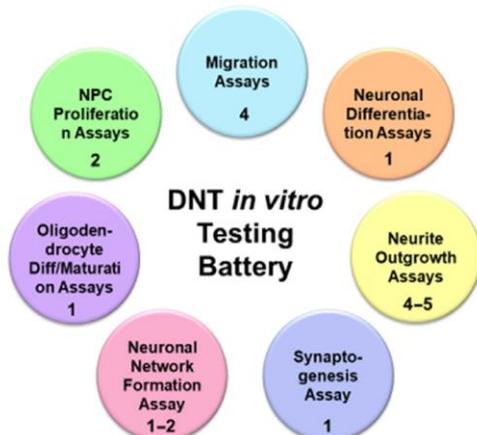
ADOPTED: 21 April 2021

doi: 10.2903/j.efsa.2021.6599



Development of Integrated Approaches to Testing and Assessment (IATA) case studies on developmental neurotoxicity (DNT) risk assessment

EFSA Panel on Plant Protection Products and their Residues (EFSA PPR Panel),



n = number of assays

Guidance on Evaluation of Data from the Developmental Neurotoxicity (DNT) In-Vitro Testing Battery

Project 4.124: New Guidance Document on Developmental neurotoxicity (DNT) in vitro assays	
Lead:	EC (EFSA, JRC)/US/DK
Inclusion in work plan:	2017
Project status and	

WORK PLAN FOR THE TEST GUIDELINES PROGRAMME (TGP)



THE CHALLENGES

Lack of NAM data submitted to EFSA

- ✓ Guidance documents are 'young'
- ✓ NAM-based data remain optional

Need for confidence building

- ✓ Validated NAMs: performance standards, right chemicals, reproducibility, etc...
- ✓ Change in concept: NAMs are not a 1-to-1 replacement of a 90-d study
- ✓ Benchmarking and coverage of potential adversity
- ✓ Fit-for-purpose and ready-to-use
- ✓ Identification of low toxicity compounds



HOW CAN WE PROGRESS ANIMAL-FREE RISK ASSESSMENT?

Global
blueprint

Working
together

Efficient
validation
process

Adhere to
MAD
principle

1S1A

Capacity
building



STAY CONNECTED

SUBSCRIBE TO

efsa.europa.eu/en/news/newsletters

efsa.europa.eu/en/rss

[Careers.efsa.europa.eu](https://careers.efsa.europa.eu) – job alerts



FOLLOW US ON TWITTER

[@efsa_eu](https://twitter.com/efsa_eu)

[@methods_efsa](https://twitter.com/methods_efsa)

[@plants_efsa](https://twitter.com/plants_efsa)

[@animals_efsa](https://twitter.com/animals_efsa)



FOLLOW US ON INSTAGRAM

[@one_healthenv_eu](https://www.instagram.com/one_healthenv_eu)



LISTEN TO OUR PODCAST

Science on the Menu – Spotify, Apple Podcast and YouTube



FOLLOW US ON LINKEDIN

[Linkedin.com/company/efsa](https://www.linkedin.com/company/efsa)



CONTACT US

efsa.europa.eu/en/contact/askefsa



Transitioning to “Next-Generation Risk Assessment” (NGRA)

Why, what, who, how, chances and challenges ... and what’s PARC got to do with it

01.06.2023, ECHA NAM Workshop, Helsinki

Dr Matthias Herzler

Coordination and Assessment Strategies
Chemical and Product Safety

The views expressed in this presentation are exclusively my own and do NOT represent an official position of the BfR or other German authorities.

Useful definitions

„New Approach Methodologies“ (NAMs)

Methods not in routine use for Chemical Risk Assessment (CRA) when I started at BfR 21 years ago.

„Next-Generation Risk Assessment“ (NGRA)

CRA framework helping us overcome the problems of „Past-Generation Risk Assessment“.

It relies on NAMs (as above) and not on (new) traditional *in vivo* testing.

Why do we need NGRA?

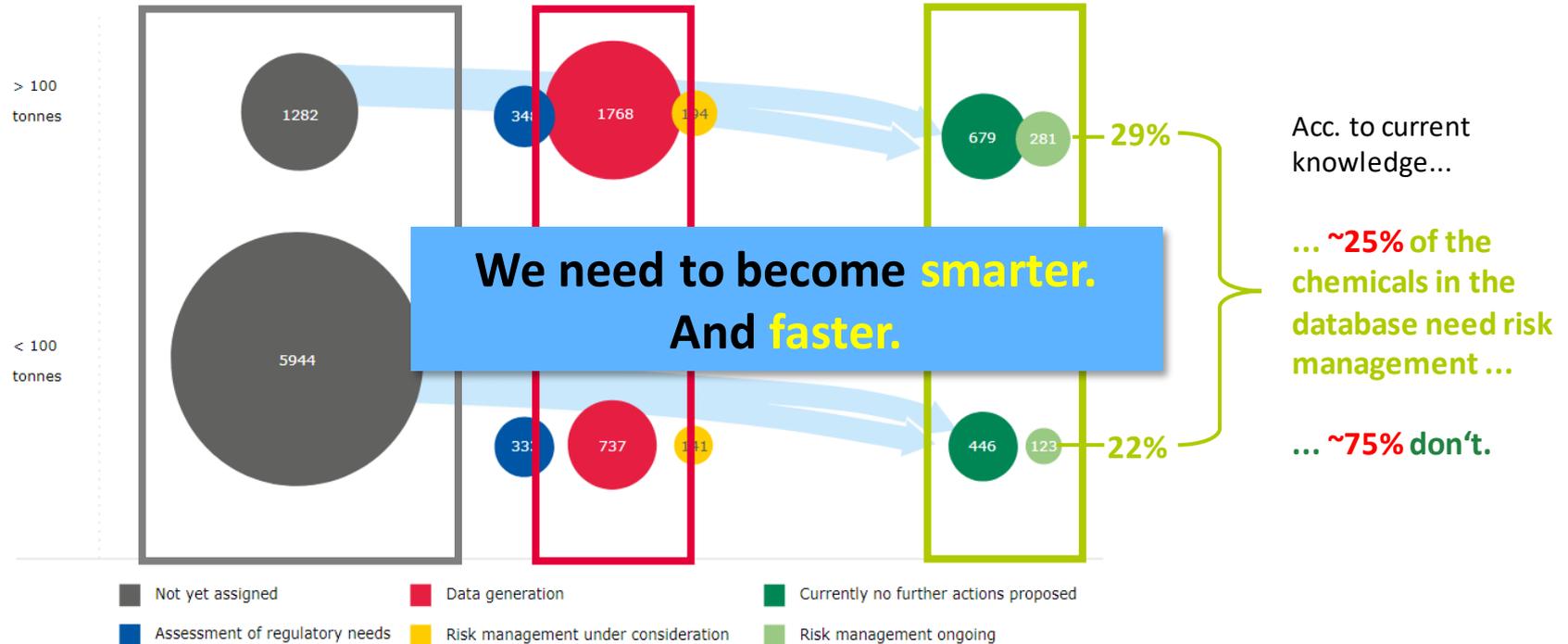
The current CRA framework **protects us well**, but there are problems*:



*cf. also EU Chemicals Strategy for Sustainability

One figure to show them all... (source: ECHA website, last accessed 2023-05-26)

Figure: REACH chemical universe: substances with active registrations above 1 tonne/year.



What we want from NGRA

high throughput,
speed

early filter for
real concern

full **quantitative** risk assessment
(ideally probabilistic)

high and transparent level of
confidence

comparable level of
protection

improved knowledge about
use and exposure
(also cumulative, aggregate)

species-relevance,
reflect **mechanistic** knowledge

combination effects

Scientific challenges (non-exhaustive list...)

early biomarkers **in vitro** vs.
real adverse effects **in vivo**

reliable identification of
low/no toxicity

quantitative RA
(qIVIVE/qAOPs...)

integration
of diverse streams of evidence

validation
(qualification)

standardisation
(and translational capacity building)

Even if we do not have everything in place already,
WE CAN START the **transition** right **NOW.**

To do this, we need a new mindset*!

Article 25

Objectives and general rules

1. In order to avoid animal testing **testing on vertebrate animals** for the purposes of this Regulation shall be undertaken **only as a last resort**. It is also necessary to take measures limiting duplication of other tests.

There are many reasons why REACH **Art. 25 (1)** has not become a real part of the REACH „DNA“ ...

On the side of the authorities:

Structural (mandate, capacity), but also **mindset** issues have favoured an often **skeptical, passive attitude**, waiting for others to deliver fit-for-use NAMs.

* (among other things...)

And is this even really our job (as authorities)?



YES, it is. Due to our unique role and expertise, the **authorities** need to **take the lead**.

Some of us have been working on it for a while already ... and now there's the **Partnership for the Assessment of Risk from Chemicals**.



<https://eu-parc.eu>

A (first attempt to formulate the) **vision for a new mindset**
(PARC Task 2.2, NGRARoute roadmap activity, interim report*)



*“By April 2025, NGRARoute will provide a concrete and applicable roadmap proposal for **implementing NGRA as the default approach*** to chemical risk assessment in EU chemicals legislation.”*

“Default” = first line of risk assessment

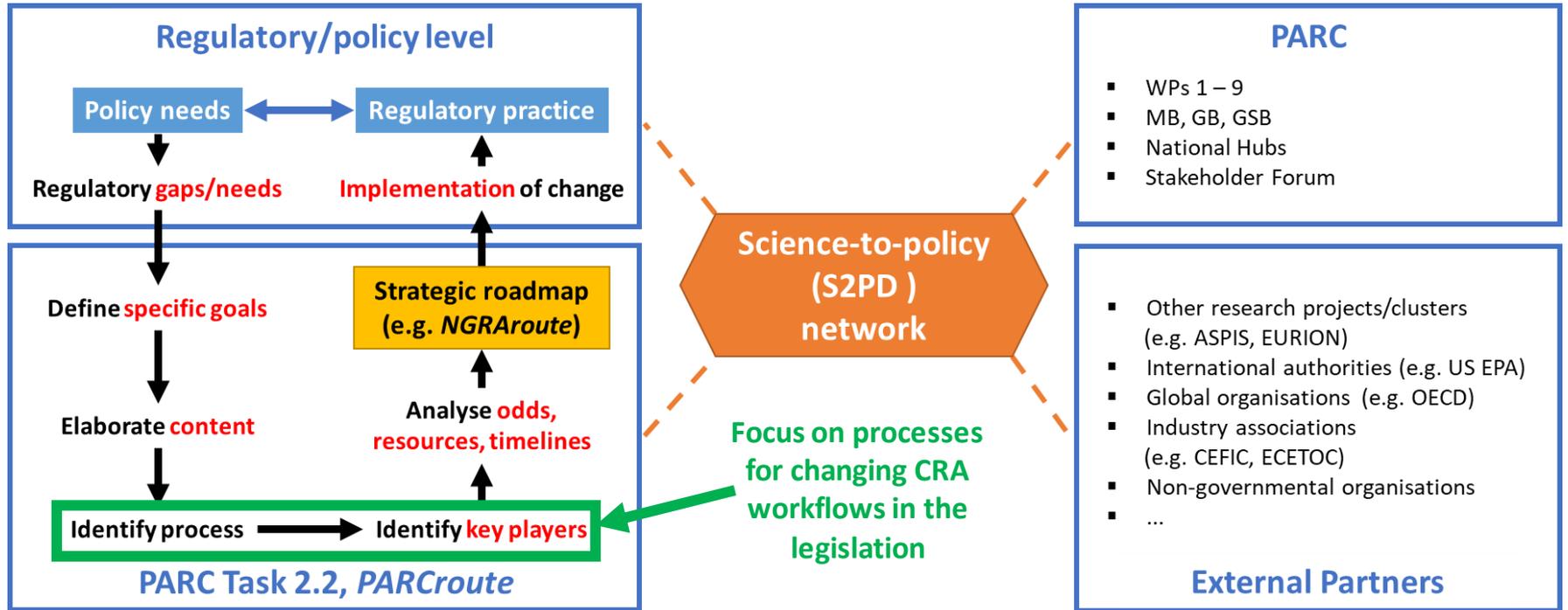
→ traditional in vivo testing only if:

a) NGRA is not (yet) practically feasible or b) the conclusions from NGRA are not sufficiently reliable

This is **NOT A REVOLUTIONARY APPROACH** → REACH Art. 25 (1)
(cf. also REACH Annex VII, section 8.3 on skin sensitisation)

* Currently under review by the European Commission, will be published on the PARC website after approval.

... and a strategy (PARC Task 2.2, NGRAroute interim report*)



* Currently under review by the European Commission, will be published on the PARC website after approval.

The challenges ahead require a collective effort



- ... need to establish **consensus** within a **broad and diverse community**
- ... **good understanding of new methods** required on all sides
(researchers, risk assessors, risk managers, policy makers)
- ... need to **connect people** to **share** work,
exchange ideas and **discuss** new approaches
- ... help prevent/overcome **language barriers**



Pieter Bruegel (the Elder): The Tower of Babel
Source: Wikipedia, the free encyclopedia

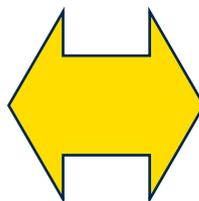
Coming November 2023...



PARCopedica

An
online
knowledge platform

to ***create and share knowledge*** on
chemical risk assessment (CRA)



A
community
space

for CRA professionals

to ***connect*** different disciplines, sectors and
areas of work, in- and outside of PARC

Features

- **Knowledge base** (“wiki”) covering all aspects of CRA
- Special **focus on NAMs and NGRA** as well as other innovative (PARC) content
- A **community space** made of profiles, blogposts and discussion groups

YOU ARE INVITED...

... to **present** yourself and your work ...

... to **exchange** points of view, **share and comment** on what’s new in PARC(opedia) and CRA ...

... to **interact** with a lively, **interdisciplinary** community and help us **shape the CRA of tomorrow!**

Dr Matthias Herzler
T +49 30 18412-27100
matthias.herzler@bfr.bund.de

German Federal Institute for Risk Assessment
bfr.bund.de/en

CC-BY-ND 4.0

BfR | Identifying Risks –
Protecting Health

Consumer health protection to go
BfR2GO – the BfR Science Magazine

bfr.bund.de/en/science_magazine_bfr2go.html

Follow us

-  @bfrde | @bfren | @Bf3R_centre
-  @bfrde
-  youtube.com/@bfr_bund
-  social.bund.de/@bfr
-  linkedin.com/company/bundesinstitut-f-r-risikobewertung
-  soundcloud.com/risikobewertung

Concluding remarks

New approach methodologies workshop:
Towards an animal free regulatory
system for industrial chemicals

1 June 2023

Ofelia Bercaru
Director - Prioritisation and Integration
European Chemicals Agency



Closing remarks

- Strong commitment from all stakeholders to move towards animal-free chemical safety assessment
 - Different expectations on how ready and how fast we can move
 - It is important to have goals to make progress
- Use of New Approach Methodologies is advancing for some, but not all, toxicological endpoints, and challenges remain
 - Confidence building in NAMs is required, e.g. using targeted case studies
- Targeted investment is required to facilitate NAM regulatory acceptance (including validation)
- Regulatory context defines the readiness to apply NAMS
 - Mutual Acceptance of Data is essential to ensure global acceptance
 - There is not one recipe fits all
 - Legal and scientific certainty is critical
- Input into the dialogue is required from all stakeholders across sectors and geographical regions



Thank you!

- Thank you to the 500 participants
- Thank you to our presenters
- Proceedings coming soon
- All materials available at echa.europa.eu/events
- Give us feedback



Thank you

ofelia.bercaru@echa.europa.eu

echa.europa.eu/subscribe



Connect with us



echa.europa.eu/podcasts



European Chemicals Agency



[@one_healthenv_eu](https://www.instagram.com/one_healthenv_eu)



[@EU_ECHA](https://twitter.com/EU_ECHA)



[@EUECHA](https://www.facebook.com/EUECHA)



[EUchemicals](https://www.youtube.com/EUchemicals)